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MINUTES OF THE ANNUAL MEETING
THE UNIVERSITY OF OKLAHOMA BOARD OF REGENTS
MARCH 25, 2010

The annual meeting of the Board of Regents governing The University of Oklahoma, Cameron University, and Rogers State University was called to order at the Oklahoma Memorial Union in Norman, Oklahoma, at 2:46 p.m. on March 25, 2010.

The following Regents were present: A. Max Weitzenhoffer, Chairman of the Board, presiding; Regents Larry R. Wade, John M. Bell, Leslie J. Rainbolt-Forbes, and Richard R. Dunning.

Others attending all or a part of the meeting included Mr. David L. Boren, President of The University of Oklahoma; Dr. Joseph J. Ferretti, Senior Vice President and Provost – Health Sciences Center Campus; Dr. Nancy L. Mergler, Senior Vice President and Provost – Norman Campus; Gerard Clancy, President, OU-Tulsa; Vice Presidents Andy Coats, Kelvin Droegemeier, Tripp Hall, Nicholas Hathaway, Daniel Pullin and Clarke Stroud; Director of Athletics, Joe Castiglione; General Counsel, Anil Gollahalli; and Executive Secretary of the Board of Regents, Dr. Chris A. Purcell. First Lady Molly Shi Boren was also in attendance for a portion of the meeting.

Those attending the meeting from Rogers State University were Dr. Larry Rice, President of the University, and Vice Presidents Richard A. Beck and Tom Volturo.

Attending the meeting from Cameron University was Dr. Cindy Ross, President of the University, and Vice Presidents Glen Pinkston and John McArthur.

Notice of the time, date and place of this meeting were submitted to the Secretary of State, and the agenda was posted in the Office of the Board of Regents on or before 2:30 p.m. on March 22, 2010, both as required by 25 O.S. 1981, Section 301-314.

CAMERON UNIVERSITY

REPORT OF THE PRESIDENT OF THE UNIVERSITY

President Ross began her report by sharing the successes of some Cameron students. The ROTC program was named the top ROTC battalion in the brigade for the second year in a row. That is an eight-state area including thirty six universities, many of which are division one schools. In two recent tournaments, the CU speech and debate team took overall third place among universities representing many states. Seven students received awards from the Oklahoma Broadcast Education Association during that annual competition, judged by forty five professionals in the field and representing students from fifteen different state colleges and universities. The University also has its very first recipient of the Benjamin A. Gilmer International Scholarship. The student, majoring in international languages, is using the scholarship to study in Morocco. Also, Cameron’s chapter of the Student Oklahoma Education Association has been named the outstanding chapter in the state. Dr. Ross then updated the Regents on three CU faculty members. Dr. George Stanley, professor of foreign languages and prolific writer, has had his recent book Night Fires nominated for the Oklahoma Book Award. Published by Simon and Schuster, it is a very thought-provoking book on racism in rural Oklahoma. Dr. Kurtis Koll, professor of physical sciences, teaches a myriad of science courses including astronomy, geology and chemistry, and he has developed a series of environmental short courses that
are being used for public school teachers in the sciences. His exceptional teaching has been honored nationally with the Project Learning Tree Outstanding Educator Award, one of five professors recognized. The President then pointed out a book given to each Regent, *Pride of the Wichitas*, written by associate professor of history Sarah Janda. The book is a narrative of the rich history of Cameron’s first one hundred years and under it is a pictorial history of the University. Then President Ross talked about recent fundraising efforts. CU was one of five universities and colleges in the state to receive a State Regents’ Program of Excellence grant and that program has now been annualized. The grant is being used to develop and enhance the information technology program at the University. The McMahon Foundation recently gave another sizable contribution to Cameron—$50,000 for scholarships in music, art, theatre and education. They are also providing a fifth-year athletic scholarship that is very helpful for students who have completed their athletic eligibility to complete their education. Finally, the President spoke of the Aggie Roots campaign to replacing the almost two hundred trees destroyed in the January ice storm. Regent Jon Stuart gave a lead gift for that campaign that has now raised over $59,000, including contributions by several at the Regents’ table. Dr. Ross especially thanked OU First Lady Molly Shi Boren for her guidance in developing the campaign.

**SUBSTANTIVE PROGRAM CHANGES – CU**

The Oklahoma State Regents for Higher Education require that all substantive changes in degree programs be presented to the institution’s governing board for approval before being forwarded to the State Regents for consideration. Each program deletion or modification presented below has been approved by the President, upon recommendation of the appropriate faculty, academic unit and dean, the Curriculum Committee, and the Vice President for Academic Affairs. These actions are being submitted to the Board of Regents for approval prior to submission to the State Regents.

1. **PROGRAM: A.A.S. in Early Childhood Education and Care**

   **PROPOSED CHANGES:** Program Deletion

   **COMMENTS:** This program received conditional approval pending meeting the minimum number of graduates set by the Oklahoma State Regents for Higher Education. This requirement has not been met.

2. **PROGRAM: B.S. in Physical Education**

   **PROPOSED CHANGES:** Program Modification

   **COMMENTS:** Change in program requirements to better serve students seeking teacher licensure. Two courses will be deleted from the required curriculum and other courses will change in number of credit hours. Total number of credit hours required for the degree will not change. Additional funds will not be required.

3. **PROGRAM: B.S. in Health and Physical Education**

   **PROPOSED CHANGES:** Program Modification and Deletion of Program Options
COMMENTS: Change in program name from Health and Physical Education (370) to Sport/Fitness Management (370). Requested change will affect the curriculum but will require no additional funds. The proposed changes to the B.S. in Physical Education replace the need for program options in the B.S. in Sport/Fitness Management. Deletion of current program options is requested. The Sport/Fitness Management major (370) will serve students seeking employment in the sport and fitness industries outside of the K-12 education system.

President Ross recommended the Board of Regents approve the proposed changes to the Cameron University academic programs.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

REVISION OF FACULTY HANDBOOK – CU

In May 2004, the Board of Regents approved substantive revisions to the Cameron University Faculty Handbook. The proposed revision removes the specific institutional requirement to limit faculty summer appointments to teaching activities and provides for summer compensation on a basis other than the academic year annual salary. The proposed changes make Cameron University Faculty Handbook policy consistent with the policies of the other universities under the Board of Regents. The proposed revision has been reviewed by the Board of Regents legal counsel. Edited versions of the relevant Faculty Handbook sections follow.

5.7 APPOINTMENTS AND SALARIES

3. Summer Term. Regular teaching faculty appointments for the summer term shall be for a period of service not exceeding nine weeks. Faculty service shall commence no more than two working days before the first day of summer classes and end no later than two working days following the last day of classes. (See also Section 4.1.6.)

Compensation for a regular faculty member in the summer term shall be at the rate of two tenths (2/10) of the annual salary (seven hours or more). Fractional compensation will be paid for part time summer service.

4.1.6 Appointments to the Summer Teaching Faculty

An appointment to the Summer Teaching Faculty is limited to the specific summer for which the appointment is made. The President or his/her designee makes appointments for the summer session, and these appointments do not require Board of Regents’ approval.

President Ross recommended the Board of Regents approve the above revisions to the Cameron University Faculty Handbook.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.
NAMING OF DEPARTMENT OF MILITARY SCIENCE IN HONOR OF GEORGE D. KEATHLEY – CU

George Dennis Keathley attended Cameron Junior College in 1931 and 1932, later earning a degree from Texas A&M University. Staff Sergeant Keathley received the Congressional Medal of Honor posthumously for his actions in World War II on September 14, 1944, in Mount Altuzzo, Italy.

On that day in Italy, after all officers and non-commissioned officers of both the second and third platoons of Company B had become casualties, Staff Sergeant Keathley, guide of the first platoon, assumed command of both the second and third platoons. Despite being greatly outnumbered by the enemy, he organized his soldiers’ defense, gathered and distributed ammunition, gave direct and precise orders to his charges and continued to encourage his soldiers throughout. He suffered a mortal wound from a hand grenade in his left side during the attack, but even after being injured, he refused to take cover. Instead, he continued to battle the enemy and shout orders to his soldiers. After the platoons of Company B repulsed numerous counterattacks, the enemy withdrew. Staff Sergeant Keathley died shortly after achieving victory.

As it states on his Congressional Medal of Honor Summary: “...Had it not been for his indomitable courage and incomparable heroism, the remnants of the three rifle platoons of Company B might well have been annihilated by the overwhelming enemy attacking force. His actions were in keeping with the highest traditions of military service.”

Cameron’s ROTC program is one of the top programs in the nation and was recently named the top program in the Fifth Brigade which includes 36 Army ROTC Programs in the states of Arkansas, Arizona, Colorado, New Mexico, Oklahoma, Texas, Utah and Wyoming. It is fitting that Cameron’s prestigious Department of Military Science be named in honor of this heroic individual who exemplified the highest ideals of military service through his actions. Sergeant Keathley’s legacy will serve as motivation for current and future students as they serve our country.

President Ross recommended that the Board of Regents approve naming the Cameron University Department of Military Science the “George D. Keathley Department of Military Science” in honor of Congressional Medal of Honor recipient and former Cameron student Staff Sergeant George Dennis Keathley.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

NAMING OF BALCONY – CU

Well known for vision and leadership, the Cleo L. Craig Foundation has influenced the course of scientific and educational causes in Oklahoma since its establishment in 1997. The Cleo L. Craig Foundation is chaired by civic leader, respected businessman and Cameron alumnus, Cleo L. Craig Jr. Helen Wood Craig, who also attended Cameron, serves on the Cleo L. Craig Foundation board. To recognize the growth and development of Cameron University and in support of the University’s future endeavors, the Cleo L. Craig Foundation provided $200,000 toward construction of a balcony extending from the McCasland Ballroom in the new McMahon Centennial Complex.

The Cleo L. Craig Foundation Balcony commands a breathtaking view of the Bentley Gardens in the heart of Cameron’s campus. This spacious and beautiful balcony will provide a memorable setting for special events in the lives of Cameron students and members of the
Lawton/Ft. Sill community. For generations to come, the balcony will be a visible affirmation of the Cleo L. Craig Foundation’s spirit of generosity and its significant support of Cameron University. The University is pleased to recognize the generosity of the Cleo L. Craig Foundation by naming this balcony in the Foundation’s honor.

President Ross recommended the Board of Regents approve an exception to Board Policy Section 7.3.2 to name the Balcony in the McMahon Centennial Complex the “Cleo L. Craig Foundation Balcony” in recognition of a major gift by the Cleo L. Craig Foundation.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

CONSTRUCTION MANAGEMENT SERVICES FOR CLARENCE C. DAVIS STUDENT UNION RENOVATION – CU

Cameron University will open the McMahon Centennial Complex (MCC) in March. The University’s bookstore, food court, and game room currently located in the Clarence C. Davis Student Union will move to the MCC when it opens. Relocating these operations to the MCC will leave approximately 13,000 square feet, or about 50%, of the old student union vacant. The University intends to repurpose and renovate the building.

In June 2009, the OU Board of Regents approved soliciting architectural and engineering services to:

- define size, functions and features for the renovated space,
- develop architectural products including schematic and detail design including floor plan, building elevations and renderings,
- determine the adequacy of existing site utilities and HVAC for the renovated space
- develop a project schedule, and
- provide a detailed cost estimate for renovation and equipment.

Conceptual design is in progress. Functions being considered for the renovated space include a tutoring center; journalism computer lab; office space for adjunct faculty; a new television studio for CUTV, Cameron’s closed circuit student television station; new space for the Collegian, Cameron’s student newspaper; office space for Communication faculty; a general computer lab and new classroom space. Early selection of a construction manager will enhance the design process by providing input on constructability and will provide a more accurate estimate of construction costs.

Cost for pre-construction services is estimated to be $12,000-$18,000. No additional costs will be incurred until funding has been raised or otherwise identified for continuation of the project. Funds for this phase are available from budgeted FY 2010 educational and general operating expenditures. No financial commitment will be made to the construction manager beyond this phase, and no additional cost will be incurred until funding for the project has been identified.

President Ross recommended the Board of Regents:

I. Authorize the President to initiate the process to select a construction manager for renovating the Clarence C. Davis Student Union;

II. Authorize the President or her designee(s) to rank construction managers interviewed for renovating the Clarence C. Davis Student Union;
III. Authorize the President or her designee(s) to negotiate the terms of an agreement and fee, starting with the highest ranked firm; and

IV. Authorize the President or her designee(s) to execute a construction management agreement with the understanding that the President will report to the Board the actions taken under this authority.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

PRESERVATION OF ELECTRONICALLY STORED INFORMATION AND RECORDS – CU

The exponentially increasing use of electronically stored information, (“ESI”), as both supplement to and a replacement for paper, audio, video or other storage of information has forced the courts of the United States to take new steps in the last few years to safeguard this ESI. Recent court decisions, as well as changes to the Federal Rules of Civil Procedure, impose a heightened duty of preservation of ESI on parties such as the University system. This policy governs all ESI created and/or maintained on University-owned or University-provided systems, and all ESI created and/or maintained on personal and third-party systems in the conduct of University business.

The purpose of this policy is to ensure that the University’s ESI is appropriately safeguarded and available once the University becomes aware that such ESI is relevant and/or necessary as evidence to reasonably anticipated litigation, to ensure the University’s compliance with applicable law, and to avoid University exposure to potential sanctions for failing to maintain such compliance. This policy supplements the existing Records Retention Policy to ensure the appropriate preservation of electronic records for potential litigation, claims or regulatory investigation.

While the University vests responsibility for management of records retention, information technology support and legal oversight within multiple offices, it is the opinion of the administration that this policy and the Committee hereby created will help coordinate these areas with respect to preservation of electronically stored information. Accordingly, joint administrative and implementation structure is proposed.

President Ross recommended that the Board of Regents add section 3.6, “Preservation of Electronically Stored Information and Records,” to the current Board of Regents Policy for Cameron University, so that the new section reads as follows:

#3.6 Preservation of Electronically Stored Information and Records

A. Policy – Cameron University shall maintain continued adherence to the applicable laws of the State of Oklahoma and the United States of America, including but not limited to the Federal Rules of Civil Procedure, with respect to the inventory, retention, destruction, preservation and production of all records and information existing as Electronically Stored Information (“ESI”).

B. Electronic Discovery Steering Committee – To help meet its obligations, the University shall utilize an Electronic Discovery Steering Committee, co-chaired by the General Counsel and the University Director of Information Technology Services, or their respective designees.
The co-chairs may, at their discretion, select other members from time to time to serve on the Electronic Discovery Steering Committee. Such representation may be from other areas of the University, including but not limited to:

- Human Resources/Employment/Benefits
- Faculty
- Records Office(s)
- Administrative and/or Finance Office(s)
- Other University staff as needed

The Electronic Discovery Steering Committee shall adopt, publish and implement procedures to ensure that the University meets its obligations regarding ESI in a manner that best serves the interests of the University of Oklahoma.

Such procedures should address both the legal and technical requirements of the University’s obligations in identifying, preserving and producing ESI.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

**REAPPOINTMENT OF EXTERNAL AUDITORS – CU**

At the January 2006 meeting, the Board of Regents selected the public accounting firms of Hinkle & Company PLLC and Cole & Reed, P.C. to provide audit, tax, and compliance services for five fiscal years (renewable each year) beginning with the fiscal year ended June 30, 2006. The firms have agreed to provide the fifth year of services to the University as outlined below.

<table>
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<tr>
<td><strong>Financial Audits:</strong></td>
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<tr>
<td>Cameron University</td>
<td>$14,000</td>
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<tr>
<td>KCCU-FM</td>
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<td><strong>Subtotal</strong></td>
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<td><strong>Compliance Audit:</strong></td>
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<td>Cameron University A-133</td>
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<td>Arbitrage Rebate</td>
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<tr>
<td>Continuing Disclosure</td>
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<td><strong>Total Requirements</strong></td>
<td><strong>$32,950</strong></td>
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Pursuant to the Hinkle & Company PLLC and Cole & Reed, P.C. audit, tax, and compliance services proposals, total fees for FY 2010 amount to $32,950, an increase of $1,400 (4.4%) from FY 2009.

President Ross recommended the Board of Regents:

I. Reappoint the public accounting firm of Hinkle & Company PLLC to serve as the University’s financial statement and A-133 compliance auditors and provide revenue bond arbitrage rebate calculation and continuing disclosure services for the year ending June 30, 2010, for a fee not to exceed $24,250;
II. Reappoint the public accounting firm of Cole & Reed, P.C. to serve as the University’s KCCU-FM financial statement auditors for the year ending June 30, 2010, for a fee not to exceed $8,700; and

III. Authorize the President or her designee to execute the engagement of these firms for these services for the fiscal year ended June 30, 2010, the fifth year of their five-year proposal.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

ACADEMIC & ADMINISTRATIVE PERSONNEL ACTIONS – CU

CHANGES:

Holland, Jennifer, title changed from Dean of Student Services to Vice President for Student Services. Salary changed from $80,000 to $90,000, effective March 26, 2010.

Metzger, Jeffrey, title changed from Instructor to Assistant Professor, tenure track, due to receipt of doctorate degree. Salary changed from $38,000 to $42,000, effective December 1, 2009.

RETIREMENT:


President Ross recommended the Board of Regents approve the personnel actions listed above.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

LITIGATION – CU

This item was included in the agenda for the purpose of meeting with General Counsel in executive session for a report on pending and possible litigation. No executive session was held, and there was no report.

CURRICULUM CHANGES – CU

ARCHITECTURAL AND ENGINEERING SERVICES FOR RENOVATION OF DAVIS STUDENT UNION AND STUDENT ACTIVITIES BUILDING – CU

QUARTERLY REPORT OF PURCHASES – CU

QUARTERLY FINANCIAL ANALYSIS – CU

The listed items were identified, by the administration, in each agenda item as “For Information Only.” Although no action was required, the opportunity to discuss or consider any of them individually was provided.
CURRICULUM CHANGES – CU

The Oklahoma State Regents for Higher Education confer upon each institution the authority to add, modify and delete courses, but require that the changes be communicated to them for information. The modifications listed below have been approved by the President, upon recommendations of the Vice President for Academic Affairs, respective deans and department chairs and the Curriculum Committee.

### COURSE ADDITIONS

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<th>Comments</th>
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<td>HLTH 4563</td>
<td>Principles of Strength Training and Conditioning</td>
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<td>HPET 3023</td>
<td>Sport Management</td>
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<td>HPET 4213</td>
<td>Legal Aspects in Sport and Physical Activity</td>
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<td>HPET 4223</td>
<td>Capstone Experience: Sport/Fitness Management</td>
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<td>SOCI 3223</td>
<td>Social Psychology</td>
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### COURSE MODIFICATIONS

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<td>Adapted Physical Education (Old)</td>
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<td>Adapted Physical Education (New)</td>
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<td>Applied Anatomy and Kinesiology (Old)</td>
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<td>Care and Prevention of Athletic Injuries (New)</td>
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<td>Physical Examinations and Measurements (Old)</td>
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<td>HPET 3383</td>
<td>Physical Education in the Secondary School (New)</td>
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March 25, 2010

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<td>Change in credit, content, prerequisites, and number</td>
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</tbody>
</table>

**COURSE DELETIONS**

CHEM 4453 Advanced General Chemistry

This was reported for information only. No action was required.

**ARCHITECTURAL AND ENGINEERING SERVICES FOR RENOVATION OF DAVIS STUDENT UNION AND STUDENT ACTIVITIES BUILDING – CU**

At the June 2009 Board meeting, the Board of Regents authorized the President to initiate the process of selection of a consultant firm to provide professional and architectural and engineering services for the renovation of the Clarence C. Davis Student Union and the Student Activities Building. The President or her designee was authorized to:

- rank firms interviewed,
- negotiate the terms of an agreement and fee, starting with the highest ranked firm,
- execute the consultant agreement, and
- report to the Board the actions taken under the authority granted.

On February 17, 2010, President Ross appointed an Architect Selection Committee consisting of the following individuals:

Glen Pinkston, Vice President for Business and Finance - Chairman
Dr. Don Sullivan, Special Assistant to the President
Harold Robinson, Special Assistant to the President – Construction Projects
Dr. Aubrey Helvey, Assistant Vice President for Academic Affairs

A list of qualified architectural firms was obtained from the State Department of Central Services, and project descriptions were mailed to those firms, inviting interested firms to submit their qualifications. After review, the selection committee ranked the firms from highest to lowest as follows:

Frankfurt-Short-Bruza, Oklahoma City
Matrix Architects, Tulsa
LWPB Architecture, Oklahoma City
REES Associates, Inc., Oklahoma City
Miles Associates, Oklahoma City
The Architect Selection Committee determined that Frankfurt-Short-Bruza had the best overall qualifications for renovation of the Clarence C. Davis Student Union and the Student Activities Building project.

President Ross or her designee was authorized to start the negotiations with the highest-ranked firm. If an agreement could not be reached, the President or designee was to negotiate with the second highest-ranked firm and so forth, until an agreement was reached. The President or her designee was also authorized to execute the consulting firm agreement.

An agreement was reached concerning the terms and fee structure with the highest-ranked firm as determined by the selection committee. Frankfurt-Short-Bruza will be the Architectural firm for the renovation of the Clarence C. Davis Student Union and the Student Activities Building.

This was reported for information only. No action was required.

QUARTERLY REPORT OF PURCHASES – CU

The Board of Regents’ policy governing the buying and selling of goods and services states that:

I. Purchases and/or acquisition of goods and services over $250,000 must be submitted to the Board for prior approval; and

II. Purchase obligations between $50,000 and $250,000 must be reported quarterly to the Board as an information item. Sole source procurements in this category must also be reported and identified as such.

The quarterly report for II is below.

Quarterly Report of Purchases
October 1, 2009 through December 31, 2009

<table>
<thead>
<tr>
<th>Item</th>
<th>Description</th>
<th>Campus-Department</th>
<th>Vendor</th>
<th>Award Amount</th>
<th>Explanation/Justification</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Two 25 ft. Airporter Buses</td>
<td>Physical Facilities</td>
<td>National Bus Sales</td>
<td>$130,004.00</td>
<td>Replace two 15 Passenger Vans</td>
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<tr>
<td>2</td>
<td>Video Conferencing System</td>
<td>Business Bldg.</td>
<td>Ford Audio-Video</td>
<td>$ 78,790.38</td>
<td>New Equipment</td>
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<tr>
<td>3</td>
<td>14 Network Switches</td>
<td>ITS Telecom</td>
<td>Chickasaw</td>
<td>$ 92,973.10</td>
<td>Equipment Upgrade</td>
</tr>
</tbody>
</table>

SOLE SOURCE PROCUREMENTS IN EXCESS OF $50,000

No sole source purchases made October 1, 2009 – December 31, 2009

This was reported for information only. No action was required.
QUARTERLY FINANCIAL ANALYSIS – CU

Being reported this month is the Quarterly Financial Analysis for the quarter ended December 31, 2009. The following comments are submitted for your consideration, with schedules attached hereto as Exhibit A.

ALL FUNDS: CAMERON UNIVERSITY

SCHEDULE 1 CU: STATEMENT OF REVENUES AND EXPENDITURES – EDUCATION AND GENERAL PART I – UNRESTRICTED

1. Revenues – Revenues of $21.2 million comprising 53.4% of the budget are reported. At the same quarter last fiscal year, there were revenues of $19.5 million, comprising 51.1% of the budget.

2. Expenditures – Expenditures of $18.3 million comprising 45.0% of the budget are reported. Comparable figures for the prior year show expenditures of $17.4 million, representing 44.3% of the budget.

SCHEDULE 2 CU: STATEMENT OF REVENUES AND EXPENDITURES – EDUCATION AND GENERAL PART II – RESTRICTED

1. Revenues – Revenues of $7.3 million representing 51.1% of the budget are reported. Prior year revenues for the same period were $5.6 million, representing 50.2% of the budget.

2. Expenditures – Expenditures of $7.2 million comprising 50.6% of the budget are reported. This is comparable to the prior year’s expenditures of $5.4 million at 48.1% of the budget.

SCHEDULE 3 CU: STATEMENT OF REVENUE AND EXPENDITURES – AUXILIARY ENTERPRISES

1. Revenues – Revenues for Auxiliary Enterprises are at anticipated levels.

2. Expenditures – Expenditures for Auxiliary Enterprises are at anticipated levels.

SCHEDULE 4 CU: DISCRETIONARY RESERVES

Discretionary reserves represent that portion of the university’s resources that are not currently budgeted for expenditure or are otherwise held for specific future uses. As such, resources of this nature are available to fund future capital projects, operating needs and/or unforeseen contingencies for any lawful purpose of the university.

E & G PART I

The E&G Part I discretionary reserves were $6,779,541 on December 31, 2009.

E & G PART II

The E&G Part II discretionary reserves were $751,802 on December 31, 2009.

AUXILIARY ENTERPRISES

Student Activities reserves were $216,398 at December 31, 2009. Student Activities working capital requirements are $167,941 leaving discretionary reserves of $48,457.
Miscellaneous Auxiliary reserves were $3,228,362 at December 31, 2009. Miscellaneous Auxiliary working capital requirements are $3,656,153 leaving Miscellaneous Auxiliary discretionary reserves of $572,209.

Student Facility reserves were $1,204,301 at December 31, 2009. Student Facility working capital and other commitment requirements are $350,000 leaving Student Facility discretionary reserves of $854,301.

PLANT FUNDS

Section 13, Section 13 Offset and New College Funds currently have a balance of $1,244,341.

This was reported for information only. No action was required.

President Ross told outgoing Chairman Weitzenhoffer that it had been a delight to work with him and one reason was that he stood with her and shook every graduate’s hand at commencement ceremonies last May, even though that was during the swine flu scare. It was also a special treat that he appointed himself to the Cameron Committee and she stated that she appreciated his service, adding that she looked forward to continuing to work with him and congratulating him on his term as chairman. Regent Weitzenhoffer thanked her for her comments, commended her as President and said that it had been a great pleasure working with her this year.

ROGERS STATE UNIVERSITY

REPORT OF THE PRESIDENT OF THE UNIVERSITY

President Rice pointed out a packet given to the Board members that included a written President’s Report, a published copy of the RSU Strategic Plan and the most recent edition of the campus newspaper, the RSU Hillpost. The President stated that enrollment is robust, and fundraising has had some successes, particularly with a recent gift from the Lyon Foundation to replace windows on the University’s historic building in Bartlesville. He also planned to finalize the Ambassador Jones International Studies Endowment. The University faculty, staff and students continue to increase their engagement with various community enterprises. The President reported that the softball and baseball teams are having very competitive seasons and building projects are progressing in a timely manner. He concluded his report by thanking Chairman Weitzenhoffer for his service and also shaking hands at RSU’s commencement ceremony.

SUBSTANTIVE PROGRAM CHANGES – RSU

The Oklahoma State Regents for Higher Education require that all substantive changes in degree programs be presented to the institution’s governing board for approval before being forwarded to the State Regents for consideration. The changes in the academic programs presented below have been approved by the appropriate faculty, academic unit and dean, the Curriculum Committee, the Academic Council, and the Vice President for Academic Affairs. The change is being submitted to the Board of Regents for approval prior to submission to the State Regents.
1. PROGRAM: Department of Psychology, Sociology and Criminal Justice
   Associate in Arts and Legal Assisting (116)

   PROPOSED CHANGES: Delete Program

   COMMENTS: Program deletion is due to low enrollment.

2. PROGRAM: Department of Psychology, Sociology and Criminal Justice
   Associate in Arts and Legal Assisting (116)

   PROPOSED CHANGES: Delete Courses
   • LA 1103   Introduction to Legal Assisting
   • LA 1123   Legal Research and Writing
   • LA 2033   American Legal System (currently cross-listed with POLS 2033)
   • LA 2283   Legal Internship
   • LA 2323   Legal Specialties I
   • LA 3113   American Court System  (currently cross-listed with POLS 3113)
   • LA 3213   Legal Specialties II

   COMMENTS: Deletion of program has been requested due to low enrollment.
The courses are not part of any other program, option or minor

President Rice recommended the Board of Regents approve the proposed changes in
the Rogers State University academic program.

Regent Wade moved approval of the recommendation. The following voted yes on
the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion
unanimously approved.

POSTHUMOUS DEGREE – RSU

   Marie Ann Snelding, an undergraduate student seeking a Bachelor of Science in
   Business Administration degree, passed away on December 31, 2009. Mrs. Snelding had earned
   96 hours with a grade point average of 2.44 on a 4.00 scale, and thereby successfully completed
   her Associate in Arts in Accounting degree.

   The Accounting faculty, the head of the Department of Business, the dean of the
   School of Business and Applied Technology and the vice president for Academic Affairs support
   this request to posthumously award an Associate in Arts in Accounting degree to Marie Ann
   Snelding.

   In accordance with Oklahoma State Regents for Higher Education policy, a
   posthumous degree may be awarded to recognize the meritorious but incomplete work of a
   student who is deceased, generally during the last semester of work. Upon the approval of The
   University of Oklahoma Board of Regents, the request to award a posthumous degree to Mrs.
   Snelding must be forwarded to the Oklahoma State Regents for Higher Education for final
   action.

   President Rice recommended approval of the granting of a posthumous Associate in
   Arts in Accounting degree to Marie Ann Snelding.

   Regent Dunning moved approval of the recommendation. The following voted yes
   on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the
   motion unanimously approved.
APPROVAL OF ROGERS STATE UNIVERSITY STRATEGIC PLAN 2010-2015 – RSU

President Rice initiated the Strategic Planning process at Rogers State University in February, 2009, by appointing a 24-member Steering Committee. The Committee held its first meeting in March, 2009, and began with a review of the Mission Statement and Commitments to ensure compliance with accreditation requirements. Consequently, a revised Mission Statement and Commitments were forwarded and approved in September, 2009.

The Strategic Planning Steering Committee then appointed six Environmental Subcommittees, which included additional faculty, staff, students and community members. These subcommittees formulated six overarching goals that were approved by the Steering Committee. Using this work as the basis for further planning, the Steering Committee developed multiple objectives for each goal. These goals and objectives were sent to the entire University community for review and comment, as well as to the Foundation Board, the President’s Board of Visitors, and the local Boards of Education from Claremore, Pryor and Bartlesville with a final draft presented for initial consideration as part of the President’s Report at the January, 2010, Board of Regents meeting.

The Strategic Plan 2010-2015 will be distributed and is attached hereto as Exhibit B.

President Rice recommended the Board of Regents approve the RSU Strategic Plan 2010-2015.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

PRESERVATION OF ELECTRONICALLY STORED INFORMATION AND RECORDS – RSU

The exponentially increasing use of electronically stored information, (“ESI”), as both supplement to and a replacement for paper, audio, video or other storage of information has forced the courts of the United States to take new steps in the last few years to safeguard this ESI. Recent court decisions, as well as changes to the Federal Rules of Civil Procedure, impose a heightened duty of preservation of ESI on parties such as the University system. This policy governs all ESI created and/or maintained on University-owned or University-provided systems, and all ESI created and/or maintained on personal and third-party systems in the conduct of University business.

The purpose of this policy is to ensure that the University’s ESI is appropriately safeguarded and available once the University becomes aware that such ESI is relevant and/or necessary as evidence to reasonably anticipated litigation, to ensure the University’s compliance with applicable law, and to avoid University exposure to potential sanctions for failing to maintain such compliance. This policy supplements the existing Records Retention Policy to ensure the appropriate preservation of electronic records for potential litigation, claims or regulatory investigation.

While the University vests responsibility for management of records retention, information technology support and legal oversight within multiple offices, it is the opinion of the administration that this policy and the Committee hereby created will help coordinate these areas with respect to preservation of electronically stored information. Accordingly, joint administrative and implementation structure is proposed.
President Rice recommended that the Board of Regents add section 3.6, “Preservation of Electronically Stored Information and Records,” to the current Board of Regents Policy for Rogers State University, so that the new section reads as follows:

#3.6 Preservation of Electronically Stored Information and Records

A. Policy – Rogers State University shall maintain continued adherence to the applicable laws of the State of Oklahoma and the United States of America, including but not limited to the Federal Rules of Civil Procedure, with respect to the inventory, retention, destruction, preservation and production of all records and information existing as Electronically Stored Information (“ESI”).

B. Electronic Discovery Steering Committee – To help meet its obligations, the University shall utilize an Electronic Discovery Steering Committee, co-chaired by the General Counsel and the University Director of Information Technology, or their respective designees.

The co-chairs may, at their discretion, select other members from time to time to serve on the Electronic Discovery Steering Committee. Such representation may be from other areas of the University, including but not limited to:

- Human Resources/Employment/Benefits
- Faculty
- Records Office(s)
- Administrative and/or Finance Office(s)
- Other University staff as needed

The Electronic Discovery Steering Committee shall adopt, publish and implement procedures to ensure that the University meets its obligations regarding ESI in a manner that best serves the interests of the University of Oklahoma.

Such procedures should address both the legal and technical requirements of the University’s obligations in identifying, preserving and producing ESI.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

**APPOINTMENT OF FINANCIAL ADVISOR AND BOND COUNSEL – RSU**

The University has identified five projects that will require the assistance of a Financial Advisor and Bond Counsel in the development and issuance of effective methods of financing each of the following projects:

1. Rogers State University Baird Hall/Classroom Building: This project includes completion of the interior construction of the 56 year-old Baird Hall on the Claremore campus. The facility will feature 52,000 square feet, including an entry hall, commons area, eight additional classrooms of various sizes, four seminar rooms, a research classroom, several learning laboratories and faculty offices. Included in the project will be new equipment for the communications department, computers, furnishings, office equipment and landscaping. The project is currently under construction and the funds required to complete the project are estimated at $3.5 million.
2. **Student Housing:** The project will be new construction on the Claremore campus for student housing. The project is designed for two phases of construction totaling sixteen million dollars when completed. Phase one will have approximately 300 beds with a combination of four bedroom, two bedroom and one bedroom units, club house, offices, study areas, laundry facilities, areas for recreation, restricted parking lot, security system and outdoor recreation facilities. Construction of Phase one is scheduled to begin in the summer of 2010 after funds become available with a completion date of July 2011. Estimated cost of Phase one is eight million dollars.

3. **Existing Student Apartments:** Rogers State University will purchase current student apartments from the Rogers State University Foundation. The institution currently has a contract with the Foundation to manage the facility. The complex consists of 67 units, including 4 one bedroom/one bath units, 62 four bedroom/two bath units and 1 Director four bed/two bath unit. The connected commons building is three stories tall and includes management offices, common laundry, student meeting and recreational areas. The estimated cost is $8.0 million.

4. **Bartlesville Renovation:** The project will include renovations to the ninth floor of the Bartlesville campus building and replacement of an elevator. The proposed area will provide three large areas for students, citizens and visitors for meetings, recreational rooms and eating facilities. A modern kitchen will be designed to accommodate preparation of meals for up to three hundred people. Students will be able to enjoy the recreational equipment between classes and have space available for various student activities. A new elevator will be installed to comply with building codes and the Americans Disability Act. Estimated cost of the project is $1.5 million.

5. **Local Building:** The project will consist of purchasing and/or making necessary renovations to a building in Claremore to accommodate space for various athletic events, graduation, student events, conferences and meetings. Estimated cost of the project is not to exceed three million dollars.

The Request for Proposal (RFP) for Financial Advisor services was distributed to 27 firms identified by the State Bond Advisor as experienced in the issuance of debt by higher education institutions. The RFP for Bond Counsel services was distributed to 19 firms identified by the State Bond Advisor as experienced in the issuance of debt by higher education institutions.

The responses were reviewed and evaluated by the Administration. Tim Martin, State Bond Advisor, reviewed the proposed fees and expenses for reasonableness. The results of this evaluation and the recommendations of the evaluation are as follows:

### I. FINANCIAL ADVISOR

Proposals were received from the following firms:
- Geary Securities, Inc., Oklahoma City, OK
- BOSC, Inc., Oklahoma City, OK
- First Southwest Company, Dallas, TX
- Wells Nelson and Associates, Tulsa, OK

Evaluation of the proposals submitted by the firms was based upon the following criteria:
• General response to RFP specifications
• Experience and performance
• Fees and expenses

The evaluation team concluded that the proposal from Wells Nelson and Associates could provide quality Financial Advisor services to the University.

II. BOND COUNSEL

Proposals were received from the following firms:

Centennial Law Group, Duncan, OK
Floyd Law Firm, Norman, OK

Evaluation of the proposals submitted by the firms was based upon the following criteria:

• General response to RFP specifications
• Experience and performance
• Fees and expenses

The evaluation team concluded that Centennial Law Group could provide quality Bond Counsel services to the University.

The State Bond Advisor’s Office has also advised the University that they have no concerns about Wells, Nelson and Associates or Centennial Law Firm that would preclude their selection to provide Financial Advisor and Bond Counsel services for these projects.

Preparation of the disclosure statement (often referred to as the preliminary Official Statements) will be coordinated by the Financial Advisor with direction and input from the University’s Administration, Bond Counsel, and the State Bond Advisor. They will be submitted to the appropriate oversight organizations for approval and utilized by the financing team to determine appropriate plans of financing each project.

Bid Tabulations are attached hereto as Exhibit C.

President Rice recommended the Board of Regents:

I. Appoint Wells Nelson and Associates to provide Financial Advisor services and consulting for the issuance of debt for the five projects described above;

II. Appoint Centennial Law Group to provide Bond Counsel services and consulting for the issuance of debt for the five projects described above; and

III. Authorize the University’s Administration to prepare and distribute the documents necessary to disclose material information on the purpose of debt and how it will be repaid. These documents will serve as a basis for determining appropriate plans of financing each project.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.
STUDENT HOUSING REVENUE BONDS – RSU

The University’s administration proposed a concurrent resolution to the Oklahoma Legislature allowing for the issuance of revenue bonds for the construction of student housing facilities. Senate Concurrent Resolution No. 42 has been drafted and will be presented during the current session.

The University’s administration is now preparing for the issuance of revenue bonds in the next three to four months in support of the Student Housing Project. Said bonds will be secured by the revenues of the housing facilities and the proceeds will be used to fund the construction of the University-owned housing facility.

In cooperation with the State Bond Advisor, the University’s administration solicited and secured Bond Counsel and the Financial Advisor for services in support of the issuance of the debt anticipated by the above action.

Preparation of the disclosure statement, often referred to as the Preliminary Official Statement or POS, will be coordinated by the Financial Advisor with direction and input from the University’s administration, Bond Counsel and the State Board Advisor (i.e. the financing team). The POS will be submitted to the appropriate oversight organizations for review, approval, and rating and will be used by the financing team to determine an appropriate plan of financing the project. It is likely the financing plan will recommend that an underwriter be selected to ensure a favorable negotiated, as opposed to competitive, marketing and sales effort.

The project is expected to generate sufficient long-term cash flows from space rental to fund required debt service and maintain prudent debt service coverage. In conjunction with the appointment of Bond Counsel and Financial Advisor, the University’s administration will develop an appropriate plan of financing the project and prepare and distribute the documents necessary to disclose material information on the purpose of the debt and how it will be repaid.

In relation to the plan of financing, the final Bond Resolution will include a covenant for the University’s administration to fix, establish, maintain, and collect such rentals, fees and charges for the use and services of the facility as, in the judgment of the University, will provide revenues sufficient to:

1. Pay the reasonable cost of operating and maintaining the facility;
2. Pay principal of and interest on the Bonds;
3. Enable the facility’s Net Revenues each year to equal the minimum ratio of the required debt service on any outstanding bonds payable from the Net Revenues of the facility; and
4. Maintain any reserve requirements for the Reserve Account securing any bonds payable from the Net Revenues of the facility.

A Reimbursement Resolution by the Board is required in the event, because of timing, University funds must be used for the original construction and acquisition, and reimbursement is needed from the Revenue Bond. This Resolution constitutes a declaration of official intent as is required by the reimbursement regulations set forth in Regulation Section 1.150-2 of the Internal Revenue Code.

President Rice recommended the Board of Regents:

I. Authorize and approve the issuance and sale of its Rogers State University Revenue Bonds or other evidences of indebtedness (the “Bonds”) in a principal amount (not to exceed $16,000,000) sufficient to fund the construction of Student
Housing Facilities on the Claremore Campus (the project), capitalized interest, required reserves, and normal costs of issuance and municipal bond insurance and ratify all action taken in regard to the competitive or negotiated sale of the Bonds;

II. Authorize and adopt the Resolution authorizing the sale and issuance of the Bonds and authorize the form of the financing documents related thereto, including, but not limited to, a Trust Agreement, Preliminary Official Statement, Notice of Sale and Official Statement and Bond Purchase Agreement;

III. Approve and authorize the award of the sale of the Bonds at competitive or negotiated sale based upon final determination of the financing team as determined to be in the best financial interest of Rogers State University and authorizing the President and Executive Vice President for Administration and Finance to do all things necessary to consummate the transaction contemplated herein;

IV. Authorize the Chairman, Vice-Chairman and Executive Secretary of the Board of Regents of The University of Oklahoma in behalf of Rogers State University to execute and deliver all necessary financing documents and related closing documents required by Bond Counsel;

V. Authorize the officers of Rogers State University to execute any closing documents required by Bond Counsel and to take any further action required to consummate the transaction contemplated herein; and

VI. Recognize and acknowledge that the University may fund certain costs of the above project prior to receipt of Bond proceeds from its other operating funds and, to the extent the University utilizes its other operating funds for said purposes, it is intended that proceeds of the Bonds will be utilized to reimburse the University.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

REAPPOINTMENT OF EXTERNAL AUDITORS – RSU

At the January 2006 meeting, the Board of Regents selected the public accounting firms of Hinkle & Company PLLC and Cole & Reed, P.C. to provide audit and compliance services for five fiscal years (renewable each year) beginning with the fiscal year ended June 30, 2006. The firms have agreed to provide the fifth year of services to the University as outlined below.

<table>
<thead>
<tr>
<th>FY 2010</th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Hinkle &amp; Company PLLC</td>
<td></td>
</tr>
<tr>
<td>Financial Audits:</td>
<td></td>
</tr>
<tr>
<td>Rogers State University</td>
<td>$13,000</td>
</tr>
<tr>
<td>Compliance Audit:</td>
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<tr>
<td>Rogers State University A-133</td>
<td>8,000</td>
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<tr>
<td>Cole &amp; Reed, P.C.</td>
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<tr>
<td>Financial Audit:</td>
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<tr>
<td>KRSC-TV</td>
<td>8,400</td>
</tr>
<tr>
<td>Total Requirements</td>
<td>$29,400</td>
</tr>
</tbody>
</table>
Pursuant to the Hinkle & Company PLLC and Cole & Reed, P.C. audit and compliance service proposals, total fees for FY 2010 amount to $29,400, an increase of $1,100 (4%) from FY 2009. Hinkle & Company PLLC had a $500.00 increase in their fee from FY 2009. Cole & Reed, P.C. had a $600.00 increase in their fee from FY 2009 due to increased risk assessment auditing procedures.

Funding has been identified and is available and budgeted within the respective operating budgets.

President Rice recommended the Board of Regents:

I. Reappoint the public accounting firm of Hinkle & Company PLLC to serve as the University’s financial statement and A-133 compliance auditors for the year ending June 30, 2010, for a fee of $21,000;

II. Reappoint the public accounting firm of Cole and Reed, P.C. to serve as the University’s KRSC-TV financial statement auditors for the year ending June 30, 2010, for a fee of $8,400; and

III. Authorize the President or his designee to execute the engagement of these firms for these services for the fiscal year ended June 30, 2010, the fifth and final year of their five-year proposal.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

PROPERTY AGREEMENTS FOR PRYOR CAMPUS – RSU

I. The original lease agreement was approved by the Board of Regents at the October 26-27, 2004 Board meeting. The City of Pryor Creek had approximately 5 acres of real estate that was leased to Rogers State University for up to 99 years. Officials with the City of Pryor Creek have requested permission to construct a helicopter pad for emergency air flights and for use by the Oklahoma Military Department on said lease. It has been determined by the Administration the lease should be terminated to allow the City to proceed with the construction of the helicopter pad in order to provide access to improved health care for the community and accommodate the Oklahoma Military Department.

II. In return for terminating the lease, the City of Pryor Creek will provide a road easement to Rogers State University on the north part of the land previously leased to Rogers State University. This road easement will provide the necessary access to the new parking lot which will serve the Pryor Campus and will comply with City code requirements for emergency vehicle access to Roger State University property.

Attached hereto as Exhibit D:

• Lease Agreement Dated September 7, 2004
• Road Easement

President Rice recommended the Board of Regents:

I. Terminate the lease agreement with the City of Pryor Creek for a parcel of real estate dated September 7, 2004; and
II. Authorize the President to execute the road easement granted from the City of Pryor Creek to the Board of Regents of the University of Oklahoma in behalf of Rogers State University for a tract of land as described:

A tract of land situated in the SW/4 of the SW/4 of Section 17, Township 21 North, Range 19 East of the Indian Base and Meridian in Pryor Creek, Mayes County, Oklahoma and more particularly described as follows to-wit:

Commencing at the Southwest Corner of said Section 17.

THENCE North 00 degrees 12 minutes 30 seconds West for a distance of 690.43 feet and along the West line of said Section 17;
THENCE North 89 degrees 19 minutes 26 seconds East for a distance of 50.00 feet to the point of beginning.
THENCE North 89 degrees 19 minutes 26 seconds East for a distance of 270.00 feet;
THENCE South 00 degrees 12 minutes 30 seconds East for a distance of 60.00 feet;
THENCE South 89 degrees 19 minutes 26 seconds West for a distance of 270.00 feet;
THENCE North 00 degrees 12 minutes 30 seconds West for a distance of 60.00 feet to the point of beginning.

Said property contains 0.372 acres more or less.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

MASTER LEASE FOR EQUIPMENT – RSU

I. The Oklahoma State Regents for Higher Education (OSRHE) implemented the Master Lease Equipment Program to facilitate for Oklahoma colleges and universities acquisitions of long-lived assets using the lease-purchase method. OSRHE submits funding requirements periodically through the State of Oklahoma Council of Bond Oversight and the Oklahoma Development Finance Authority, the conduit-financing agency, and assists in developing and executing an appropriate plan of financing. Institutions service the bond debt using current operating funds. Certain dollar limits and useful life requirements must be met for an acquisition to qualify for the program. This service provided by OSRHE greatly reduces the time and effort that would otherwise be required for an institution to finance the acquisition of a major asset.

The University intends to purchase computers and technology equipment in the amount of $498,000 and purchase a bus for student activities in the amount not to exceed $110,000 of which $80,000 will be funded through the Master Lease Program. The balance of funding will be from University monies.

The additional bonds not to exceed $578,000 will be retired by student fees and University funds.

II. Rogers State University intends to acquire equipment in the amount of $578,000. The exact timing of funds being available from the sale of the bonds authorized by the State of Oklahoma Council of Bond Oversight and the Oklahoma Development Finance Authority is not known. A Reimbursement Resolution by the Board is necessary in the event – because of timing – the University uses its own resources to acquire equipment prior to receipt of bond proceeds,
and reimbursement is needed from the Higher Education Master Lease Purchase Program proceeds. This Resolution constitutes a declaration of official intent as is required by the reimbursement regulations set forth in Regulation Section 1.150-2 of the Internal Revenue Code.

President Rice recommended the Board of Regents:

I. Authorize the President or his designee to submit an application for inclusion under the Oklahoma State Regents for Higher Education Master Lease for Equipment Program not to exceed $578,000, and;

II. Recognize and acknowledge that the University may fund certain costs of acquiring equipment prior to receipt of bond proceeds from its own funds and to the extent the University utilizes its own funds for said purposes, it is intended that proceeds of the Higher Education Master Lease for Equipment Program may be utilized to reimburse the University.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

ACADEMIC PROMOTION AND TENURE ACTIONS – RSU

Rogers State University’s faculty evaluation process for promotion and tenure culminates annually during the spring semester.

SCHOOL OF BUSINESS & TECHNOLOGY

Department of Business
Dr. Dana Gray, Grant Tenure
Dr. MaryRose Hart, Promote from Assistant Professor to Associate Professor
Dr. MaryRose Hart, Grant Tenure

SCHOOL OF LIBERAL ARTS

Department of Communications
Dr. Juliet Evusa, Grant Tenure
Dr. Jeffery Gentry, Promote from Associate Professor to Professor

Department of Fine Arts
Mr. Bryce Brimer, Promote from Assistant Professor to Associate Professor
Mr. Bryce Brimer, Grant Tenure
Dr. Hugh Foley, Promote from Associate Professor to Professor

Department of Psychology, Sociology and Criminal Justice
Dr. Diana Clayton, Grant Tenure

SCHOOL OF MATHEMATICS, SCIENCE & HEALTH SCIENCES

Department of Biology
Dr. Larry Green, Promote from Assistant Professor to Associate Professor

Department of Health Sciences
Dr. William Hart, Grant Tenure
March 25, 2010

President Rice recommended approval of the faculty promotion and tenure actions listed above.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

ACADEMIC PERSONNEL ACTION(S) – RSU

RESIGNATION(S) and/or TERMINATION(S):

Hight, James, Instructor in Criminal Justice, December 23, 2009. One year temporary position.

President Rice recommended approval of the faculty personnel action listed above.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

LITIGATION – RSU

This item was included in the agenda for the purpose of meeting with General Counsel in executive session for a report on pending and possible litigation. No executive session was held, and there was no report.

NONSUBSTANTIVE PROGRAM CHANGES – RSU

CURRICULUM CHANGES – RSU

The listed items were identified, by the administration, in each agenda item as “For Information Only.” Although no action was required, the opportunity to discuss or consider any of them individually was provided.

NONSUBSTANTIVE PROGRAM CHANGES – RSU

The Oklahoma State Regents for Higher Education confer upon each institution the authority to approve modifications that are nonsubstantive, but require the changes to be communicated to them for information only. The program modifications itemized below have been approved by the President and the Vice President for Academic Affairs, upon recommendation of the appropriate department and faculty, Curriculum Committee, and the Academic Council.

1. PROGRAM: Department of Psychology, Sociology and Criminal Justice
Associate in Arts and Legal Assisting (116)

PROPOSED MODIFICATION: Deletion of Minor in Legal Assisting (116)

COMMENTS: Minor deletion is due to low enrollment.

This was reported for information only. No action was required.
CURRICULUM CHANGES – RSU

The Oklahoma State Regents for Higher Education confer upon each institution the authority to delete, modify and add courses, but require that the changes be communicated to them for information only. The course deletions, additions and modifications itemized below have been approved by the President and Vice President for Academic Affairs, upon recommendation of the appropriate department and faculty, the Curriculum Committee and the Academic Council.

<table>
<thead>
<tr>
<th>PREFIX/NO.</th>
<th>TITLE</th>
<th>COMMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>PROGRAM DELETION:</td>
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</tr>
<tr>
<td></td>
<td>Associate in Arts, Legal Assisting (116)</td>
<td>Delete program</td>
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<td></td>
<td>DELETION OF MINOR:</td>
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<td>Minor in Legal Assisting (116)</td>
<td>Delete minor</td>
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<td></td>
<td>COURSE DELETIONS:</td>
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<tr>
<td>LA 1103</td>
<td>Introduction to Legal Assisting</td>
<td></td>
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<tr>
<td>LA 1123</td>
<td>Legal Research and Writing</td>
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<td>LA 2033</td>
<td>American Legal System</td>
<td>Currently cross-listed with POLS 2033</td>
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<tr>
<td>LA 2283</td>
<td>Legal Internship</td>
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<tr>
<td>LA 2323</td>
<td>Legal Specialties I</td>
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</tr>
<tr>
<td>LA 3113</td>
<td>American Court System</td>
<td>Currently cross-listed with POLS 3113</td>
</tr>
<tr>
<td>LA 3213</td>
<td>Legal Specialties II</td>
<td></td>
</tr>
</tbody>
</table>

This was reported for information only. No action was required.

MINUTES

Regent Dunning moved approval of the minutes of the regular meeting held on January 27, 2010 as printed and distributed prior to the meeting. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

ELECTION OF OFFICERS

Regent Rainbolt-Forbes moved that Larry Wade be elected Chairman of the Board of Regents, John Bell be elected as Vice Chairman of the Board of Regents and Chris Purcell be elected as Executive Secretary of the Board of Regents. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes and Dunning. The Chair declared the motion unanimously approved.
President Boren congratulated the new Board officers and thanked outgoing Chairman Weitzenhoffer for a remarkable period of time as chair. He stated that Mr. Weitzenhoffer had helped to steer through difficult times of declining revenue and stock market collapse, showing his love for the University over and over again. The President then spoke of his long friendship with incoming Chairman Wade and the leadership skills he brings to this position. He also commented on new Vice Chairman Bell, his outstanding record as an undergraduate and medical student at OU and the history of support his family has shown to the President in his political campaigns and to the University. President Boren stated that Norman was recently named by *Forbes* in the top three of what are called “sports cities” in the country and the top twenty communities with athletic programs. He also pointed out that there were no cities in Texas ranked as high as Norman. This ranking came about not only because of the excellence of the University’s athletic programs, but also the growth of our economy and the quality of life in the community. The photography accompanying the article was of the Fred Jones Jr. Museum of Art and cited especially the Weitzenhoffer Collection of French Impressionist Art. Then he made a very special announcement, beginning by introducing the director of the Fred Jones Museum, Ghislain D’Humieres; Kirk Hall, chair of the Museum’s Board of Visitors; and art historian Dr. Ed Wade. The President told of Arizona attorney James T. Bialac, who began his collection of Native American Art forty-six years ago, after receiving his undergraduate degree from Berkeley and his law degree from the University of Arizona. His collection now comprises more than one thousand kachinas, more than twenty six hundred paintings and other ceramic works and pottery which now totals some four thousand works of art. This is a most remarkable collection and is now being inventoried by Dr. Wade before it will be displayed in the new wing of the Museum. Pieces of the collection will also be on view across campus and the country. Mr. Bialac thanked the Board and University for allowing him to let the collection be seen.

**RESOLUTION HONORING THETA M. DEMPSEY – NC & HSC**

RESOLUTION

WHEREAS, Theta M. Dempsey is retiring after 26 years of dedicated and outstanding service to The University of Oklahoma;

WHEREAS, during that time, she has worked diligently for the betterment of transportation initiatives not only at the University but also the Norman community;

WHEREAS, her name has become synonymous with “vision,” “leadership” and “insight”;

WHEREAS, she was one of the strongest supporters and seekers of alternative-fuel options for the University long before green initiatives gained widespread popularity;

WHEREAS, Theta M. Dempsey worked for many years behind the scenes to reduce carbon emissions and eliminate waste, leading the way for significant improvements to the Park and Ride program, which allows students to leave their cars at Lloyd Noble Center and hop a CART bus to the main campus, keeping thousands of cars a day from cruising campus;
WHEREAS, the amenities for which she successfully advocated include a resurfaced lot, additional lights and increased hours of service,

WHEREAS, Theta M. Dempsey added bike racks to CART buses in 2005, adding further to environmental initiatives;

WHEREAS, she was instrumental in capturing Federal Transportation Administration grant funds to address equipment and maintenance needs to ensure the success of Cleveland Area Rapid Transit and Fleet Services at all levels;

WHEREAS, she was the driving force behind the construction of the new Transportation Operations Center and the FTA grant funding that assured the construction of the facility;

WHEREAS, it was her keen awareness of alternative-fuel initiatives that provided the impetus needed when requesting federal capital assistance;

WHEREAS, she wisely sought University management and staff input for the long and involved process of moving Fleet Services out of a 1940s-era building that was not constructed for the purpose of fleet vehicle maintenance through the design and construction of a new, state-of-the-art facility;

WHEREAS, as a result of this careful and deliberate planning, the new transportation operations center has served to further enhance town-and-gown relations by offering such enhanced services as a 24-hour accessible fueling station with multiple service islands that can accommodate other public fleet operations, including those of the City of Norman;

WHEREAS, her vision to combine Fleet Services and CART operations has allowed for greater operational efficiencies for both departments and an improved spirit of cooperation and teamwork that are critical to the continued growth of public transportation in and around Norman;

WHEREAS, the new center offers greatly improved maintenance and repair capabilities and increased parking availability for CART and Fleet Services, but more important, expands alternative-fuel availability, an achievement that has been lauded throughout the region and country for its innovation and cited as a model for future facilities of this type; and

WHEREAS, the University and the Norman community will benefit for years to come from her vision and leadership, which helped make the new, more sustainable Transportation Operations Center a reality;

NOW, THEREFORE, BE IT RESOLVED that the Regents governing the University of Oklahoma express profound appreciation to Theta M. Dempsey for her 26 years of service to the University and to Parking and Transportation Services, and especially her sustained efforts in making the new Transportation Operations Center a reality, by naming the new facility the Theta M. Dempsey Transportation Operations Center.

President Boren recommended the Board of Regents approve the above resolution honoring Theta M. Dempsey for her service to the University of Oklahoma by naming the Transportation Operations Center the Theta M. Dempsey Transportation Operations Center.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.
HONORS COLLEGE DEAN – NC

President Boren recommended the Board of Regents approve the appointment of a Dean for the Honors College. David H. Ray has served as the Interim Dean and the President asked that “Interim” be removed from his title. He spoke of Dr. Ray’s teaching awards and specifically of his interaction with students by starting book clubs.

Regent Bell moved David H. Ray be appointed as follows: Dean of the McClendon Honors College; Carol Elizabeth Young Chair in Honors; Associate Professor of the Honor College; continue as Associate Professor with tenure of political science at the current level of compensation, effective April 1, 2010. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

Dean Ray was present and thanked the Board and the President for the privilege. He stated that the quality and ability of the Honors students is genuinely astonishing and that he is committed to giving them the education they deserve.

COLLEGE OF LAW DEAN – NC

President Boren recommended the Board of Regents approve the appointment of a Dean for the College of Law. The President first praised outgoing Dean Andy Coats for his contributions to the University and bringing the College of Law back from a time of great crisis. Then he spoke of the national search conducted for a successor by a very distinguished search committee that contained members of the judiciary, alumni of the College, as well as faculty, students and staff. The approximately six month process narrowed the list to three finalists, who all came to campus for meetings with the President, faculty, students, and other Deans. Two of the finalists were recommended for further consideration and were voted upon by the College of Law faculty and the search committee. The overwhelming selection of the faculty, the search committee and the President is former OU General Counsel Joseph Harroz.

Regent Wade moved that Joseph Harroz, Jr., be appointed as follows: Dean of the College of Law; University Vice President; Director of the Law Center; Fenelon Boesche Chair of Law; and Professor of Law, with tenure, effective July 1, 2010; that he be an Executive Officer; that compensation be consistent with that of the present Dean of the College of Law and employee benefits be consistent with prior service; and that the President be authorized to provide and implement the terms of employment. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion approved.

Mr. Harroz was present and also thanked the Board and the President for the opportunity. He stated that even though he taught in the College as an adjunct for over a decade, going through this process and spending hours at the College with the faculty and students made him more aware that this is an outstanding law school and an incredible and humbling honor.

Provost Ferretti reported that the Health Sciences Center had received that day the OKC Beautiful highest award. Bobby Jackson, director of landscape services received the award, giving a history of the campus and how its renovation was begun in 1998 and noting the vision and contributions of OU First Lady Molly Shi Boren.

REVISIONS TO THE COLLEGE OF DENTISTRY FACULTY BYLAWS – HSC

The Bylaws for the University of Oklahoma College of Dentistry were last revised and approved in 2001. The major changes to the Bylaws are consistent with the new College governance structure which includes the removal of most committees that will increase
flexibility in the governance process. In addition, the changes are also consistent with the recently revised OU Health Sciences Center Faculty Handbook.

The proposed Bylaws have been reviewed by the Office of the Legal Counsel and the Office of the Senior Vice President and Provost, Health Sciences Center campus. The Faculty of the College of Dentistry approved the proposed bylaws by the necessary two-thirds majority at their January 8, 2010 meeting. The proposed Bylaws are attached hereto as Exhibit E.

President Boren recommended that the Board of Regents approve the revisions to the College of Dentistry Faculty Bylaws as proposed.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

CONFLICTS OF INTEREST POLICY – HSC

Federal granting agencies are stepping up their monitoring of university research activities for financial conflicts of interest. The HSC believes it is particularly critical in health related fields to insist that all research be conducted in accordance with the highest scientific and ethical standards and in a manner that assures the integrity of the scientific record. Before his departure, Joe Harroz appointed a committee to review the HSC Conflicts of Interest Policy and propose revisions. The committee was composed of representatives from Legal Counsel, the Office of Research Administration (HSC), and the Vice President for Research (HSC).

The proposed policy applies to all HSC employees possessing a full-time or part-time faculty or staff appointment at the Health Sciences Center, including adjunct faculty, residents, postdoctoral fellows, students, and volunteers.

The Board of Regents first approved the Health Sciences Center Conflicts of Interest Policy on September 26, 1995. It has not been revised since its initial approval. The attached proposed policy has been reviewed by the Senior Vice President and Provost (HSC), the Vice Provost for Academic Affairs and Health Sciences, the Vice President for Administration and Finance (HSC), the Vice President for Technology Development, the HSC Associate General Counsel, several OUHSC research faculty, and the HSC Deans’ Council. The proposed policy is submitted as a complete replacement of the previously approved HSC policy and is attached hereto as Exhibit F.

The proposed policy is almost twice as long as owing to its expanded definitions, explanations, and references making it clearer and easier to use. The proposed policy also includes: new tips for avoiding conflicts; the State Ethics Rules on conflicts for ready reference; and citations to other pertinent resources – Federal Regulations, guidance from NIH, FDA, HHS, and the AAMC. In addition, the reporting forms were updated to include additional information deemed more relevant to analyzing the potential for conflicts.

Brief descriptions of the more substantive changes to the policy include:

- The policy is made applicable only to the University of Oklahoma Health Sciences Center, including associated OU-Tulsa colleges, and is not applicable to Cameron University or other University campuses.

- **Section III Identifying Conflicts** - This new section provides guidance to employees on how to identify actual, potential, and apparent conflicts of interest.
In addition to addressing financial conflicts and use of University resources, sections on use of official position, conflict of commitment, and relationships with companies were added.

- **Subsection F. Relationships with Companies** – This new subsection represents one of the more significant changes to the current policy. It addresses conflicts that are unique due to the passage of Oklahoma State Questions 680/681. These Questions authorized academic institutions to allow a business to use their property to develop research technology and also allowed academic institutions and their employees to own and commercialize the technologies they develop and to hold an interest in private businesses associated with their technology.

- **Section IV Disclosing Conflicts of Interest** – This section now includes a detailed list of the types of financial and other relationships that require disclosure, providing straightforward direction to employees.

- **Appendix VII E. Tips for Avoiding Conflicts of Interest** - This new section provides HSC employees with a user-friendly guide to the policy requirements.

- **Appendix VII G. Conflicts of Interest Disclosure Forms** – The disclosure forms were completely revised. The “Conflicts of Interest Disclosure Form” is not part of this policy but is provided for information only. It will become part of the Office of Research Administration routing forms to capture important information at the time each grant or contract is routed for review and approval. An “Expanded Conflicts of Interest Disclosure Form” (also not part of this policy) was created to capture more detailed information regarding financial interests; this form is only required if specific financial thresholds are disclosed on the shorter “Conflicts of Interest Disclosure Form”.

President Boren recommended the Board of Regents approve the revisions to the Health Sciences Center Conflicts of Interest Policy as proposed.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

**PROFESSIONAL SERVICE AGREEMENTS – HSC**

The University of Oklahoma Health Sciences Center (OUHSC) receives revenue from a variety of sources. One such source is third-party vendors who pay the University in return for providing professional services. The following is a list of contracts with outside vendors for professional services performed by OUHSC faculty.

**Renewal – Oklahoma State Department of Health (OSDH)**

This agreement is to provide a structured clinical adherence program for the Oklahoma HIV Drug Assistance Program. The agreement was received on January 13, 2010. OUHSC signed on January 14, 2010, and returned to OSDH for their signature. The current agreement is an increase of $92,468 over the previous year.
New – Saint John Health System

OUHSC Internal Medicine residents and physicians will provide services to patients of St. John Medical Center. The physicians will also provide on-call coverage for inpatient floor questions. OUHSC received the agreement on January 20, 2010, and signed it on January 22, 2010.

New – Department of Veterans Affairs – Oklahoma City (DVA)

OUHSC will deliver radiopharmaceuticals in accordance with the requirements of the DVA Nuclear Medicine Service. The agreement was received on December 23, 2009, and OUHSC signed the same day.

President Boren recommended that the Board of Regents approve the professional service agreements for The University of Oklahoma Health Sciences Center as listed.

Renewal – Oklahoma State Department of Health (OSDH) $1,336,368
College of Pharmacy
Term of Agreement 04/01/10 to 03/31/11
Professional Service Agreement

New – Saint John Health System $275,000
Tulsa College of Medicine/Department of Internal Medicine
Term of Agreement 01/01/10 to 12/31/10
Professional Service Agreement

New – Department of Veterans Affairs – Oklahoma City (DVA) $418,072/yr
College of Pharmacy
Term of Agreement 10/01/09 to 9/30/11
Professional Service Agreement

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

ENTERPRISE TIER 2 DATA CENTER – HSC

At the May 2009 meeting, the Board of Regents approved the comprehensive Campus Master Plan of Capital Improvement Projects for the Health Sciences Center, including the Enterprise Tier 2 Data Center project with an estimated total project budget of $10,000,000. The project consists of renovation of up to 18,000 square feet in Nicholson Tower for a concurrently maintainable data center, with redundant mechanical and electrical feeds. The facility will allow redesign of the fiber and phone architecture, upgrade of ten-year-old campus fiber and elimination of resource constraints creating single-point-of-failure risks, while providing Tier 2 level redundancy. At the December 2009 meeting, the Board ranked Frankfurt-Short-Bruza Associates, P.C. (FSB) first among firms considered for providing professional architectural and engineering services for the project.

A request for qualifications was sent to firms that are currently registered with the Construction and Properties Division of the State of Oklahoma Department of Central Services as providers of at-risk construction management services. A committee was formed to evaluate the responses received from 12 firms. The committee was composed of the following:
Based on these proposals and client references, four firms were selected by the interview committee for further evaluation. Interviews were conducted with each of the firms, and the committee evaluated and rated the firms and ranked them as follows:

1. Timberlake Construction Co., Inc., Oklahoma City
2. Manhattan Construction Company, Oklahoma City
3. Flintco Inc., Oklahoma City
4. Lippert Bros., Inc., Oklahoma City

**ENTERPRISE TIER 2 DATA CENTER CONSTRUCTION MANAGEMENT FIRM EVALUATION SUMMARY**

<table>
<thead>
<tr>
<th>Experience with Similar Projects</th>
<th>Timberlake Construction Co., Inc.</th>
<th>Manhattan Construction Company</th>
<th>Flintco Inc.</th>
<th>Lippert Bros., Inc.</th>
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<td></td>
<td>228</td>
<td>210</td>
<td>201</td>
<td>183</td>
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<tr>
<td>Quality of Pre-Construction Services</td>
<td>148</td>
<td>142</td>
<td>142</td>
<td>138</td>
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<tr>
<td>Quality of Construction Phase Services</td>
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<td>219</td>
<td>210</td>
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<td>76</td>
<td>76</td>
<td>66</td>
</tr>
<tr>
<td>Total</td>
<td>659</td>
<td>656</td>
<td>638</td>
<td>597</td>
</tr>
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</table>

The funding plan for project includes $966,948 in Section 13 and New College Funds and $9,033,052 from State, bond, and/or institutional funds.

President Boren recommended the Board of Regents:

I. Rank in the order presented above firms under consideration to provide at-risk construction management services required for the Enterprise Tier 2 Data Center project;

II. Authorize the University administration to negotiate the terms of an agreement, including a fee for preconstruction phase construction management services, starting with the highest-ranked firm;

III. Authorize the President or his designee to execute the Agreement for At-Risk Construction Management Services;
IV. Authorize the University administration to negotiate a guaranteed maximum price for construction, to be presented to the Board for formal approval; and

V. Recognize and acknowledge that the University may fund certain costs of the above project prior to delivery of bond proceeds from its own funds, and, to the extent the University utilizes its own funds for said purposes, it is intended that General Revenue Bond proceeds will be utilized to reimburse the University.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

PROGRAM MODIFICATION – MASTER OF HEALTH SCIENCES IN PHYSICIAN ASSISTANT STUDIES IN THE COLLEGE OF MEDICINE, SCHOOL OF COMMUNITY MEDICINE – HSC TULSA

The goals of this program modification are to provide further integration of material learned throughout the curriculum. Changing, rearranging, and expanding the courses to center on an “organ system based approach” will allow the students to make relevant connections with other PA curriculum content and enhance their understanding of the material. The program modifications and sequencing will enhance the overall curriculum and ensure better delivery of the fast-paced and rigorous curriculum during the didactic year. Arrangements of the clinical curriculum with modifications to credit hours accurately reflect the clinical contact hours based upon variable rotations in the second year of the program.

There is an addition of one (1) credit hour in the didactic phase (first 15 months) of the curriculum. The reduction in the overall hours for the clinical year (last 15 months) reflects accuracy of contact hours for students on clinical rotations. Tulsa PA students simultaneously rotate with medical students on clinical rotations, thus credit hours for clinical years reflect overall changes from 62 hours to 56 hours. Charts detailing the didactic and clinical curriculums are attached hereto as Exhibit G.

Faculty for the PA Tulsa program consist of a small core faculty of PAs and a larger group of faculty within the department of Family Medicine and the PA staff working in the OU, College of Medicine Tulsa clinics. No additional funds or faculty will be needed to implement this program modification.

President Boren recommended the Board of Regents approve a curriculum modification for the Master of Health Science in Physician Assistant Studies in the College of Medicine, School of Community Medicine on the Tulsa Campus.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.
The listed items were identified, by the administration, in each agenda item as “For Information Only.” Although no action was required, the opportunity to discuss or consider any of them individually was provided.

RADIOLOGICAL INTERPRETATION SERVICES – HSC

Board of Regents’ policy requires that acquisition contracts that merely establish unit pricing, availability and other terms and conditions but which are indefinite as to quantity and delivery must be reported to the Board of Regents if the cumulative orders against them are expected to exceed $250,000 annually.

The Department of Radiological Sciences requires radiological interpretation services to read and interpret patient X-rays, and imaging studies. Due to heavy patient volume, the radiology staff can no longer keep up with the growing backlog of radiology interpretation and also provide the necessary preliminary and final reports from the imaging studies. Outsourcing the radiological interpretation services after hours will assist with enormous volumes of plain films and imaging studies by reviewing the images and reporting the findings in a timely manner, resulting in improved treatment options for the patients and physicians. The Department projects fiscal year expenditures for these services to be $750,000.

The just-in-time contracts are based on a previous competitive solicitation and will be the second renewal of a five year contract. Contracts were awarded to multiple suppliers to ensure availability for specific timeframes, reporting requirements and the most competitive prices. The recommended contracts for renewal are listed below:

- Franklin & Seidelmann, Cleveland, Ohio
- Horizon Radiology Ltd, LLP, dba NightForce Radiology, Spring, Texas
- NightRays, P.A., Bellaire, Texas
- Sunset Radiology, Inc., El Segundo, California
- Templeton Readings, LLC, Sparks, Maryland
- Virtual Radiologic, Minnetonka, Minnesota

Funding has been identified, is available, and budgeted within the clinical operating budget.

This was reported for information only. No action was required.

ON-CALL CONSTRUCTION-RELATED SERVICES QUARTERLY REPORT – NC & HSC

In March 2006, the Board of Regents authorized the administration to award a contract to Warden Construction of Jacksonville, Florida, for on-call construction-related services for the Norman and Health Sciences Center campuses. It was indicated that the administration would provide a quarterly report to the Board for all work completed, as well as seek prior Board approval for any project with an estimated cost of $250,000 or greater.
March 25, 2010

Work completed during the second quarter of fiscal year 2009/10 is summarized below.

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<tr>
<th>Building/Location</th>
<th>Project Description</th>
<th>Cost of Work</th>
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<tbody>
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<td>For the Norman Campus:</td>
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<tr>
<td>Robertson Hall</td>
<td>Replace carpet on the second floor</td>
<td>$ 7,771</td>
</tr>
<tr>
<td>Elm Avenue Parking Garage</td>
<td>Waterproof and seal entire facility</td>
<td>431,750</td>
</tr>
<tr>
<td>Sarkeys Energy Center</td>
<td>Replace caulk and expansion joints at plaza level skylights</td>
<td>9,137</td>
</tr>
<tr>
<td>Sells Swim Complex</td>
<td>Erect protective scaffolding in support of roof replacement</td>
<td>8,178</td>
</tr>
<tr>
<td>Oklahoma Memorial Union</td>
<td>Reconfigure serving counter</td>
<td>4,663</td>
</tr>
<tr>
<td>Carson Engineering Center</td>
<td>Install additional electrical service in room S9</td>
<td>932</td>
</tr>
<tr>
<td>Sarkeys Energy Center</td>
<td>Replace Kalwal skylights</td>
<td>245,566</td>
</tr>
</tbody>
</table>

For the Health Sciences Center:

No activity for Second Quarter of FY 2009/10.

This was reported for information only. No action was required.

ON-CALL ARCHITECTS AND ENGINEERS QUARTERLY REPORT – ALL

In May 2004, the Board of Regents authorized a group of architectural and engineering firms to provide professional services required for small projects. The terms of service for all of these on-call consultants expired at June 30, 2009; however some professional services authorized prior to the expiration date are still underway. In May 2009, the Board authorized a new group of architectural and engineering firms to provide professional on-call services.

The work completed during the second quarter of fiscal year 2010 by on-call architectural and engineering firms in both groups is summarized below, with the cumulative total for the second quarter attached hereto as Exhibit H.

<table>
<thead>
<tr>
<th>Firm Name</th>
<th>Date Initiated</th>
<th>Work Performed</th>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>For the Norman Campus:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kirkpatrick Forest Curtis</td>
<td>March 27, 2008</td>
<td>Structural Engineering Design (L. Dale Mitchell Baseball Foul Ball Netting)</td>
<td>15,339</td>
</tr>
<tr>
<td>Oklahoma City</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
For the Health Sciences Center, Oklahoma City:

None

For the Schusterman Center, Tulsa:

None

This was reported for information only. No action was required.

**QUARTERLY REPORT OF PURCHASES – ALL**

The Board of Regents policy governing the buying and selling of goods and services states that:

I. Purchases and/or acquisition of goods and services over $250,000 must be submitted to the Board for prior approval; and

II. Purchase obligations between $50,000 and $250,000 must be reported quarterly to the Board as an information item. Sole source procurements in this category must also be reported and identified as such.

The quarterly report for II above is attached hereto as Exhibit I.

This was reported for information only. No action was required.

**QUARTERLY FINANCIAL ANALYSIS – ALL**

By request of the Board of Regents, the Quarterly Financial Analysis for the six months ended December 31, 2009 is presented. The detailed information upon which the attached Executive Summary is based was distributed separately to the Regents prior to the March meeting and is attached hereto as Exhibit J.

This was reported for information only. No action was required.

**REGENTS' FUND SEMI-ANNUAL FINANCIAL REPORT – ALL**

This summary report is provided in accordance with University of Oklahoma Board of Regents policy and is attached hereto as Exhibit K. It highlights all of the financial activity within the Regents’ Fund during the six months ended December 31, 2009.

This was reported for information only. No action was required.
NONSUBSTANTIVE PROGRAM CHANGES – NC

The Oklahoma State Regents for Higher Education confer upon each institution the authority to approve modifications that are nonsubstantive but require the changes to be communicated to them for information only. The program modifications itemized in the list attached hereto as Exhibit L have been approved by the appropriate faculty, academic units and deans, the Academic Programs Council, and the Senior Vice President and Provost. They are being forwarded to the Board of Regents for information only.

This was reported for information only. No action was required.

PREFERRED SUPPLIER FOR HEWLETT PACKARD PRODUCTS – NC

Board of Regents’ policies and procedures require that acquisition contracts that merely establish unit pricing, availability and other terms and conditions but which are indefinite as to quantity and delivery must be reported to the Board of Regents if the cumulative orders against them are expected to exceed $250,000 annually.

This item reports the anticipated activity for Hewlett Packard (HP) brand technology related hardware, software and maintenance supplier contract for fiscal year 2010, estimated to be $750,000. The preferred supplier contract is awarded to support Information Technology Department by securing discounted pricing for HP technology equipment and maintenance support services, and offering product availability, on an as-needed basis.

The contract is based on a previous competitive solicitation and will be the second renewal of the existing five year contract at equivalent pricing and discounts.

The recommended renewal of the preferred provider contract to Delcom Group LP, of Plano, Texas represents best value to the University.

Funding has been identified, is available and budgeted within the Information Technology operating account.

This was reported for information only. No action was required.

PROPOSALS, CONTRACTS, AND GRANTS

In accord with Regents' policy, a list of awards and/or modifications in excess of $250,000 or that establish or make policy for the University, or that otherwise involve a substantial or significant service to be performed by the University are shown on the following pages. Comparative data for fiscal years 2006 through 2010 and current month and year-to-date, are shown on the graphs and tables attached hereto as Exhibit M.

The Provisions of Goods and Services policy provides that new contracts and grants in excess of $250,000 must be referred to the Board of Regents for ratification. In addition, in the event a contract, grant, document, or arrangement involved would establish or make policy for the University, or would otherwise involve a substantial or significant service to be performed by the University, that contract, arrangement, or document shall be referred to the Board of Regents for approval.
President Boren recommended that the Board of Regents ratify the awards and/or modifications for December 2009 and January 2010 submitted with this Agenda Item.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

POSTHUMOUS DEGREE – GILBERT

Julia Kathryn Gilbert, a senior majoring in French with the College of Arts and Sciences, passed away unexpectedly due to a tragic car accident on January 8, 2010. Ms. Gilbert was beginning the final semester of her degree program and had maintained a 3.38 GPA in her major and an overall GPA of 3.30. She had also been a member of the Modern Languages, Literatures and Linguistics Student Advisory Committee for two years.

The faculty of the Department of Modern Languages, Literatures, and Linguistics and the Dean of the College of Arts and Sciences support this request to award a Bachelor of Arts in Arts and Sciences degree to Julia Kathryn Gilbert posthumously.

In accordance with Oklahoma State Regents for Higher Education policy, a posthumous degree may be awarded to recognize the meritorious but incomplete work of a student who is deceased, generally during the last semester of work. Upon the approval of The University of Oklahoma Board of Regents, the request to award a posthumous degree to Ms. Gilbert must be forwarded to the Oklahoma State Regents for Higher Education for final action.

President Boren recommended the Board of Regents approve the awarding of a posthumous Bachelors of Arts in Arts and Sciences degree to Julia Kathryn Gilbert.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

POSTHUMOUS DEGREE – MENA

Mariee Mena, a senior majoring in Human Relations with the College of Arts and Sciences, passed away unexpectedly due to a tragic car accident in October 2009. Ms. Mena had completed all of the requirements for her degree and had maintained a 2.17 grade point average in her major and an overall grade point average of 2.90. Ms. Mena was also a student athlete who played softball for the University of Oklahoma.
The faculty of the Department of Human Relations and the Dean of the College of Arts and Sciences support this request to award a Bachelor of Arts in Arts and Sciences degree to Mariee Mena posthumously.

In accordance with Oklahoma State Regents for Higher Education policy, a posthumous degree may be awarded to recognize the meritorious but incomplete work of a student who is deceased, generally during the last semester of work. Upon the approval of The University of Oklahoma Board of Regents, the request to award a posthumous degree to Ms. Mena must be forwarded to the Oklahoma State Regents for Higher Education for final action.

President Boren recommended the Board of Regents approve the awarding of a posthumous Bachelors of Arts in Arts and Sciences degree to Mariee Mena.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

POSTHUMOUS DEGREE – STANDIFER

Keri Standifer, a graduate student in Human Relations with the Graduate College, passed away due to a prolonged illness on December 7, 2009. Ms. Standifer had completed all of the requirements for her degree and was writing her thesis when she passed away.

The faculty of the Department of Human Relations, the Dean of the College of Arts and Sciences, and the Dean of the Graduate College support this request to award a Master of Human Relations degree to Keri Standifer posthumously.

In accordance with Oklahoma State Regents for Higher Education policy, a posthumous degree may be awarded to recognize the meritorious but incomplete work of a student who is deceased, generally during the last semester of work. Upon the approval of The University of Oklahoma Board of Regents, the request to award a posthumous degree to Ms. Standifer must be forwarded to the Oklahoma State Regents for Higher Education for final action.

President Boren recommended the Board of Regents approve the awarding of a posthumous Master of Human Relations degree to Keri Standifer.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

SUBSTANTIVE PROGRAM CHANGES – NC

The Oklahoma State Regents for Higher Education require that all substantive changes in degree programs be presented to the institution’s governing board for approval before being forwarded to the State Regents for consideration. The changes in academic programs itemized in the attached list have been approved by the appropriate faculty, academic units and deans, the Academic Programs Council, and the Senior Vice President and Provost. They are being submitted to the Board of Regents for approval prior to submission to the State Regents.
Addition of Program

COLLEGE OF ARTS AND SCIENCES

Arabic, B.A. (RPC TBD, MC TBD)

Addition of program. Level I Bachelor of Arts, Level II Bachelor of Arts, Level III Arabic. A total of 120 hours will be required for the degree, consisting of 36 hours in the degree program core, 6 hours in major support requirements, 50 hours in general education, and 28 hours in free electives. The core curriculum offers language skills courses, bridge courses, English-language literature and culture courses and advanced content-based courses taught in Arabic. The core curriculum consists of 12 courses: 6 language skills courses, 2 English-language introductory courses in Arabic literature and culture, one Arabic structure, and 3 Arabic content-based courses including a Capstone course. The six hours taken as major support requirements will come from a list of approved courses available in the departmental office. Admission to the program is based on standards for admission set by the College of Arts and Sciences. Students in the program will be required to meet the requirements for good standing in the College of Arts and Sciences. A cumulative grade point average of at least 2.00 is required for graduation. A grade of C or better must be earned in each course counted for major credit.

Reason for request:

The purpose of the program is to train students in the language, literature and culture of the Arab World; prepare students for language-related positions in education, international business, international travel federal government, and other associated fields; to strengthen the curriculum of the Department of Modern Languages, Literatures and Linguistics, the College of Arts and Sciences and the University of Oklahoma; to advance the development of the University of Oklahoma’s international programs, specifically in the Middle East; and to cultivate intellectual, political and cultural connections between the Arab world and the United States. Once approved and instituted, the Arabic undergraduate major will be the only one in the State of Oklahoma. The Arabic major will attract transfer students who want to study Arabic language, literature and culture at more advanced levels. Enrollment in Arabic language courses has dramatically increased over the years since OU started to offer Arabic. This growth outstrips the analogous increase in the study of Arabic in the United States at the national level, according to recent figures released by the Modern Language Association. Graduates with an Arabic B.A. can expect to find employment in both non-governmental and governmental sectors, including international corporations, law firms, media and communication companies, the oil industry, the United Nations and the different U.S. government agencies. Knowledge of Arabic language and culture will be an invaluable asset to graduates interested in pursuing a career in diplomacy and foreign policy anywhere in the Middle East. This knowledge will make graduates uniquely poised to take advantage of opportunities as the importance of the Middle East has grown more than ever before and Arabic language is identified as a critical language due to the severe shortage of competent speakers of the Arabic language. Therefore, an Arabic major program serves OU graduates by giving them the skills and credentials necessary for the myriad of jobs available due to the rising demand for expertise and knowledge of Arabic language and culture. There has also been an increasing demand for Arabic-language educators within the U.S. at the K-12 level. More and more public school systems throughout the country are incorporating Arabic as an additional optional language to be taught at the public schools. The remarkable rise in college-level enrollments of Arabic language students has been paralleled at K-12, which will in turn serve as significant pipelines for more advanced Arabic language learners pursuing the study of Arabic at the higher education level. As the numbers of Arabic language students at K-12 nationwide continue to grow, there will be a continued increase in demand for Arabic language teachers.
Addition of Option

SCHOOL OF INTERNATIONAL AND AREA STUDIES

International Studies, M.A. in International Studies (RPC 337 MC TBD)

Option addition. Add Area Studies option consisting of 15 hours.

Reason for request:

The faculty believes that MAIS students should be able to specialize in a specific geographic area of the world, not just International Studies. Students who have specific political, historical, economic or linguistic interest in certain geographical regions of the world should be able to focus their studies on that certain region as part of their degree program.

Option Name Change

SCHOOL OF INTERNATIONAL AND AREA STUDIES

International Studies, M.A in International Studies (RPC 337 MC M495)

Option name change, course requirement change. Change Global Affairs option name to Global Studies. Course requirement changes: replace P SC 5513, 5563, 6603 and ECON 5273 with IAS 5503, 5053 and 5523; add three hours of research methods from group of course options; choose nine hours in one concentration from a list approved by student’s advisory committee; choose three hours Research and Practicum from group of course options; and reduce elective hours from 15 hours to 9 hours. Total credit hours for the degree does not change.

Reason for request:

Option name change to Global Studies will provide consistency in the MAIS degree program options Global Studies and Area Studies. The course requirement changes are proposed so that all core courses are designated IAS and delivered by IAS faculty. The core courses will serve to develop cohesiveness among MAIS students. The proposed two concentrations are the substantive strengths in the department and are the primary courses of study selected by students. The addition of advanced foreign language in the research methods section provides students the option to satisfy their research tool requirement with an advanced foreign language course. The additional course options for satisfying the Research and Practicum requirement are proposed to provide students professional and practical training.

Change in Program Requirements

PRICE COLLEGE OF BUSINESS

International Business, BBA (RPC 024 MC 0513A/B590)

Course requirement change. Add B AD 2091, Business and Professional Basics. Total number of hours required for degree increases from 122 to 123 hours.

Reason for request:

The addition of this course will introduce Price College students to professional development, an essential part of their business education, and will provide a bridge between their academic achievements and their ability to have a professional career.
March 25, 2010

WEITZENHOFFER FAMILY COLLEGE OF FINE ARTS

Musical Theatre, Bachelor of Fine Arts in Musical Theatre (RPC 342 MC 1004D/B737)

Course requirement change. Increase Auditions class to two credit hours; add Song Study II; decrease Musical Scenes I to two credit hours; add Musical Scenes II; decrease Coaching class to three credit hours and change to an elective; remove Studio Voice IV requirement; remove Group Piano III and Group Piano IV requirements; remove Jazz II requirement; remove Modern Technique I requirement, remove Makeup class requirement; add eight-hour elective credit requirement, six of which must be upper-division. Total credit hours for the degree decreased from 127 hours to 120 hours.

Reason for request:

The number of credit hours has decreased due to the change in the Studio Voice and Dance requirements as well as eliminating two semesters of Group Piano and Makeup. It should be noted that two new classes are required (Song Study II and Musical Scenes II) and that majors will be required to obtain eight elective credits in Musical Theatre.

President Boren recommended the Board of Regents approve the proposed changes in Norman Campus academic program.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

2010 SUMMER SESSION BUDGET – NC

In accordance with Regents’ policy, individual personnel appointed to serve during Summer Session do not require Regents’ action as long as funds are included in the Summer Session budget. Appointments that are made will be subject to and contingent upon the courses meeting the University’s minimum class sizes and upon the availability of appropriate funding.

2010 Summer Session Budget

<table>
<thead>
<tr>
<th>College</th>
<th>Summer 2009</th>
<th>Summer 2010</th>
</tr>
</thead>
<tbody>
<tr>
<td>Architecture</td>
<td>$28,006</td>
<td>$22,994</td>
</tr>
<tr>
<td>Arts and Sciences</td>
<td>625,735</td>
<td>566,911</td>
</tr>
<tr>
<td>Atmospheric and Geographic Sciences</td>
<td>27,699</td>
<td>23,291</td>
</tr>
<tr>
<td>Michael F. Price College of Business</td>
<td>251,541</td>
<td>259,471</td>
</tr>
<tr>
<td>CCE/Aviation</td>
<td>18,296</td>
<td>18,038</td>
</tr>
<tr>
<td>Mewbourne Earth and Energy</td>
<td>11,448</td>
<td>11,695</td>
</tr>
<tr>
<td>Jeannine Rainbolt College of Education</td>
<td>155,871</td>
<td>140,539</td>
</tr>
<tr>
<td>Engineering</td>
<td>76,760</td>
<td>73,837</td>
</tr>
<tr>
<td>Weitzenhoffer College of Fine Art</td>
<td>58,976</td>
<td>53,123</td>
</tr>
<tr>
<td>McClendon Honors</td>
<td>10,937</td>
<td>31,220</td>
</tr>
<tr>
<td>Gaylord College of Journalism &amp; Mass Comm.</td>
<td>83,097</td>
<td>66,206</td>
</tr>
<tr>
<td>International Programs Center (SIAS)</td>
<td>511</td>
<td>6,046</td>
</tr>
<tr>
<td>Total</td>
<td>$1,348,876</td>
<td>$1,273,370</td>
</tr>
<tr>
<td>Law</td>
<td>$ 155,000</td>
<td>$155,000</td>
</tr>
</tbody>
</table>

The 2010 Summer Session budget was decreased due to the 4% FY11 internal reallocation of funds.
President Boren recommended approval of the 2010 Summer Session budget as shown above.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

GENERAL, LIMITED AND SPECIAL OBLIGATION BONDS – NC

This action is the first step in the process of issuing general, limited and special obligation bonds and does not obligate the University to the issuance of them. Obtaining Legislative approval simply allows the University to proceed with planning for this issue.

Section 3980.4.E. of Title 70 of the Oklahoma Statutes requires the University to communicate projects anticipated to be funded in whole or in part from general, limited and special obligation bond proceeds and the related terms of financing to the Governor, the Speaker of the House of Representatives, and the President Pro Tempore of the State Senate. Upon receipt of said communication the Legislature shall have a period of forty-five calendar days from the date the information is communicated to the presiding officers of both chambers in order to pass a Concurrent Resolution disapproving the proposed issuance. If the Concurrent Resolution has not received a majority of votes of those elected to and constituting both the Oklahoma House of Representatives and the Oklahoma State Senate by the end of the forty-fifth day following the date upon which the proposed issuance is communicated to the presiding officers of both chambers, the proposed issuance shall be deemed to have been approved by the Legislature.

At this time the University’s Administration is preparing for the issuance of general, limited and special obligation bonds in the next six to nine months in support of the projects listed below.

<table>
<thead>
<tr>
<th>Project Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Multi-Tenant Office Facility #4</td>
<td>$40,000,000</td>
</tr>
<tr>
<td>Infrastructure and Roads</td>
<td>$8,000,000</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$48,000,000</strong></td>
</tr>
</tbody>
</table>

The bonds contemplated herein will be secured by a pledge of all lawfully available sources of revenue other than revenues appropriated by the Legislature from tax receipts. Underlying the issuance of the bonds, the University’s Administration will comply fully with the Board of Regents “Debt Policy”, meaning that the bonds will be supported by an achievable financial plan that includes servicing the debt, meeting any new or increased operating costs, and maintaining an acceptable debt service coverage ratio.

President Boren recommended the Board of Regents authorize the University’s Administration to submit a request to the Governor, the Speaker of the House of Representatives, and the President Pro Tempore of the State Senate seeking approval to issue general, limited and special obligation bonds in support of the academic, research, and infrastructure projects identified above.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.
BICYCLE TRANSPORTATION SYSTEM – NC

The physical growth of campus together with growing enrollments has heightened the need for transportation planning. This project will develop a comprehensive plan for bicycle pathways on the campus and integration with bicycle routes identified by the City of Norman. A consultant is needed to provide professional services for the development of a comprehensive bicycle transportation master plan and to design and prepare specifications for implementation of planned components that will be constructed incrementally as funding becomes available.

A committee was formed to interview and evaluate firms to provide the required professional services. The committee was composed of the following:

Jeffrey Schmitt, Construction Administrator, Architectural & Engineering Services, Chair
Joe Foote, Dean, Gaylord College of Journalism and Mass Communication
Nicholas Hathaway, Executive Vice President & Vice President of Administration & Finance
Paul Minnis, Professor, Anthropology
Frank Reid, Assistant Director, Physical Plant
Ralph Sade, Lieutenant, University of Oklahoma Police Department

Proposals to provide the needed professional services were received from 11 firms. Based on these proposals and client references, 3 firms were selected by the interview committee for further evaluation. Interviews were conducted with each of the firms, and the committee ranked the firms as follows:

1. MA + Architecture, LLC, Oklahoma City
2. The PBS&J Corporation, Norman
3. Garver, LLC, Norman

BICYCLE TRANSPORTATION SYSTEM
CONSULTANT FIRM EVALUATION SUMMARY

<table>
<thead>
<tr>
<th></th>
<th>MA + Architecture, LLC</th>
<th>The PBS&amp;J Corporation</th>
<th>Garver, LLC</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acceptability of Design</td>
<td>84</td>
<td>75</td>
<td>69</td>
</tr>
<tr>
<td>Quality of Engineering</td>
<td>84</td>
<td>69</td>
<td>66</td>
</tr>
<tr>
<td>Adherence to Cost Limits</td>
<td>19</td>
<td>19</td>
<td>20</td>
</tr>
<tr>
<td>Adherence to Time Limits</td>
<td>20</td>
<td>20</td>
<td>19</td>
</tr>
<tr>
<td>Volume of Changes</td>
<td>22</td>
<td>19</td>
<td>20</td>
</tr>
<tr>
<td>Resources of Firm</td>
<td>25</td>
<td>24</td>
<td>22</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>254</strong></td>
<td><strong>226</strong></td>
<td><strong>216</strong></td>
</tr>
</tbody>
</table>

Funding for the professional master planning services will be provided from discretionary University reserves.
President Boren recommended the Board of Regents:

I. Rank in the order presented above firms under consideration to provide professional services required for development of a bicycle transportation system;

II. Authorize the University administration to negotiate the terms of an agreement and a fee, starting with the highest-ranked firm; and

III. Authorize the President or his designee to execute the consultant contract.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

ROOF REPLACEMENTS – NC

The roofs of Dale Hall, Dale Hall Tower, and Rupel Jones Theatre need to be replaced in order to restore and preserve their watertight condition. The costs of the projects are:

Dale Hall and Dale Hall Tower - $700,728
Rupel Jones Fine Arts Center - $436,562

The University has realized timely, efficient and effective results by using the State contract on its roofing projects. The availability of this and other similar competitively awarded consortium-type contracts greatly reduces the administrative time and cost otherwise needed for University administration to conduct competitive solicitations each time needs arise. Consortium contracting, especially when carried out competitively, is an industry standard because of the advantages relative to pricing, delivery, terms and conditions, and supplier/contract management.

Oklahoma Roofing and Sheet Metal is the only roofing contractor available through the State roofing contract for the geographical region encompassing the Norman campus. The company was awarded the contract based on a competitive solicitation, which satisfies the Board of Regents Policy with regard to competition relative to the acquisition of products and services. Previous experience with Oklahoma Roofing and Sheet Metal has been excellent and the company employs qualified architects and engineers who have extensive experience in diagnosing roofing problems and in developing effective and durable solutions.

Funding has been identified, is available and budgeted within Physical Plant resources.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

STUDENT HOUSING RESIDENCE HALL IMPROVEMENTS – NC

The Student Housing Residence Hall Improvements project includes fire suppression and alarm systems throughout the University’s residence halls; general renovation of residential and dining facilities; renovation including additional residential facilities in Couch Center; new
furnishings; replacement of HVAC systems in Adams, Couch and Walker Centers; and critically needed roof replacements on several buildings. This proposed contract is for replacement of the roofs on the buildings in the Kraettli Apartments complex known as the Kraettli 300’s.

Construction documents for the project were prepared by the project architects, Kaighn Associates Architects, Inc.

I. AWARD CONTRACT FOR CONSTRUCTION

On February 23, 2010, bids for construction of the project were received from 6 firms. The bids have been evaluated by the project architects and the following representatives of the University administration:

David Annis, Director, Housing and Food Services
Brent Everett, Staff Engineer, Architectural and Engineering Services
Michael Moorman, Director, Architectural and Engineering Services

It is recommended that a contract in the amount of $542,800 be awarded to Oklahoma Roofing and Sheet Metal, LLC of Oklahoma City, the low bidder. A complete tabulation of the bids is shown below.

II. SIGN THE AGREEMENT

State statutes allow change orders to be issued for up to fifteen percent of the construction cost for projects costing one million dollars or less. Board approval of this phase of the project will authorize the President or his designee to sign the Agreement for Construction and will allow issuance of necessary change orders of up to fifteen percent of the contract amount, within project budget limitations.

It is anticipated construction will commence in May and be substantially completed in August 2010. The total project budget is $688,000, with funding identified, available and budgeted from general revenue bond proceeds.

TABULATION OF BIDS
KRAETTLI 300’S ROOF REPLACEMENT

<table>
<thead>
<tr>
<th>Bid Proposal</th>
<th>Bid Proposal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Oklahoma Roofing &amp; Sheet Metal, LLC</td>
<td>$542,800</td>
</tr>
<tr>
<td>Oklahoma City, Oklahoma</td>
<td></td>
</tr>
<tr>
<td>Coates Roofing Company, Inc</td>
<td>$554,630</td>
</tr>
<tr>
<td>Seminole, Oklahoma</td>
<td></td>
</tr>
<tr>
<td>Universal Roofing &amp; Sheet Metal, Inc.</td>
<td>$661,200</td>
</tr>
<tr>
<td>Moore, Oklahoma</td>
<td></td>
</tr>
<tr>
<td>Crawford Roofing, Inc.</td>
<td>$664,984</td>
</tr>
<tr>
<td>Chickasha, Oklahoma</td>
<td></td>
</tr>
<tr>
<td>Nurnberg Roofing Company</td>
<td>$813,350</td>
</tr>
<tr>
<td>Corn, Oklahoma</td>
<td></td>
</tr>
<tr>
<td>Standard Roofing Co., Inc.</td>
<td>$953,270</td>
</tr>
<tr>
<td>Oklahoma City, Oklahoma</td>
<td></td>
</tr>
</tbody>
</table>
March 25, 2010

President Boren recommended the Board of Regents:

I. Award a contract in the amount of $542,800 to Oklahoma Roofing and Sheet Metal, LLC of Oklahoma City, the low bidder, for replacement of the roof on Kraettli Apartments located in the 300 block of Wadsack Drive;

II. Authorize the President or his designee to sign the Agreement for Construction and the necessary change orders during construction within the statutory and project budget limitations; and

III. Recognize and acknowledge that the University may incur certain costs relative to the above project prior to receipt of bond proceeds and, to the extent the University utilizes its own funds for said costs, it is intended that bond proceeds will be utilized to reimburse the University.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

ASP AVENUE PAVEMENT – NC

A section of pavement on Asp Avenue south of Lindsey Street is in poor condition. The deterioration has been further exacerbated by the recent severe winter weather and now requires prompt attention. The high traffic volume and limited existing parking in this area necessitate that repair and resurfacing be done during the summer months.

An on-call engineering consultant will be retained to design a solution and produce construction documents, which will be used in a competitive bid process to procure a contractor to perform this work. The intention is to start the project after graduation in May of this year and complete work before the start of the fall semester.

The results of the solicitation will be reported back to the Board at the earliest opportunity.

Funding has been identified, is available and budgeted within general revenue bond proceeds.

President Boren recommended the Board of Regents:

I. Authorize the President or his designee to solicit for bids and award a contract in an amount not to exceed $750,000 to the lowest responsible bidder for repairing and resurfacing approximately 500 feet of pavement on Asp Avenue south of Lindsey Street, and to report back to the Board at the earliest opportunity the results of the solicitation; and

II. Recognize and acknowledge that the University may incur certain costs relative to the above project prior to receipt of bond proceeds and, to the extent the University utilizes its own funds for said costs, it is intended that bond proceeds will be utilized to reimburse the University.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.
FURNITURE FOR STEPHENSON LIFE SCIENCES RESEARCH CENTER – NC

In May 2008, the Board of Regents approved the full guaranteed maximum price for construction of the approximately 163,000-gross-square-foot Stephenson Life Sciences Research Center, including classrooms, laboratories, collaborative spaces, and offices. The building construction project is scheduled for completion this spring and move-in will occur over the summer. In preparation of occupying the space, required furnishings include laboratory seating, student lounge and conference room furniture, workstations, desks, and storage equipment.

The purchase is being made against several contracts that were awarded through a previous competitive solicitation conducted by the University. Selected suppliers were evaluated for discounted pricing, brand lines, terms and conditions, and other specifications.

Funding has been identified, is available and budgeted within the Stephenson Life Sciences Center project construction accounts.

President Boren recommended the Board of Regents authorize the President or his designee to issue a purchase order in the amount of $1,300,000, to Workplace Resource, of Oklahoma City, based upon a previously competitively awarded contract, for furniture for the Stephenson Life Sciences Research Center.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

MASS SPECTROMETER – NC

The University’s Department of Botany and Microbiology has successfully established a research program in Functional Genomics and strives to establish an equally competitive program in Structural Biology. The mass spectrometer is a key piece of equipment that will replace existing outdated equipment and will provide an essential analytical tool for the Structural Biology research.

The proposed spectrometry system will bring state-of-the art research capabilities for separation-based analysis of metabolites, peptides and other small molecules. Research in these areas is very important for understanding biological systems. The mass spectrometer will offer University researchers access to the latest technology to further support the nationally competitive research programs in this field of study. The detailed information and analysis obtained from the spectrometry system further supports the research goals of the University Structural Biology program.

The University’s Purchasing department confirmed the acquisition as a sole-source by conducting an independent search for other suppliers. Additional searches of similar equipment found none to meet the required specifications of high performance separation and detection performance criterion with critical degrees of sensitivity and accuracy, and “ion beam compressor” technology. The price was judged fair and reasonable based on similar spectrometry equipment but available comparisons had less operational features and lower performance capabilities.

Funding has been identified, is available, and budgeted within a sponsored program account.
President Boren recommended the Board of Regents authorize the President or his
designee to issue a purchase order in the amount of $543,352 to Agilent Technologies Inc. of
Santa Clara, California, on a sole source basis, for a mass spectrometer.

Regent Bell moved approval of the recommendation. The following voted yes on the
motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion
unanimously approved.

RENEWAL OF LEASE AGREEMENT – NC

In 1995 the College of Continuing Education (CCE) entered into a lease located at
617 W. Rock Creek Road, Norman, to provide a training facility for the employees and clients of
the Oklahoma Department of Human Services (OKDHS). The dedicated training space satisfies
a contractual requirement between CCE and OKDHS. The facility comprises approximately
37,000 square feet and enables the delivery of over 52,000 training person days. It houses
thirteen training rooms, five computer labs, audio-visual resources, a satellite link, and
connections to the internet. The facility also includes furnished office space with a complete
suite of business services and technology support.

Negotiations were conducted and an initial per square foot price of $12 was agreed
upon. The price per square foot will include replacement of carpet and tile floors for the facility.
The lease will also include an option to renew for four additional one-year terms at a lower cost
of $10 per square foot. The $10 per square foot price has been the lease rate for the past five
years and includes the utilities, maintenance, and landscaping. This price is competitive with
similar properties in the area.

Funding has been identified, is available and budgeted within the CCE Training &
Research Center.

President Boren recommended the Board of Regents authorize the President or his
designee to renew a lease agreement in the amount of $444,000 with Baker Hughes, Inc. of
Houston, Texas, for the University’s use of training and office facilities at 617 W. Rock Creek
Road, Norman, for the one-year period beginning July 1, 2010, with the option to renew in the
annual amount of $370,000, for each of four additional one-year periods.

Regent Wade moved approval of the recommendation. The following voted yes on
the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion
unanimously approved.

CLASSROOM RENOVATION – NC

The Provost’s Committee on Classrooms (PACCR) has identified the classrooms
above as part of its renovation and upgrade program. The carpet is to be replaced with vinyl
tiles, and the desks and seating are to be changed for a superior, more long-lived design. This
agenda item is for the flooring work only.

The University’s Physical Plant will coordinate the project based upon a scope of
work developed by Physical Plant and the PACCR. To avoid as much classroom disruption as
possible, the renovation will take place over the summer months, and due to such timing, it has
been determined that the use of Warden Construction, the on-call construction company,
provides best value to the University. This estimate is for construction costs only.
Funding has been identified, is available and budgeted within the Provost Office.

President Boren recommended the Board of Regents authorize the President or his designee to issue a work order in an amount not to exceed $335,000 to Warden Construction Corporation of Jacksonville, Florida, the University’s on-call construction services provider, subject to pricing and terms of a competitively awarded contract, for the renovation of two classrooms in Adams Hall.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

CLASSROOM SEATING – NC

The seating in the classrooms described above has reached the end of its useful life and is experiencing frequent failures. The Provost Committee on Classrooms (PACCR) has identified these classrooms as part of its renovation and upgrade program, under which the desks and seating are to be replaced with an integrated system of a superior design which has proven to be more long lived, and more acceptable to students.

Krueger International (KI) is available through the University’s furniture contract. The company was awarded the contract based on a competitive solicitation, which satisfies the Board of Regents’ Policies and Procedures with regard to competition relative to the acquisition of products and services. Previous experience with KI has been excellent, and the company gives a ten year warranty with its products that it has always honored without hesitation. By using KI via the purchasing contract, significant administrative time and cost will be avoided.

Funding has been identified, is available and budgeted within the Provost Office.

President Boren recommended the Board of Regents authorize the President or his designee to issue a purchase order in an amount not to exceed $310,000 to Krueger International of Green Bay, Wisconsin, based on the University’s furniture contract, for replacement seating and desks for two classrooms in Adams Hall.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

AIR CHARTER SERVICE FOR THE 2010 FOOTBALL SEASON – NC

Award of this contract is in support of travel for The University of Oklahoma football team, which is scheduled for four games requiring air charter as the method of transportation. Ensuring the safety of all student athletes is the most important consideration. Reliable equipment, consistent service, and qualified pilots are necessary components for these trips. University staff members experienced with travel concur equipment availability and the ability to work directly with the service provider as well as the reliability, accountability, and dependability of service from the scheduled commercial carriers are significantly preferred and exceed that provided by other carriers that provide air charter services.
In response to a competitive solicitation, the following firms responded:

- AirFax Airline Marketing Associates, Inc. Cumming, Georgia
- American Airlines Fort Worth, Texas
- Continental Airlines Houston, Texas
- CSI Aviation Services, Inc. Albuquerque, New Mexico
- Delta Airlines Atlanta, Georgia

The evaluation committee comprised the following individuals:

- Micah Hunt, Purchasing Manager, Athletic Department
- Larry Naifeh, Executive Associate Athletic Director, Athletic Department
- Greg Phillips, Associate Director of Athletics, Athletic Department
- Craig Sisco, Manager, Purchasing Department

Evaluation criteria consisted of ability to meet aircraft specifications, service and reliability, safety, and cost.

The results of the evaluation were:

<table>
<thead>
<tr>
<th>Vendor</th>
<th>Carrier</th>
<th># of Seats</th>
<th>Meets Minimum Aircraft Size</th>
<th>Service &amp; Reliability of Carrier</th>
<th>Meets Safety Considerations</th>
<th>Total Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>Air Fax</td>
<td>Allegiant Air</td>
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<td>X</td>
<td>$295,516</td>
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<tr>
<td>Air Fax</td>
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<tr>
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<td>188</td>
<td>X</td>
<td>X</td>
<td>X</td>
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<tr>
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<td>X</td>
<td>X</td>
<td>X</td>
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<tr>
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<tr>
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<td>North American</td>
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<td>X</td>
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</tr>
<tr>
<td>CSI Aviation</td>
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<tr>
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<td>182</td>
<td></td>
<td>X</td>
<td>X</td>
<td>$555,516</td>
</tr>
</tbody>
</table>

The evaluation team determined that award to American Airlines of Fort Worth, Texas, represents best value to the University. The proposed number of seats in the American Airlines bid met minimum requirements and provides the most cost efficient and optimum seating capacity needs for team travel and specific requirements for safety and service. A final safety review of the specific aircraft and operator will be conducted prior to final award of contract.

Funding has been identified, is available and budgeted within the Athletic Department operating budget.

Chairman Weitzenhoffer recommended the Board of Regents:

I. Authorize the President or his designee to award a contract in the amount of $451,486 to American Airlines, of Fort Worth Texas, the best value bidder, to provide air charter services to the University of Oklahoma football team for the 2010 football season; and

II. Authorize the President or his designee, with the assistance of the Office of Legal Counsel, to negotiate and execute final agreements subject to final review of all specific aircraft and related safety information.
Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

President Boren stated that, because he is a member of the Board of Directors for American Airlines, he has excluded himself and has participated in no way in the discussions of this matter and made no recommendations to the Board on this matter.

INSTITUTIONAL CONFLICT OF INTEREST POLICY – ALL

The development of an institutional conflict of interest policy was a necessary part of the University’s accreditation by the Association for the Accreditation of Human Research Protection Programs (AHARP). Institutional conflicts of interest may occur when the University’s financial interests affect or appear to affect research conducted at the University (e.g. equity holdings in a sponsor of research or research being done on behalf of significant donors).

President Boren formed a University-wide committee to develop the policy. In completing its work the committee reviewed accepted best practices, similar policies at other institutions and white papers on the topic. The committee adopted as its goal the creation of a policy that balances sufficient scope and coverage to significantly reduce the occurrence of institutional conflicts of interest against the need for a streamlined, unencumbered process. The policy is attached hereto as Exhibit N.

In summary, the policy covers departments most likely to be involved in an institutional conflict of interest (research, technology development, development) and individuals who are authorized to act on behalf of the University (regents and executive officers). The Provost on each campus will be responsible for collecting and maintaining the needed information. A summary of the information the Provost will maintain is as follows:

- State Ethics forms required to be filed with the State will also be filed with the University. Those already required to file information with the State include executive officers and members of the Board of Regents.
- Other disclosures are event-based, and include:
  - New licenses and royalty or equity holdings of the University, which should be disclosed by the Office of Technology Development
  - University business contracts for goods and services which generate revenue in excess of $1 million, which should be disclosed by the college or department generating such revenue
  - All single donations to the University exceeding $1 million or cumulative donations exceeding $5 million in value, which should be disclosed by the Office of University Development

All required information will be reported to the Senior Vice President and Provost on each campus and maintained in an electronic database. That database will be referenced by the offices of research (ORS on Norman campus, ORA on HSC campus and the College of Continuing Education) before accepting any form of sponsored research. This electronic cross referencing should reveal any significant institutional conflict(s) of interest.

Once a potential conflict has been detected, the Senior Vice President and Provost on the affected campus will conduct an inquiry into the facts and issue a report and recommendation on how best to address the conflict. The recommendations may range from minimal oversight to prohibition of the proposed research. The report will then be considered by an ad hoc committee made up of a representative from each respective office of the Senior Vice President and Provost, a representative from Legal Counsel, two executive officers designated by the President, and two outside members designated by the Chair of the Board of Regents. After review and
consideration, the ad hoc committee may adopt or modify the report. If the Senior Vice President and Provost disagrees with the decision of the ad hoc committee, he/she may, after consultation with the President, appeal the decision to a subcommittee of the Board of Regents. The Senior Vice President and Provost is then responsible for implementing the adopted action plan.

President Boren recommended the Board of Regents approve the Institutional Conflict of Interest Policy.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

PRESERVATION OF ELECTRONICALLY STORED INFORMATION AND RECORDS – ALL

The exponentially increasing use of electronically stored information, (“ESI”), as both supplement to and a replacement for paper, audio, video or other storage of information has forced the courts of the United States to take new steps in the last few years to safeguard this ESI. Recent court decisions, as well as changes to the Federal Rules of Civil Procedure, impose a heightened duty of preservation of ESI on parties such as the University system. This policy governs all ESI created and/or maintained on University-owned or University-provided systems, and all ESI created and/or maintained on personal and third-party systems in the conduct of University business.

The purpose of this policy is to ensure that the University’s ESI is appropriately safeguarded and available once the University becomes aware that such ESI is relevant and/or necessary as evidence to reasonably anticipated litigation, to ensure the University’s compliance with applicable law, and to avoid University exposure to potential sanctions for failing to maintain such compliance. This policy supplements the existing Records Retention Policy to ensure the appropriate preservation of electronic records for potential litigation, claims or regulatory investigation.

While the University vests responsibility for management of records retention, information technology support and legal oversight within multiple offices, it is the opinion of the administration that this policy and the Committee hereby created will help coordinate these areas with respect to preservation of electronically stored information. Accordingly, joint administrative and implementation structure is proposed.

President Boren recommended that the Board of Regents add section 3.6, “Preservation of Electronically Stored Information and Records,” to the current Board of Regents Policy, so that the new section reads as follows:

#3.6 Preservation of Electronically Stored Information and Records

A. Policy – The University of Oklahoma shall maintain continued adherence to the applicable laws of the State of Oklahoma and the United States of America, including but not limited to the Federal Rules of Civil Procedure, with respect to the inventory, retention, destruction, preservation and production of all records and information existing as Electronically Stored Information (“ESI”).

B. Electronic Discovery Steering Committee – To help meet its obligations, the University shall utilize an Electronic Discovery Steering Committee, co-chaired by the General Counsel and the University Vice-President for Information Technology, or their respective designees.
The co-chairs may, at their discretion, select other members from time to time to serve on the Electronic Discovery Steering Committee. Such representation may be from other areas of the University, including but not limited to:

- Human Resources/Employment/Benefits
- Faculty
- Records Office(s)
- Administrative and/or Finance Office(s)
- Other University staff as needed

The Electronic Discovery Steering Committee shall adopt, publish and implement procedures to ensure that the University meets its obligations regarding ESI in a manner that best serves the interests of the University of Oklahoma. Such procedures should address both the legal and technical requirements of the University’s obligations in identifying, preserving and producing ESI.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

DESIGNATION OF GIFT AS A QUASI-ENDOWMENT – NC

The University of Oklahoma received a distribution of $107,820 from the Individual Retirement Account (IRA) of Dr. Byron L. Bailey, deceased, in January 2010. Dr. Bailey attended the University of Oklahoma, earning his Bachelor’s degree in 1945 and his Medical Doctorate in 1947. His IRA did not contain any restrictions concerning the use of or duration of the gift but, after consulting with his family, it is believed that his intent was for it to be held in perpetuity and used to support scholarships to medical students. As such, it appears that the intent of the donor can best be met by establishing the gift as a quasi-endowment fund within the Regents’ Fund, with only the investment earnings being utilized for scholarships.

It is recommended that the gift, along with any future gifts or distributions in support thereof, be formally designated as a quasi-endowment fund and made a part of the Regents’ Fund. As such, it will function like an endowment fund with only the investment earnings being used for scholarships. Scholarships will be restricted to students in the College of Medicine. At any time, however, the entire gift may be totally expended for the purpose intended by the donor at the discretion of the Board of Regents.

President Boren recommended that the recent distribution from Dr. Byron L. Bailey be designated as a quasi-endowment fund within the Regents’ Fund. The fund shall be titled the “Byron L. Bailey Scholarship Fund.” It will function like an endowment fund but may be totally expended for the purpose intended by the donor at any time at the discretion of the Board of Regents.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.
At the January 2006 meeting, the Board of Regents selected the public accounting firms of Grant Thornton LLP, KPMG LLP, Cole & Reed, P.C., and Gray & Company PLLC to provide audit, tax and compliance services for five fiscal years (renewable each year) beginning with the fiscal year ended June 30, 2006. The firms have agreed to provide the fifth year of services to the University as outlined below.

### FY2010

**Financial Audits:**
- Norman Campus: $117,395
- Health Sciences Center: 97,830
- Consolidated (Norman and HSC): 11,740
- KGOU-FM: 6,800
- **Subtotal:** 233,765

**Compliance Audits:**
- Norman Campus A-133: 46,960
- Health Sciences Center A-133: 39,135
- Norman Campus NCAA: 11,000
- **Subtotal:** 97,095

**Revenue Bonds (arbitrage rebate):**
- Norman Campus:
  - MFRB Series 2003: $2,400
  - General Revenue Refunding Series 2006A: 2,400
  - General Revenue Series 2007A: 2,400
  - General Revenue Series 2007C: 2,400
  - General Revenue Series 2009A: 2,400
- Health Sciences Center:
  - Utility System Series 2004B: 2,400
  - Cancer Center Series 2008: 2,400
- **Subtotal:** 16,800

**Tax Return (990-T):**
- Norman Campus: 13,500
- Health Sciences Center: 1,350
- **Subtotal:** 14,850

**Total Requirements:** $362,510

**Total Norman Campus:** $219,395
**Total Health Sciences Center Campus:** $143,115

**Total Requirements:** $362,510

Pursuant to the Grant Thornton LLP, KPMG LLP, Cole & Reed, P.C., and Gray & Company PLLC audit, tax and compliance services proposals, total fees for FY 2010 amount to $362,510, an increase of $23,645 (7.0%) from FY 2009 after taking into consideration changes in the number of required arbitrage rebate calculations.

Funding has been identified and is available and budgeted within the respective campuses’ operating budgets.
President Boren recommended the Board of Regents:

I. Reappoint the public accounting firm of Grant Thornton LLP to serve as the University’s financial statement and A-133 compliance auditors for the year ending June 30, 2010, for a fee not to exceed $313,060;

II. Reappoint the public accounting firm of KPMG LLP to provide tax services to the University for the year ending June 30, 2010, for a fee not to exceed $14,850;

III. Reappoint the public accounting firm of Cole & Reed PC to serve as the University’s NCAA agreed-upon procedures auditors and provide revenue bond arbitrage rebate calculation services for the year ending June 30, 2010, for a fee not to exceed $27,800;

IV. Reappoint the public accounting firm of Gray, Blodgett & Company PLLC to serve as the University’s KGOU-FM financial statement auditors for the year ending June 30, 2010, for a fee not to exceed $6,800; and,

V. Authorize the Vice Presidents for Administration & Finance or their designees to execute the engagement of these firms for these services for the fiscal year ended June 30, 2010, the fifth and final year of their five-year proposals.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

STUDENT ACTIVITY FEES – NC

The Student Activity Fee Committee comprised of the President of the University of Oklahoma Student Association, Chair of Student Congress, Chair of Graduate Student Senate and the Vice President for Student Affairs, prepared the attached budget. Funding proposals were received and considered from those Student Services areas traditionally funded from Student Activity Fee resources as provided for in Regents’ policy. Total budget projections are prepared by the Chief Financial Officer and based upon enrollment and fee collection factored over the last five years.

The budget allocations are directed into the primary areas originally identified by student leadership and through Regents policy. Those areas include allocations to service units providing student services that impact orientation, retention and development of students as well as monies to be allocated through UOSA to fund student government and individual registered student organizations.

Included in the detail attached hereto as Exhibit O is a budget summary showing allocations over the last three years.

President Boren recommended that the Board of Regents approve the 2010-2011 Student Activity Fee budget and distribution of funds as proposed by the Student Activity Fee Committee.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.
REGENTS’ AWARD FOR OUTSTANDING JUNIORS – NC & HSC

To honor and encourage excellence in leadership and service, the Board of Regents presents to approximately 12 OU juniors each year the Regents’ Award for Outstanding Juniors. These awards are given to students on the basis of leadership, service to the University, involvement in campus activities, and academic progress. Recipients must have completed 72 credit hours and must submit two short essays in response to identified questions. The recipients receive a certificate and an official OU Ring. In addition, the names of each year’s honorees are engraved on a permanent plaque located in the Oklahoma Memorial Union on the Norman Campus and in the Health Sciences Center Library in Oklahoma City. The winners are selected by a committee appointed by the President, comprised of two students, one faculty and two staff members. The juniors will be honored this year at the Campus Awards Program scheduled for March in the Molly Shi Boren Ballroom in the Oklahoma Memorial Union.

The names of the students selected are shown below:

2010 RECIPIENTS
REGENTS’ AWARD FOR OUTSTANDING JUNIORS

Samantha Ali
Kendall Baginski
Lauren Brockman
Matthew Deimund
Nicole Doherty
Caleb Gayle
Taylor Krebs
Michael Nash
Karmen Ponder-Moore
Rachel Ratcliffe
Austin Slaymaker
Morgan Wolber

President Boren recommended the Board of Regents approve the students selected to receive the 2010 Regents’ Award for Outstanding Juniors.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

STAFF WEEK RESOLUTIONS – ALL

NORMAN CAMPUS

WHEREAS, the staff of The University of Oklahoma Norman Campus are essential to the accomplishment of the institution’s mission in teaching, research and public service; and

WHEREAS, their dedicated efforts and skills contribute to the quality and achievements of the entire University; and

WHEREAS, the many and varied contributions of the staff enhance the quality of life for those within the University community as well as those in the larger community;

NOW THEREFORE BE IT RESOLVED that the Board of Regents expresses its appreciation to all members of the staff and hereby proclaims April 26th through April 30th, 2010 to be “OU Staff Week” on the Norman Campus in recognition of the jobs well done.
HEALTH SCIENCES CENTER CAMPUS

WHEREAS, the staff of The University of Oklahoma Health Sciences Center in Oklahoma City are essential to the fulfillment of the institution’s mission in teaching, research, and patient care; and

WHEREAS, their dedication, skills and talents strengthen and enhance the worth and productivity of the entire University; and

WHEREAS, the diverse contributions and achievements of the staff elevate the quality of life for those within the University family and ensure an unstinting effort toward fulfillment of the University mission;

NOW THEREFORE BE IT RESOLVED that the Board of Regents expresses its appreciation to all members of the staff and hereby proclaims April 26th through April 30th, 2010 to be “OUHSC Staff Week” on the Oklahoma City Campus in recognition of the jobs well done.

TULSA CAMPUS

WHEREAS, the staff of The University of Oklahoma Tulsa Campus are essential to the accomplishment of the institution’s mission in teaching, research and public service; and

WHEREAS, their dedicated efforts and skills contribute to the quality and achievements of the entire University; and
WHEREAS, the many and varied contributions of the staff enhance the quality of life for those within the University community as well as those in the larger community;

NOW THEREFORE BE IT RESOLVED that the Board of Regents expresses its appreciation to all members of the staff and hereby proclaims April 26th through April 30, 2010 to be “OU Staff Week” on the Tulsa Campus in recognition of the jobs well done.

President Boren recommended the Board of Regents approve the following resolutions in recognition of The University of Oklahoma Staff Week celebrations.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

SUPERIOR STAFF AWARDS – NC & HSC

The Regents’ Award for Superior Staff was developed to recognize the outstanding contributions made by OU staff members whose job performance, service activities and dedication have enhanced the mission of The University of Oklahoma. Two $2,000 awards are given annually during Spring staff recognition activities: one to a Norman Campus staff member and one to a Health Sciences staff member.

To qualify for a Regents’ Award for Superior Staff, a staff member must have consistently demonstrated a superior job performance and/or outstanding service to the University or to outside community or professional activities on behalf of the University. The outstanding job performance and/or superior service should reflect perspective, initiative and efforts that transcend the boundaries of a staff member’s designated work responsibilities. The recipients are selected by a committee appointed by the President, for each campus.
The names of the staff members selected are shown below:

**Norman Campus:**

- Frank M. Henry
- Administrator II
- Housing and Food Services

**Health Sciences Center:**

- David M. Horton
- Infrastructure Services
- Information Technology

President Boren recommended the Board of Regents approve the staff members selected to receive the 2010 Regents’ Award for Superior Staff.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

**DISTINGUISHED PROFESSORSHIPS – GEORGE LYNN CROSS, DAVID ROSS BOYD, AND REGENTS’ PROFESSORSHIPS**

In a letter to members of the Board of Regents, President Boren reported his expectation of presenting at the March meeting the recommendations for the distinguished professorships. A copy of the letter and biographical materials are attached hereto as Exhibit P.

The policy for the George Lynn Cross, David Ross Boyd and Regents’ professorships provides that in the year of designation each individual will receive a one-time cash award of $7,000 and a permanent salary increase of 7% or $7,000 minimum starting in the subsequent fiscal year. The University of Oklahoma Foundation will provide funds for these cash awards.

President Boren recommended the Board of Regents:

I. Approve the appointment of the distinguished professorships as indicated in his letter to the Board of Regents, effective with the 2010-2011 academic year and,

II. Authorize the use of Foundation funds for the cash award to each faculty member.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

**PRESIDENTIAL PROFESSORSHIPS**

In a letter to members of the Board of Regents, President Boren reported his expectation of presenting at the March meeting the recommendations for Presidential Professors. A copy of the letter and biographical materials are attached hereto as Exhibit P.

The policy for Presidential Professorships provides that each individual is awarded the professorship for a four-year term. Assistant and Associate Professors receive $5,000 per year and Professors receive $10,000 per year. Presidential Professorship funding will be provided by The University of Oklahoma Foundation.

President Boren recommended the Board of Regents:

I. Approve the appointment of the individuals included in his letter to the Board of Regents as Presidential Professors effective with the 2010-2011 academic year and,
II. Authorize the use of Foundation funds for the award to each faculty member.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

**REGENTS’ FACULTY AWARDS**

In a letter to members of the Board of Regents, President Boren reported his recommendations for the 2010 Regents’ Awards. A copy of the letter and biographical materials are attached hereto as Exhibit P.

The regulations for these awards provide that each individual will receive a cash award of $2,000. The University of Oklahoma Foundation will provide the funds for these cash awards.

President Boren recommended the Board of Regents:

I. Approve the 2010 Regents’ Awards for the individuals included in his letter to the Regents and,

II. Authorize presentation of the Norman Campus Regents’ Awards at the Norman Campus Faculty Tribute Ceremony and the Health Sciences Center Regents’ Awards at the Health Sciences Center General Faculty meeting.

Regent Wade moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

**ACADEMIC PERSONNEL ACTIONS – NC & HSC**

Health Sciences Center:

**LEAVE(S) OF ABSENCE:**

Harrison, Donald L., Associate Professor of Pharmacy Clinical and Administrative Sciences, leave of absence with pay, January 26, 2010 through April 1, 2010.

Hoover, Penny, Clinical Assistant Professor of Family and Preventive Medicine, return from leave of absence with pay, February 1, 2010.

Miller, Bernadette Maria, Clinical Instructor in Internal Medicine, Tulsa, leave of absence without pay, January 4, 2010 through February 1, 2010.

Mubichi, Florence Makandi, Assistant Professor of Nursing, return from leave of absence with pay, April 13, 2009. Department failed to submit action returning faculty member from medical leave of absence.

Reiner, William George, Professor of Urology, return from leave of absence with pay, January 11, 2010.

Sezginsoy, Banu, Assistant Professor of Geriatrics, leave of absence without pay, January 1, 2010 through April 15, 2010.
Sumner, Jennifer Otoka, Clinical Assistant Professor of Pediatrics, Tulsa, return from leave of absence with pay, February 1, 2010.

Vesta, Kimi Sue Boedeker, Associate Professor of Pharmacy Clinical and Administrative Sciences, return from leave of absence with pay, January 4, 2010.

Sabbatical Leave(s) of Absence:

Bourne, David W.A., Professor of Pharmaceutical Sciences, sabbatical leave of absence with full pay, July 1, 2010 through December 31, 2010. To work in the laboratory of Dr. Uday Kompella at the University of Colorado at Denver, College of Pharmacy, with emphasis on making and evaluating nanoparticles based on PLGA. This study will allow for improved development of these particular drug delivery systems and better experiments. In addition, this study will generate preliminary data for submission of at least one manuscript and grant as well as new skills to share with College of Pharmacy faculty on their projects as well as for the new research methods course for graduate students. Faculty Appointment: 03/01/87. No previous leaves taken. Teaching responsibilities will be covered by other faculty.

Reinke, Lester A., Professor of Pharmaceutical Sciences, sabbatical leave of absence with full pay, July 1, 2010 through December 31, 2010. Train in the laboratory of Dr. George Richter-Addo, Professor and Chair, Department of Chemistry and Biochemistry, Norman Campus, and develop new research methods for use in the study of nitric oxide chemistry and biochemistry in liver disease. Faculty Appointment: 09/01/80. Previous leaves taken, July 1, 1989 through June 30, 1990; July 1, 1999 through December 1999. Teaching responsibilities will be covered by other faculty.

NEW APPOINTMENT(S):

Cutter, Elena Gennadyevna, Clinical Instructor in Neurology, annualized rate of $70,000 for 12 months ($5,833.33 per month), January 31, 2010 through June 30, 2010.

Dusa, Adrian C., M.D., Assistant Professor of Medicine, annualized rate of $60,000 for 12 months ($5,000.00 per month), February 1, 2010 through June 30, 2010. New consecutive term appointment.

Foote, Andrew, M.D., Clinical Assistant Professor of Psychiatry and Behavioral Sciences, annualized rate of $64,000 for 12 months ($5,333.33 per month), 0.80 time, June 30, 2010 through June 30, 2011.

Foote, Elizabeth, M.D., Clinical Assistant Professor of Psychiatry and Behavioral Sciences, annualized rate of $64,000 for 12 months ($5,333.33 per month), 0.80 time, June 30, 2010 through June 30, 2011.

Halliday, Nancy L., Ph.D., Associate Professor of Research, Department of Cell Biology, annualized rate of $17,000 for 12 months ($1,416.96 per month), 0.20 time, January 22, 2010 through June 30, 2010.

Ivanov, Vadim A., M.D., Assistant Professor of Pediatrics, annualized rate of $75,000 for 12 months ($6,250.00 per month), January 4, 2010 through June 30, 2010. Tenure track appointment.

REAPPOINTMENT(S):

Avery, Kevin T., D.M.D., Professor Emeritus of Dental Services Administration, annualized rate of $24,000 for 12 months ($2,000.00 per month), 0.25 time, February 18, 2010 through June 30, 2010.
CHANGE(S):

Ashikyan, Oganes, title changed from Assistant Professor to Clinical Assistant Professor of Radiological Sciences, retains title Chief, Musculoskeletal Section, salary changed from annualized rate of $60,000 for 12 months ($5,000.00 per month), to agreed contract rate, January 17, 2010 through June 30, 2010.

Bowlware, Karen, Clinical Assistant Professor of Pediatrics, salary changed from annualized rate of $48,750 for 12 months ($4,062.50 per month), 0.75 time, to annualized rate of $65,000 for 12 months ($5,416.67 per month), full time, January 1, 2010 through June 30, 2010. Change in FTE. Cherry, Amanda Suzanne, Clinical Assistant Professor of Pediatrics, given additional title Adjunct Assistant Professor of Psychiatry and Behavioral Sciences, February 1, 2010.

Chinthalapally, Venkateshwar Rao, Professor of Medicine, and The Dr. and Mrs. W.W. Kerley and Mr. and Mrs. Cash Cade Chair in Cancer Clinical Research, salary changed from annualized rate of $160,576 for 12 months ($13,381.33 per month) to annualized rate of $190,000 for 12 months ($15,833.33 per month), March 1, 2010 through June 30, 2010. Tenured base $90,000; departmental salary $100,000. Retention increase.

Clancy, Gerard P., President, OU-Tulsa, Professor of Psychiatry, Tulsa, and The Morningside Health Care Foundation Chair in Leadership; title Dean, College of Medicine, Tulsa, deleted, March 25, 2010.

Csiszar, Anna, Assistant Professor of Research, Department of Geriatrics, given additional title Adjunct Assistant Professor of Research, Department of Physiology, February 1, 2010.

Doyle, Nora M., Associate Professor of Obstetrics and Gynecology, Tulsa, changing from Consecutive Term Appointment to Tenure Track, December 31, 2009.

Duffy, Francis Daniel, title changed from Clinical Professor to Professor of Internal Medicine, Tulsa, title Senior Associate Dean for Academic Programs, College of Medicine, Tulsa, deleted; given additional title Dean, College of Medicine, Tulsa; salary changed from annualized rate of $176,800, for 12 months ($14,733.33 per month) to annualized rate of $201,800 for 12 months ($16,816.67 per month), March 25, 2010 through June 30, 2010. Includes an administrative supplement of $51,800 while serving as dean. University base $150,000. Consecutive term appointment.

Gardner, Michael Owen, Professor and Chair of Obstetrics and Gynecology, Tulsa, and The Hillcrest Chair in Obstetrics and Gynecology, changing from Consecutive Term Appointment to Tenure Track, December 31, 2009.

Holtzclaw, Barbara J., Adjunct Professor of Nursing, salary changed from annualized rate of $49,000 for 12 months ($4,083.33 per month), 0.49 time, to annualized rate of $60,000 for 12 months ($5,000.00 per month), 0.60 time, March 1, 2010 through June 30, 2010. Change in FTE.

Hoover, Penny, Clinical Assistant Professor of Family and Preventive Medicine, salary changed from annualized rate of $39,405 for 12 months ($3,283.75 per month), 0.51 time, to annualized rate of $77,265 for 12 months ($6,438.72 per month), full time, February 1, 2010 through June 30, 2010. Change in FTE.

Kreth, Jens, Assistant Professor of Microbiology and Immunology, given additional title Adjunct Assistant Professor of Oral Biology, February 24, 2010.

Lyons, Timothy, Professor of Medicine, Chief, Section of Endocrinology and Diabetes, and The Chickasaw Nation Chair in Diabetes, given additional title Adjunct Professor of Physiology, February 1, 2010.
March 25, 2010

Short, Kevin R., Assistant Professor of Pediatrics, given additional title Adjunct Assistant Professor of Physiology, March 1, 2010.

Siatkowski, Raymond M., Professor of Ophthalmology, given additional title The James P. Luton Chair in Ophthalmology, salary changed from annualized rate of $70,000 for 12 months ($5,833.36 per month) to annualized rate of $110,000 for 12 months ($9,166.67 per month), January 1, 2010 through June 30, 2010. Includes an administrative supplement of $40,000 while holding The James P. Luton Chair in Ophthalmology. Tenured base $67,000; departmental salary $3,000.

Swisher, Lisa, Clinical Assistant Professor of Pediatrics, salary changed from annualized rate of $62,303 for 12 months ($5,191.89 per month), 0.84 time, to annualized rate of $56,369 for 12 months ($4,697.42 per month), 0.76 time, February 1, 2010 through June 30, 2010. Change in FTE.

Umar, Shahid, Associate Professor of Medicine and Adjunct Associate Professor of Pathology, given additional title Adjunct Associate Professor of Physiology, February 1, 2010.

Ungvari, Zoltan, Associate Professor of Geriatrics and The Donald W. Reynolds Chair in Aging Research, given additional title Adjunct Associate Professor of Physiology, February 1, 2010.

Wiechmann, Jody Ann, Professor of Cell Biology, salary changed from annualized rate of $123,750 for 12 months ($10,312.50 per month) to annualized rate of $124,350 for 12 months ($10,362.50 per month), March 1, 2010 through June 30, 2010. Departmental input error during budget process.

Wu, Mingyuan, Assistant Professor of Medicine, given additional title Adjunct Assistant Professor of Physiology, February 1, 2010.

RESIGNATION(S) AND/OR TERMINATION(S):

Budetti, Peter, Professor of Health Administration and Policy and The Edward E. and Helen T. Bartlett Foundation Chair in Public Health, February 17, 2010. Accepted another position.


Dean, Lori Williamson, Clinical Assistant Professor of Pediatrics, March 15, 2010. Non-renewal of contract.

Ellis, David Paul, Assistant Professor of Anesthesiology, March 23, 2010.

Emerson, Amy Nisbett, Clinical Assistant Professor of Pediatrics, Tulsa, January 29, 2010. Personal reasons.

Freeman, Jennifer L., Assistant Professor of Pediatrics, Tulsa, March 31, 2010.

Huang, Stephen Robert, Assistant Professor of Family Medicine, Tulsa, March 14, 2010.

Sezginsoy, Banu, Assistant Professor of Geriatrics and The Donald W. Reynolds Chair in Geriatric Medicine, March 15, 2010.

RETIREMENT(S):

Patterson, Eugene S., Associate Professor of Cell Biology, March 1, 2010.

San Joaquin, Venusto H., Professor of Pediatrics, April 1, 2010.
Waxman, Frank Jacob, Professor of Microbiology and Immunology, April 1, 2010. Named Professor Emeritus of Microbiology and Immunology.

**Norman Campus:**

**LEAVE(S) OF ABSENCE:**

Crawford, Kenneth C., Regent’s Professor and Professor of Meteorology, leave of absence without pay, February 1, 2010. Serving as Vice Administrator at Korean Meteorological Agency in Korea.

Snow, John T., Dean of the College of Atmospheric and Geographic Sciences, and Regents Professor and Professor of Meteorology, family and medical leave of absence, February 1, 2010.

**Sabbatical Leaves of Absence – Fall 2010 (with full pay)**

Anderson, Kermyt G., Associate Professor of Anthropology, and Project Director of the Center for Health Ethics, Research, and Policy, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will use cross-cultural time allocation data from developing countries to examine how parenthood affects how men and women spend their time. Work will take place in Norman, OK. Faculty appointment: 08/16/2002. No previous leaves taken. Teaching load will be covered by current faculty by increasing section sizes.

Beard, Fred K., Professor of Journalism and Mass Communication, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Complete background research and writing of a book proposal on the topic of comparative advertising. Invest substantial time toward designing a graduate-level course in the History of Advertising. All work will take place in Norman, OK with some travel for research. Faculty appointment: 07/01/1994. Sabbatical leave of absence with full pay 01/01/04 to 05/15/04. Teaching load covered by current faculty.

Carter, Christopher S., Assistant Professor of English, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will work toward completing second book “Framing the Body Politic: American Documentary Photography and the Rhetoric of Reform.” Work will take place in Norman, OK, and at the University of California, Berkeley. Faculty appointment: 08/16/2004. No previous leaves taken. Teaching load will be covered by current faculty and visiting faculty.

Caselman, Tonia D., Associate Professor of Social Work, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will produce a manuscript from data that has already been collected and produce 2 three hour continuing education modules for the department’s new Oklahoma Community of Learning. Work will take place in Tulsa, OK. Faculty appointment: 08/16/1999. No previous leaves taken. Teaching load will be covered by adjunct faculty.

Cook, Rena R., Associate Professor of Drama, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will write a book entitled “Voice in Action,” and guest lecture in the UK. Most of the work will take place in London, England. Faculty appointment: 08/16/01. No previous leaves taken. Teaching load covered by other course offerings.


Greene, Barbara A., Professor of Educational Psychology, and Brian and Sandra O’Brien Presidential Professor, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. This time will be used to think and read in order to concentrate on where to next focus research. Will also use this time to focus on the duties of being a new journal editor. Work will take place mainly in Norman, OK and attend international conference in Porto, Portugal. Faculty appointment: 08/16/1990. Sabbatical leave of absence with half pay 08/16/99 to 05/15/00. Teaching load covered by current faculty.
Griffith, Priscilla L., Professor of Instructional Leadership and Academic Curriculum, and Ruth G. Hardman Chair in Education, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will write a novel to better understand the process of creative writing and conduct library research on lexical restructuring theory. Work will take place in Norman, OK. Faculty appointment: 07/01/2001. No previous leaves taken. Teaching load covered by a graduate student.

Griswold, Robert L., Chair and Professor the Department of History, Hudson Family Professor of History, Brian and Sandra O’Brien Presidential Professor, and Professor of Women’s and Gender Studies, sabbatical leave of absence with full pay, July 1, 2010 through December 31, 2010. Will research the history of grade inflation in American higher education from roughly 1960 until the present time. Some of the research will take place in Norman, OK and some research will take place in selected university and college archives from different regions of the country. Faculty appointment: 09/01/1980. Sabbatical leave of absence with full pay 01/01/88 to 05/15/88 and 01/01/96 to 05/15/96. Teaching load covered by instructional faculty, upper division elective courses cancelled but many other upper division courses available.

Ju, Jiandong, Associate Professor of Economics, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will spend a semester at Tsinghua University in Beijing, China to develop a join research project on global imbalances between OU and Tsinghua University. Work will take place in Beijing, China. Faculty appointment: 08/16/1995. Sabbatical leave of absence with half pay 08/16/02 to 05/15/03. One course will be covered by a graduate assistant and the other course is postponed until Spring, 2011.

Kates, Susan L., Associate Professor of English, Associate Professor of Women’s and Gender Studies, and Director of First Year English Composition, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will complete book and submit manuscript for publication, “Plains Country Women,” which is a book of creative non-fiction about ordinary women’s cultures in Oklahoma – Cowgirls, Panhandle Pioneer Day Queens, Native American Casino Workers, and Caretakers of Children and Birds. Work will take place in various Oklahoma locations. Faculty appointment: 08/16/1995. Sabbatical leave of absence with full pay 01/01/04 to 05/15/04. Elective course cancelled. Teaching load covered by graduate teaching assistant.

Kritz, Ori, Associate Professor of Modern Languages, Literatures, and Linguistics, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will write a book and article, “Shame in Hebrew Literature of the Holocaust” and the “Establishment of the State of Israel.” Work will take place in Norman, OK, New York, and Israel. Faculty appointment: 08/16/2004. No previous leaves taken. Two courses will be covered by current faculty and a third course will be offered another semester.

Latrobe, Kathy L., Professor and Director of the School of Library and Information Studies, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will update and expand (with co-authors) The Children’s Literature Dictionary and update courses to include new content for full-time teaching after completion of 5 years as the school’s director. Work will take place in Norman, OK. Faculty appointment: 08/16/1988. Sabbatical leave of absence with full pay 01/01/04 to 05/15/04. Teaching load will be covered by adjunct faculty.

Marek II, Edmund A., Professor of Instructional Leadership and Academic Curriculum, and Linda Clarke Anderson Presidential Professor, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will expand upon past sabbatical that was teaching 4th grade science and current research in teacher education to prepare a textbook for K-12 science teacher education. Work will occur in Norman, OK and the University of Texas-Arlington where co-author teaches. Faculty appointment: 08/01/1982. Sabbatical leave of absence with full pay 05/16/92 to 08/16/92, 08/16/95 to 11/16/95, and 01/01/02 to 05/15/02. Teaching load covered by adjunct faculty.

Mason, Bruce A., Associate Professor of Physics and Astronomy, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will enhance the pedagogical effectiveness and impact of a world-class physics education web site through collaboration with education researchers, curriculum developers, and faculty. Most of the work will take place in Norman, OK, with some travel to Maryland for collaborations and France to attend international workshops. Faculty appointment: 07/01/1989. Sabbatical leave of absence with half pay 08/16/03 to 05/15/04. Teaching load will be covered by current faculty.
Matlick, Eldon R., Professor of Music, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will research and record French literature for Horn and Piano. A large body of French recital music composed for the Paris Conservatory has never been recorded. The goal is to select works and pursue a recording project to make and educational recording. All work will be done locally. Faculty appointment: 09/01/1983. Sabbatical leave of absence with full pay 08/16/01 to 12/31/01. Teaching load to be covered by visiting instructor and graduate student.

Mayeux, Lara, Assistant Professor of Psychology, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will expand upon current research program by analyzing data sets and preparing manuscripts for submission to journals. Collaborative research will be done with developmental scientists at other institutions and OU. Faculty appointment: 08/16/2004. No previous leaves taken. One course will be covered by an adjunct professor and another class will be postponed until Spring, 2011.

McCullough, Darryl J., Professor of Mathematics, and President’s Associates Presidential Professor, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will complete the writing of a research monograph and research articles, and work with collaborators on ongoing research projects. The writing work will take place in Norman, OK, with domestic and international travel possible for collaborations. Faculty appointment: 09/01/1978. Sabbatical leaves of absence with full pay 08/16/84 to 12/31/84, 08/16/96 to 12/31/96, 01/01/04 to 05/15/04. Teaching load will be covered by current faculty.

Meirick, Patrick C., Associate Professor of Communication, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will investigate the dynamics of candidate choice in the 2008 presidential primaries through publicly available American National Election Studies panel study data, and working with Office of Research Services to explore the potential for external funding of research. Work will take place in Norman, OK. Faculty appointment: 08/16/2002. No previous leaves taken. Teaching load covered by other course offerings.

Mitra, Aparna, Associate Professor of Economics, and Adjunct Associate Professor of Women’s and Gender Studies, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will be working on research on “Gender Empowerment of Women in India,” using data from the Census and National Family Health Survey. Most of the work will take place in Norman, OK and Dallas, TX. Faculty appointment: 08/16/2000. No previous leaves taken. One course will be covered by other sections and two elective classes will be offered in Spring, 2011.

Mitra, Shankar, Professor of Geology and Geophysics, Associate Director of the Rock Mechanics Institute, and Victor E. Monnett Chair in Energy Resources, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Initiate the writing of a text on Structural Styles in Petroleum Exploration and Production, update of graduate and undergraduate course curriculum, and continuation of research in Structural Geology and student advising. All work will be conducted at the University of Oklahoma in Norman and in home offices located in Norman and Dallas, TX. Faculty appointment: 03/01/1999. No previous leaves taken. Teaching load covered by other course offerings.

Mock, Douglas W., George Lynn Cross Research Professor of Zoology, and Edith Gaylord Harper Presidential Professor, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Collaboration with Dr. G.A. Parker, FRS, on theoretical models on cooperation within bird families, presenting papers at major international conference and manuscript preparation. Most of the work will take place primarily on the University of Oklahoma, Norman campus with trips to the University of Liverpool, England, and Australia. Faculty appointment: 01/01/1978. Sabbatical leave of absence with half pay 08/16/84 to 05/15/85, sabbatical leave of absence with full pay 08/16/94 to 12/31/94, and 01/01/03 to 05/15/03. Teaching load covered by current senior doctoral student.

Muraleetharan, Kanthasamy K., David Ross Boyd Professor and Professor of Civil Engineering and Environmental Science, and President’s Associates Presidential Professor, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will promote research findings among academia and industry and develop ideas for future research proposals. Work will take place at various U.S. and international universities including the Cambridge University in England. Faculty appointment: 08/16/1994. Sabbatical leave of absence with full pay 01/01/02 to 05/15/02. Undergraduate teaching load covered by current faculty and graduate course load will be covered by offering other electives.
March 25, 2010

Murphy, Sheena, Associate Professor of Physics and Astronomy, Samuel Roberts Noble Presidential Professor, and Joseph Brandt Professor, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will conduct research on semiconductors with the goal of developing a reliable gating process for InSb heterostructures to exploit their use as spintronic devices for both fundamental physics and commercial applications. Most of the work will take place primarily on the University of Oklahoma, Norman campus with trips to the Tyndall Institute, Cork, Ireland, and the National Nanofabrication Network, Cornell University. Faculty appointment: 01/01/1995. No previous leaves taken. Teaching load covered by current faculty.

Przebinda, Tomasz, Professor of Mathematics, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will continue research on the character theory of real reductive groups and will collaborate with mathematicians in France and Poland. Faculty appointment: 08/16/1990. Sabbatical leaves of absence with full pay 08/16/96 to 12/31/96 and 08/16/03 to 12/31/03. Teaching load covered by visiting faculty or adjunct lecturers.

Reichardt, Sarah J., Assistant Professor of Music, and Undergraduate Studies Coordinator, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will work toward completing a monograph investigating how Dmitri Shostakovich reinterpreted the Beethovenian style in his instrumental music. This work will take place in Norman, OK. Faculty appointment: 08/16/03. No previous leaves taken. Teaching load covered by current faculty.

Safiejko-Mroczka, Barbara, Associate Professor of Zoology, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will work in Norman on the development of gingival epithelial primary cultures for new research projects on wound healing and work on publications that will also require completion of some experimental work. Work will be conducted on the University of Oklahoma, Norman campus. Faculty appointment: 08/16/1998. No previous leaves taken. Teaching load covered by other course offerings.

Schmidt, Ralf, Associate Professor of Mathematics, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Continue to work on long-term and successful collaborations in automorphic forms with Dr. Brooks Roberts at the University of Idaho and with Dr. Ameya Pitale at the American Institute of Mathematics. Work will take place primarily in Norman, OK with potential trips to the University of Idaho and the American Institute of Mathematics in Palo Alto, CA. Faculty appointment: 08/16/2004. No previous leaves taken. Teaching load covered by adjunct lecturers and visiting faculty.

Schwagmeyer, Patricia L., Professor of Zoology, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Conduct statistical analysis of research data and manuscript preparation, development of mathematical models for current research in collaboration with British theoretician. Most of the work will take place primarily in Norman, OK with time away for one international meeting and collaboration work at the University of Liverpool. Faculty appointment: 09/01/1981. Sabbatical leave of absence with half pay 08/16/88 to 05/15/89, sabbatical leave of absence with full pay 01/01/97 to 05/15/97, and 01/01/04 to 05/15/04. Teaching load covered by other course offerings.

Sharp, Susan F., Professor of Sociology, L.J. Semrod Presidential Professor, and Adjunct Professor of Women’s and Gender Studies, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will conduct work on a manuscript of a book on incarcerated women in Oklahoma, using several years of data she has collected in the Oklahoma Study of Incarcerated Women and Their Children (2005-2009). Most of the work will take place primarily in Norman, OK with a possible trip to Italy for collaboration on another project. Faculty appointment: 08/16/1996. Sabbatical leave of absence with full pay 08/16/03 to 12/15/03. Teaching load covered by current faculty and other course offerings.

Shi, Zhisheng, Professor of Electrical and Computer Engineering, and Gerald Tuma Presidential Professor, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. This time will be used to pursue new research activity for thermoelectric power generation and explore industrial applications of this research. Work will take place mainly in Norman, OK with some possible visits to MIT and Germany. Faculty appointment: 08/16/1997. Sabbatical leave of absence with full pay 01/01/04 to 05/15/04. Teaching load covered by current faculty and other course offerings.

Snow, John T., Dean of the College of Atmospheric and Geographic Sciences, and Regents Professor and Professor of Meteorology, sabbatical leave of absence with full pay, July 1, 2010 through December 31, 2010. Will focus on transition from Dean to regular faculty duties, prepare course notes for offering three classes in subsequent years, expand OU’s efforts to upgrade national hydrometeorological services in countries such as the Republic of Uganda and the Republic of Korea. These activities will take place primarily at the National Weather Center, Norman. Faculty appointment: 01/01/1994. No previous leaves taken.

Strout, Andrew L., Professor of Art and Art History, sabbatical leave of absence with full pay, August 16, 2010 through December 31, 2010. Will return to Kerala, India, to update photographic documentation that has spanned 35 years and to further explore Taiwan, China and Pacific Islands if time permits. Work will take place in India, China, and Pacific Islands. Faculty appointment: 09/01/1981. Sabbatical leave of absence with half pay 08/16/87 to 05/15/88, 08/16/94 to 05/15/95, and 08/16/02 to 05/15/03. Teaching load covered by current faculty or graduate teaching assistant.

Vaughn, Caryn C., Director and Professor of the Oklahoma Biological Survey, and Professor of Zoology, President’s Associates Presidential Professor, sabbatical leave of absence with full pay, July 1, 2010 through December 31, 2010. Will synthesize data on how biodiversity influences ecosystem function, gather pilot data on the use of freshwater mussels as natural biofilters, and produce a web site on Oklahoma freshwater mussels. Most of this research will take place in Norman on the research campus. Faculty appointment: 07/01/1994. Sabbatical leave of absence with full pay 01/01/03 to 06/30/03. Course load covered by advanced doctoral student.

Westrop, Stephen R., Professor of Geology and Geophysics, Curator of Invertebrate Paleontology, and Willard L. Miller Professor of Geology and Geophysics, sabbatical leave of absence with full pay, July 1, 2010 through December 31, 2010. Will focus on field work, lab work, and manuscript preparation. All work will be conducted in the laboratories of the Sam Noble Oklahoma Museum of Natural History. Faculty appointment: 01/01/1988. Sabbatical leave of absence with full pay 01/01/04 to 06/30/04. Teaching load covered by moving course to Spring, 2011.

Sabbatical Leave of Absence – Spring 2011 (with full pay)

Robertson, Lindsay G., Professor of Law, and Judge Haskell A. Holloman Professor of Law, sabbatical leave of absence with full pay, January 1, 2011 through May 15, 2011. Will begin research on next book, tentatively intended to address the origins of the guardian/ward relationship in U.S. Indian law and provide a comprehensive history of the case of U.S. v. Kagama (1886). Most of the work will take place in Norman, OK. Faculty appointment: 08/16/1998. Sabbatical leave of absence with full pay 08/16/03 to 12/31/03. One course will be cancelled and another course will be covered by current faculty.

Sabbatical Leave of Absence Fall 2010 and Spring 2011 (with half pay)

Alzate, Monica M., Associate Professor of Social Work, and Adjunct Assistant Professor of Women’s and Gender Studies, sabbatical leave of absence with half pay, August 16, 2010 through May 15, 2011. Will write two different grants to continue research on reproductive health both in Colombia and in the United States. Possibly collaborate with World Neighbors (in OKC) to write working paper on reproductive health and community development in Bolivia. Work will take place in Norman, OK. Faculty appointment: 08/16/02. No previous leaves taken. Teaching load covered by adjunct faculty.
Backus, Mary S., Associate Professor of Law, sabbatical leave of absence with half pay, August 16, 2010 through May 15, 2011. Will redesign the Evidence course, develop a Law and Literature course and devote additional time to volunteer activities. Will also research and develop a more clearly defined research agenda for future work. Work will take place in Norman, OK. Faculty appointment: 07/16/04. No previous leaves taken. Teaching load covered by adjunct faculty and one course is offered every other year.

Basic, Rozmeri, Associate Dean of the Weitzenhoffer Family College of Fine Arts, and Associate Professor of Art and Art History, sabbatical leave of absence with half pay, August 16, 2010 through May 15, 2011. Has been recommended for a Fulbright Lecturing/Research award in Serbia for the project Remedies for Contemporary Iconoclasm. Work will take place in Serbia. Faculty appointment: 08/16/98. No previous leaves taken. Teaching load covered by other course offerings.

Forester, Max B., Associate Professor of Mathematics, sabbatical leave of absence with half pay, August 16, 2010 through May 15, 2011. Will continue well established research investigations in geometric group theory and topology with collaborators at Warwick University, Oxford University, and University of Michigan and elsewhere. Faculty appointment: 08/16/04. No previous leaves taken. Teaching load covered by visiting faculty or adjunct faculty.

Jenson White, Kathryn, Assistant Professor of Journalism and Mass Communication, sabbatical leave of absence with half pay, August 16, 2010 through May 15, 2011. Will teach journalism as a Fulbright Scholar at Bu University of Sofia in Bulgaria and will work to advance to ongoing projects: research on women in media leadership and history of Oklahoma Scholastic Media. Most of the work will take place in Bulgaria and Norman, OK. Faculty appointment: 08/16/04. No previous leaves taken. Teaching load will be covered by current faculty.

Kelly, Jeffrey F., Associate Professor of Zoology, Associate Professor of Oklahoma Biological Survey, and Associate Heritage Zoologist of Oklahoma Biological Survey, sabbatical leave of absence with half pay, July 1, 2010 through June 30, 2011. Will work on the synthesis of MIGRATE meeting “future of migration biology” and a general modeling framework for migration simulations and the development of a stable isotope ratio database for use in modeling isoscapes. Work will take place in Santa Barbara, CA and Radofzell, Germany. Faculty appointment: 07/01/02. No previous leaves taken. Teaching load covered by other course offerings.

Klebba, Phillip E., Professor of Chemistry and Biochemistry, sabbatical leave of absence with half pay, August 16, 2010 through May 15, 2011. Will conduct research on the mechanism of action of the membrane transporter LacY with collaborator Dr. H. Ron Kaback. Work will take place at UCLA School of Medicine, CA. Faculty appointment: 08/16/94. Sabbatical leave of absence with half pay 08/16/02 to 05/15/03. Teaching load covered by current faculty.

Nedeljkovich, Mihajlo-Misha, Associate Professor of Film and Video Studies, sabbatical leave of absence with half pay, August 16, 2010 through May 15, 2011. Has been recommended for a Fulbright Lecturing/Research award in Montenegro. This work will take place in Montenegro. Faculty appointment: 08/16/92. Sabbatical leave of absence with half pay 08/16/03 to 05/15/04. Teaching load covered by adjunct faculty.

Ng, Su Fang, Associate Professor of English, sabbatical leave of absence with half pay, August 16, 2010 through May 15, 2011. Will research the reception and appropriation of Alexander the Great legends in early modern Britain and Southeast Asia which will compare models of empires across culture, regions, and religions. This work will take place in Norman, OK. Faculty appointment: 08/16/01. No previous leaves taken. Teaching load covered by graduate teaching assistants and visiting faculty.

Richter, Liesa L., Associate Dean and Associate Professor of the College of Law, sabbatical leave of absence with half pay, August 16, 2010 through May 15, 2011. Will undertake two projects relating to federal evidentiary issues, which represent core areas of teaching and scholarly interest. Most of this work will take place in Norman, OK along with some attendance at academic conferences. Faculty appointment: 08/16/01. No previous leaves taken. Teaching load covered by current faculty and visiting faculty.
Riggs, Wayne D., Associate Professor of Philosophy, sabbatical leave of absence with half pay, August 16, 2010 through May 15, 2011. Will complete a number of journal article projects related to epistemic value and to develop a related expertise in social epistemology. This work will take place in Norman, OK. Faculty appointment: 08/16/95. Sabbatical leave of absence with half pay 08/16/03 to 05/15/04. Teaching load covered by visiting faculty.

Skubic, Patrick L., Professor of Physics and Astronomy, sabbatical leave of absence with half pay, August 16, 2010 through May 15, 2011. Will perform physics analysis of data from the Large Hadron Collider (HC) located in Geneva, Switzerland at the international laboratory CERN. This physics run at the HC is starting this year, 2010, after twelve years of OU involvement in construction. Most of the work will be done in Switzerland. Faculty appointment: 01/16/81. Sabbatical leave of absence with full pay 08/16/97 to 12/31/97 and 01/01/04 to 05/15/04; sabbatical leave of absence with half pay 01/01/87 to 05/15/87, 08/16/87 to 12/31/87, and 01/01/91 to 05/15/91. Teaching load covered by current faculty.

NEW APPOINTMENT(S):

Bergersen, Kyle W., Assistant Professor of Journalism and Mass Communication, annualized rate of $57,000 for 9 months ($6,333.33 per month), August 16, 2010 through May 15, 2011. New tenure-track faculty.

Edge-Boyd, Sandra K., Adjunct Instructor of Social Work, annualized rate of $19,800 for 12 months ($1,650.00 per month), 0.25 time, February 1, 2010.

Keesee, Marguerite S., Ph.D., Research Associate, Center for Spatial Analysis, annualized rate of $45,000 for 12 months ($3,750.00 per month), 0.75 time, February 1, 2010. Paid from grant funds; subject to availability of funds.

Kramer, Michael W., Ph.D., Professor and Chair of the Department of Communication, annualized rate of $135,000 for 12 months ($11,250.00 per month), July 1, 2010. New tenured academic administrator.

Raadschelders, Julie, Ph.D., Assistant Professor of Liberal Studies, annualized rate of $73,333 for 12 months ($6,111.11 per month), January 1, 2010 through June 30, 2014. Four-year renewable term appointment.

Welch, Ginger L., Ph.D., Assistant Professor of Human Relations, annualized rate of $55,000 for 9 months ($6,111.11 per month), August 16, 2010 through May 15, 2015. Five-year renewable term appointment.

CHANGE(S):

Beliveau, Ralph J., Assistant Professor of Journalism and Mass Communication, annualized rate of $58,752 for 9 months ($6,528.01 per month), additional stipend of $4,500 for increased teaching duties in the College of Journalism and Mass Communication, January 1, 2010 through May 15, 2010.

Crawford, Kenneth C., Regent’s Professor and Professor of Meteorology, given additional title Oklahoma Climatological Survey Director Emeritus, salary remains at annualized rate of $71,180 for 9 months ($7,908.86 per month), 0.50 time, January 1, 2010.

Galiasso Taillleur, Roberto E., title changed from Senior Research Scientist to Research Fellow, Chemical, Biological and Materials Engineering, salary remains at annualized rate of $65,000 for 12 months ($5,416.67 per month), March 1, 2010. Paid from grant funds; subject to availability of funds.
March 25, 2010

Grunsted, Michelle L., Lecturer of Marketing and Supply Chain Management, annualized rate of $65,971 for 9 months ($7,330.11 per month), additional stipend of $3,250 for increased teaching duties in the Division of Marketing and Supply Chain Management, January 1, 2010 through May 15, 2010.

Herrerias, Catalina, Associate Professor of Human Relations, annualized rate of $66,188 for 9 months ($7,354.27 per month), additional stipend of $4,000 for increased teaching duties in the Department of Human Relations, January 1, 2010 through May 15, 2010.

Hong, Yang, Associate Professor of Civil Engineering and Environmental Science, salary changed from annualized rate of $71,400 for 9 months ($7,933.33 per month) to annualized rate of $91,400 for 9 months ($10,155.56 per month), January 1, 2010. Equity increase.

Jenson White, Kathryn, Assistant Professor of Journalism and Mass Communication, annualized rate of $58,752 for 9 months ($6,528.01 per month), additional stipend of $2,250 for increased teaching duties in the Gaylord College of Journalism and Mass Communication, January 1, 2010 through May 15, 2010.

Krutz, Glen S., Professor of Political Science and Associate Director of the Carl Albert Congressional Research and Studies Center, additional stipend of $4,400 for increased teaching duties in the Department of Political Science at Tulsa, January 1, 2010 through May 15, 2010; salary changed from annualized rate of $107,860 for 12 months ($8,988.33 per month) to annualized rate of $117,860 for 12 months ($9,821.67 per month), July 1, 2010. Counteroffer.

Loessberg, Gerald L., Instructor of Journalism and Mass Communication, annualize rate of $41,078 for 9 months ($4,564.27 per month), additional stipend of $4,500 for increased teaching duties in the Gaylord College of Journalism and Mass Communication, January 1, 2010 through May 15, 2010.

Sheronas, Matthew V., Research Associate, Industrial Engineering, salary changed from annualized rate of $86,400 for 12 months ($7,200.00 per month) to annualized rate of $72,000 for 12 months ($6,000.00 per month), February 1, 2010. Paid from grant funds; subject to availability of funds.

Snow, John T., Regents Professor and Professor of Meteorology, delete title Dean of the College of Atmospheric and Geographic Sciences, given additional title Dean Emeritus of the College of Atmospheric and Geographic Sciences, salary remains at annualized rate of $217,901 for 12 months ($18,158.42 per month), July 1, 2010.

Spears, Bruce R., Research Associate, Petroleum and Geological Engineering, salary changed from annualized rate of $61,008 for 12 months ($5,084.01 per month), 0.75 time, to annualized rate of $71,008 for 12 months ($5,917.34 per month), 1.00 time, February 1, 2010. Paid from grant funds; subject to availability of funds.

Xue, Ming, Professor of Meteorology and Director of the Center for Analysis and Prediction of Storms, given additional title WeatherNews Chair in Applied Meteorology, salary changed from annualized rate of $107,467 for 9 months ($11,940.80 per month) to annualized rate of $127,467 for 9 months ($14,163.02 per month), April 1, 2010.

RESIGNATION(S) AND/OR TERMINATION(S):

Reynolds-Reed, Amy L., Assistant Professor of Musical Theatre, May 16, 2010.
March 25, 2010

RETIREMENT(S):

Frech, Roger E., David Ross Boyd Professor and Professor of Chemistry and Biochemistry, and Edith Gaylor Harper Presidential Professor, June 1, 2010. Named David Ross Boyd Professor Emeritus of Chemistry and Biochemistry.

Lester, June, Professor of Library and Information Studies, June 1, 2010. Named Professor Emeritus of Library and Information Studies.


Pisani, Donald J., Professor of History and Merrick Chair in Western American History, June 1, 2010. Named Professor Emeritus of History.

Waterbury, Moira, Assistant Professor of Human Relations, June 1, 2010. Named Professor Emeritus of Human Relations.


President Boren recommended the Board of Regents approve the academic personnel actions shown above.

Regent Bell moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.

DEATH(S):

President Boren regretted to report the following death(s):


ADMINISTRATIVE AND PROFESSIONAL PERSONNEL ACTIONS – ALL

Health Sciences Center:

LEAVE(S) OF ABSENCE:

Cooper, Estacia Diann, Nurse Practitioner, Obstetrics and Gynecology, College of Medicine, return from leave, February 1, 2010.

Hitzemann, Carol, Neonatal Nurse Clinician, Pediatrics, College of Medicine, return from leave, December 1, 2009.
APPOINTMENT(S):

Ahlborn, Leslie A., Physician’s Assistant I, CMT Emergency Medicine, College of Medicine-Tulsa, annualized rate of $70,000 for 12 months ($5,833.34 per month), February 15, 2010. Professional Nonfaculty.

Finkelstein, Lee Bradley, Clinical Departmental Business Administrator, Cancer Center, College of Medicine-Tulsa, annualized rate of $99,570 for 12 months ($8,297.50 per month), February 1, 2010. Administrative Staff.

Thumann, Ashley, Clinics Administrator, OU Physicians CHP Clinics, College of Medicine, annualized rate of $74,000 for 12 months, ($6,166.67 per month), March 22, 2010. Managerial Staff.

Tillotson, Erin Beth, Physician Assistant I, Pediatrics, College of Medicine, annualized rate of $75,000 for 12 months ($6,250.00 per month), January 13, 2010. Professional Nonfaculty.

Winburn, Abigail J., Nurse Practitioner, Tulsa Student Affairs, Administrative Affairs-Tulsa, annualized rate of $81,800 for 12 months ($6,816.67 per month), March 1, 2010. Professional Nonfaculty.

CHANGE(S):

Baez, Lois Ann, title changed from Neonatal Nurse Clinician, Pediatrics, College of Medicine, to Neonatal Nurse Practitioner, Pediatrics, College of Medicine, salary changed from an annualized rate of $74,000 for 12 months ($6,166.67 per month) to an annualized rate of $79,000 for 12 months ($6,583.33 per month), January 1, 2010. Professional Nonfaculty. Promotion.

Cockrum, Kathleen L., title changed from Clinic Nurse Manager, OU Physicians Faculty Clinics, College of Medicine, to Nurse Navigator, OU Cancer Institute, College of Medicine, February 22, 2010. Professional Nonfaculty. Lateral transfer.

Djogan, Djogan, Clinical Departmental Business Manager II, department changed from George Nigh Rehab Institute, College of Medicine, to George Nigh Long Term Care Hospital, College of Medicine, March 1, 2010. Managerial Staff. Departmental transfer.

Hughes, Bonnie Kate, title changed from Neonatal Nurse Clinician, Pediatrics, College of Medicine, to Neonatal Nurse Practitioner, Pediatrics, College of Medicine, salary changed from an annualized rate of $74,000 for 12 months ($6,166.67 per month) to an annualized rate of $79,000 for 12 months ($6,583.33 per month), January 1, 2010. Professional Nonfaculty. Promotion.

Kenney, Edith Happ, title changed from Neonatal Nurse Clinician, Pediatrics, College of Medicine, to Neonatal Nurse Practitioner, Pediatrics, College of Medicine, salary changed from an annualized rate of $81,084 for 12 months ($6,757.00 per month) to an annualized rate of $86,084 for 12 months ($7,173.67 per month), January 1, 2010. Professional Nonfaculty. Promotion.

Mason, Bobby Joe, Compliance Director, Compliance Office, Provost, salary changed from $100,000 for 12 months ($8,333.33 per month) to an annualized rate of $120,000 for 12 months ($10,000 per month), March 1, 2010. Administrative Staff. Additional duties.
March 25, 2010

Mattson, Ellen Suzanne, title changed from Nurse Navigator, OU Cancer Institute, College of Medicine, to Clinic Nurse Manager, OU Physicians Faculty Clinics, College of Medicine, salary changed from an annualized rate of $53,301 for 12 months ($4,441.79 per month) to an annualized rate of $62,500 for 12 months ($5,208.33 per month), March 11, 2010. Managerial Staff. Promotion.

Milam, Jennifer Amanda, title changed from Neonatal Nurse Clinician, Pediatrics, College of Medicine, to Neonatal Nurse Practitioner, Pediatrics, College of Medicine, salary changed from an annualized rate of $80,000 for 12 months ($6,666.67 per month) to an annualized rate of $85,000 for 12 months ($7,083.33 per month), January 1, 2010. Professional Nonfaculty. Promotion.

Overland, Kelle Dawn, Clinical Nurse Specialist, Pediatrics, College of Medicine, salary change from an annualized rate of $70,000 for 12 months ($5,833.34 per month) to an annualized rate of $80,000 for 12 months ($6,666.67 per month), February 1, 2010. Professional Nonfaculty. Equity adjustment.

Satnes, Kelli Jean, title changed from Neonatal Nurse Clinician, Pediatrics, College of Medicine, to Neonatal Nurse Practitioner, Pediatrics, College of Medicine, salary changed from an annualized rate of $74,000 for 12 months ($6,166.67 per month) to an annualized rate of $79,000 for 12 months ($6,583.33 per month), January 1, 2010. Professional Nonfaculty. Promotion.

Stilley, Peggy Ann, title changed from Clinic Manager, CMT Women’s Health Care Specialist, College of Medicine-Tulsa, to Senior Clinic Manager, CMT Women’s Health Care Specialist, College of Medicine-Tulsa, salary changed from an annualized rate of $61,300 for 12 months ($5,108.33 per month) to an annualized rate of $64,365 for 12 months ($5,363.75 per month), March 1, 2010. Managerial Staff. Promotion.

Taylor, Carmen Gloria, title changed from Neonatal Nurse Clinician, Pediatrics, College of Medicine, to Neonatal Nurse Practitioner, Pediatrics, College of Medicine, salary changed from an annualized rate of $80,000 for 12 months ($6,666.67 per month) to an annualized rate of $85,000 for 12 months ($7,083.33 per month), January 1, 2010. Professional Nonfaculty. Promotion.

NEPOTISM WAIVER(S):

Stewart, Mary Kathleen, Research Assistant II, CMT Emergency Medicine, College of Medicine-Tulsa, annualized rate of $10,197 for 12 months ($849.77 per month), .25 FTE, November 2, 2009. Professional Nonfaculty. Mary K. Stewart has been hired to work on the Treat Fx Grant project for which Dr. Stephen H. Thomas, Chair of Emergency Medicine, is the project manager. Mrs. Stewart is the spouse of Dr. Charles Stewart who is the Director of Research within the same department. Dr. Stephen H. Thomas serves as Mrs. Stewart’s immediate supervisor regarding compensation, evaluations, and workload assignments with no input from Dr. Charles Stewart. At no time has Mrs. Stewart been under the supervision of her husband while working for the Department of Emergency Medicine. There has not been any reported incidence of favoritism toward Mrs. Stewart from any members, including her spouse, Dr. Charles Stewart.

TERMINATION(S):


Myers, Douglas W., Parking and Transportation Manager, OU Parking, Administration & Finance, March 1, 2010. Transfer to affiliate.

Stitt, Jobi Michelle, Lead Electronic Medical Record Trainer, OU Physicians, College of Medicine, February 13, 2010. Resignation.
March 25, 2010

Walker, Amy Christine, Physician’s Assistant II, Otorhinolaryngology, College of Medicine, February 16, 2010. Discharge.

Norman Campus:

LEAVE(S) OF ABSENCE:


NEW APPOINTMENT(S):

Martinez, William, Coach/Sports Professional III, Athletic Department, Base Salary at the annualized rate of $190,000 for 12 months ($15,833.33 per month); and additional and outside income from unrestricted funds for personal services at the annualized rate of $45,000 ($3,750 per month) beginning February 8, 2010 for an initial term through May 31, 2011. Managerial Staff.

Moore, Jeffrey A., Executive Director [Administrator III], Center for Creation of Economic Wealth, annualized rate of $104,000 for 12 months ($8,666.67 per month), 0.80 FTE. February 24, 2010. Administrative Staff.

Van Linder, George, Coach/Sports Professional I, Athletic Department, annualized rate of $65,000 for 12 months ($5,416.67 per month), February 17, 2010. Managerial Staff.

Winslow, Marguerite, Staff Physician [Health Care Professional III], Goddard Health Center, annualized rate of $110,400 for 12 months ($9,200.00 per month), 0.80 FTE. March 8, 2010. Professional Staff.

CHANGE(S):

Bivines, Marcus J., title changed from University Student Programming Specialist I to Administrator III, Law Career Services, salary changed from annualized rate of $61,512 for 12 months ($5,126 per month), to annualized rate of $70,000 for 12 months ($5,833.33 per month), May 1, 2010. Administrative Staff.

Houng, Vivian S., Health Care Professional III, Goddard Health Center, salary changed from annualized rate of $97,246 for 12 months ($8,103.82 per month) to annualized rate of $112,050 for 12 months ($9,337.50 per month), March 1, 2010. Professional Staff.

Nimmo, Susan L., Scientist/Researcher II, Chemistry/Biochemistry, salary changed from annualized rate of $65,812 for 12 months ($5,484.31 per month), 1.0 FTE to annualized rate of $32,906 for 12 months ($2,742.15 per month), 0.50 FTE. Return from Personal Leave of Absence with Pay, February 8, 2010. Professional Staff.

Norris, Deborah J., Scientist/Researcher IV, Instructional Leadership and Academic Curriculum, salary changed from annualized rate of $66,000 for 12 months ($5,500.00 per month), 0.60 FTE to annualized rate of $110,000 for 12 months ($9,166.67 per month), 1.0 FTE, February 1, 2010. Professional Staff.

Parker, Stephanie A., Health Care Professional III, Goddard Health Center, salary changed from annualized rate of $114,725 for 12 months ($9,560.42 for 12 months) to annualized rate of $130,000 for 12 months ($10,833.33 per month), March 1, 2010. Professional Staff.
March 25, 2010

Pullin, Daniel W., University Vice President for Strategic Planning and Technology Development; adding title Chairman, Center for the Creation of Economic Wealth, salary remains at annualized rate of $215,000 for 12 months ($17,916.67 per month), February 24, 2010. Executive Officer.

Rice, Craig E., Chief of Staff [Health Care Professional IV], Goddard Health Center, salary changed from annualized rate of $145,875 for 12 months ($12,156.25 per month) to annualized rate of $150,000 for 12 months ($12,500.00 per month), March 1, 2010. Professional Staff.

Roberts, Lindy J., Managerial Associate I, Athletic Department, title changed to Assistant Athletics Director, salary changed from annualized rate of $66,000 for 12 months ($5,500.00 per month) to annualized rate of $71,000 for 12 months ($5,916.67 per month), January 1, 2010. Managerial Staff

Skaggs, Rick A., title changed from Architectural/Engineering Professional II to Architectural/Engineering Professional III, salary remains at annualized rate of $74,000 for 12 months ($6,166.67 per month), March 1, 2010. Professional Staff.

RESIGNATION(S)/TERMINATION(S):


Eidson, Kathryn S., Administrator III, Law Career Services, May 1, 2010.


RETIREMENT(S):


Drayton, John N., Senior Associate Director, University of Oklahoma Press, April 1, 2010.

Henwood, William T., Director, Physical Plant, March 20, 2010.


Stowell, Jessica A., Program Specialist I, Oklahoma Institute for Teaching East Asia, March 10, 2010.

President Boren recommended the Board of Regents approve the administrative and professional personnel actions shown above.

Regent Dunning moved approval of the recommendation. The following voted yes on the motion: Regents Wade, Bell, Rainbolt-Forbes, and Dunning. The Chair declared the motion unanimously approved.
LITIGATION – ALL

This item was included in the agenda for the purpose of meeting with General Counsel in executive session for a report on pending and possible litigation. No executive session was held, and there was no report.

There being no further business, the meeting adjourned at 4:29 p.m.

____________________________________
Chris A. Purcell, Ph.D.
Executive Secretary of the Board of Regents
MINUTES OF A REGULAR MEETING
THE UNIVERSITY OF OKLAHOMA
MARCH 25, 2010

MINUTES

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Statement of Revenues and Expenditures - Education & General, Part I - Unrestricted

For the Period Ended December 31, 2009 with Comparative Totals for the Period Ended December 31, 2008.

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<tr>
<th></th>
<th>(1) Original Annual Budget</th>
<th>(2) Current Revised Annual Budget</th>
<th>(3) Current Annual Y-T-D Actual</th>
<th>(4) Previous Annual Y-T-D Actual</th>
<th>(5) Percent of Current Budget</th>
<th>(6) Percent of Previous Yr. Current Budget</th>
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<tbody>
<tr>
<td><strong>Revenues:</strong></td>
<td></td>
<td></td>
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<tr>
<td>State Appropriations</td>
<td>22,134,416</td>
<td>22,134,416</td>
<td>10,605,552</td>
<td>11,663,562</td>
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<td>ARRA Funds</td>
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<td>Tuition &amp; Fees</td>
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<td>14,330,000</td>
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<td>Grants, Contracts, &amp; Reimbursements</td>
<td>746,957</td>
<td>746,957</td>
<td>527,218</td>
<td>670,323</td>
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<td>Endowment Income</td>
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<tr>
<td>Other Sources</td>
<td>173,750</td>
<td>173,750</td>
<td>111,867</td>
<td>112,402</td>
<td>64.4%</td>
<td>62.6%</td>
</tr>
<tr>
<td><strong>Total Revenues</strong></td>
<td>39,676,619</td>
<td>39,676,619</td>
<td>21,182,642</td>
<td>19,528,054</td>
<td>53.4%</td>
<td>51.1%</td>
</tr>
<tr>
<td><strong>Budgeted Reserve</strong></td>
<td></td>
<td>989,813</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Budgeted Resources</strong></td>
<td>40,666,432</td>
<td>40,666,432</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Expenditures by Function:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Instruction</td>
<td>22,716,426</td>
<td>22,716,426</td>
<td>9,497,323</td>
<td>9,519,219</td>
<td>41.8%</td>
<td>42.1%</td>
</tr>
<tr>
<td>Research</td>
<td>104,186</td>
<td>104,186</td>
<td>51,183</td>
<td>26,112</td>
<td>49.1%</td>
<td>37.3%</td>
</tr>
<tr>
<td>Public Service</td>
<td>374,427</td>
<td>374,427</td>
<td>173,729</td>
<td>173,565</td>
<td>46.4%</td>
<td>47.7%</td>
</tr>
<tr>
<td>Academic Support</td>
<td>2,133,514</td>
<td>2,133,514</td>
<td>780,495</td>
<td>746,558</td>
<td>36.6%</td>
<td>38.9%</td>
</tr>
<tr>
<td>Student Services</td>
<td>4,204,545</td>
<td>4,204,545</td>
<td>2,034,137</td>
<td>1,856,124</td>
<td>48.4%</td>
<td>51.6%</td>
</tr>
<tr>
<td>Institutional Support</td>
<td>4,341,606</td>
<td>4,341,606</td>
<td>2,417,238</td>
<td>1,841,355</td>
<td>55.7%</td>
<td>44.0%</td>
</tr>
<tr>
<td>Operation &amp; Maint of Plant</td>
<td>6,591,728</td>
<td>6,591,728</td>
<td>3,358,782</td>
<td>3,203,872</td>
<td>51.0%</td>
<td>51.3%</td>
</tr>
<tr>
<td>Scholarships &amp; Fellowships</td>
<td>200,000</td>
<td>200,000</td>
<td>6,597</td>
<td>0</td>
<td>3.3%</td>
<td>0.0%</td>
</tr>
<tr>
<td><strong>Total Expenditures</strong></td>
<td>40,666,432</td>
<td>40,666,432</td>
<td>18,319,484</td>
<td>17,366,805</td>
<td>45.0%</td>
<td>44.3%</td>
</tr>
<tr>
<td><strong>Current Revenues Over/(Under) Expenditures</strong></td>
<td>0</td>
<td>0</td>
<td>2,863,158</td>
<td>2,161,249</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Expenditures by Organizational Area:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Academic Affairs:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>School of Business</td>
<td>2,391,207</td>
<td>2,391,207</td>
<td>1,034,052</td>
<td>1,006,892</td>
<td>43.2%</td>
<td>40.9%</td>
</tr>
<tr>
<td>School of Education &amp; Behavioral Sciences</td>
<td>3,518,914</td>
<td>3,518,914</td>
<td>1,540,373</td>
<td>1,520,442</td>
<td>43.8%</td>
<td>43.4%</td>
</tr>
<tr>
<td>School of Liberal Arts</td>
<td>6,261,622</td>
<td>6,261,622</td>
<td>2,950,206</td>
<td>2,911,450</td>
<td>47.1%</td>
<td>47.1%</td>
</tr>
<tr>
<td>School of Science &amp; Technology</td>
<td>4,882,434</td>
<td>4,882,434</td>
<td>2,197,251</td>
<td>2,137,799</td>
<td>45.0%</td>
<td>44.6%</td>
</tr>
<tr>
<td>Other Instructional Expense</td>
<td>5,367,431</td>
<td>5,367,431</td>
<td>1,666,893</td>
<td>1,807,447</td>
<td>31.1%</td>
<td>33.4%</td>
</tr>
<tr>
<td>Educational Outreach</td>
<td>677,143</td>
<td>677,143</td>
<td>256,802</td>
<td>291,544</td>
<td>37.9%</td>
<td>42.6%</td>
</tr>
<tr>
<td>Research</td>
<td>104,186</td>
<td>104,186</td>
<td>51,183</td>
<td>26,112</td>
<td>49.1%</td>
<td>37.3%</td>
</tr>
<tr>
<td>Broadcast &amp; Media Svcs</td>
<td>374,427</td>
<td>374,427</td>
<td>173,729</td>
<td>173,565</td>
<td>46.4%</td>
<td>47.7%</td>
</tr>
<tr>
<td>Athletics</td>
<td>909,559</td>
<td>909,559</td>
<td>453,908</td>
<td>423,469</td>
<td>49.9%</td>
<td>48.5%</td>
</tr>
<tr>
<td>Libraries</td>
<td>1,734,723</td>
<td>1,734,723</td>
<td>630,196</td>
<td>587,660</td>
<td>36.3%</td>
<td>38.9%</td>
</tr>
<tr>
<td>Ancillary Support</td>
<td>75,226</td>
<td>75,226</td>
<td>31,189</td>
<td>30,413</td>
<td>41.5%</td>
<td>41.5%</td>
</tr>
<tr>
<td>Admissions/Records</td>
<td>1,414,248</td>
<td>1,414,248</td>
<td>645,192</td>
<td>564,442</td>
<td>45.6%</td>
<td>48.9%</td>
</tr>
<tr>
<td>Fiscal Operations</td>
<td>968,156</td>
<td>968,156</td>
<td>526,666</td>
<td>440,398</td>
<td>54.4%</td>
<td>47.2%</td>
</tr>
<tr>
<td>Student Affairs</td>
<td>1,821,978</td>
<td>1,821,978</td>
<td>905,892</td>
<td>840,342</td>
<td>49.7%</td>
<td>55.4%</td>
</tr>
<tr>
<td>Executive Management</td>
<td>1,528,064</td>
<td>1,528,064</td>
<td>940,433</td>
<td>579,428</td>
<td>61.5%</td>
<td>38.8%</td>
</tr>
<tr>
<td>Development</td>
<td>909,155</td>
<td>909,155</td>
<td>400,957</td>
<td>365,181</td>
<td>44.1%</td>
<td>41.4%</td>
</tr>
<tr>
<td>Scholarships &amp; Fellowships</td>
<td>200,000</td>
<td>200,000</td>
<td>6,597</td>
<td>0</td>
<td>3.3%</td>
<td>0.0%</td>
</tr>
<tr>
<td>General University</td>
<td>7,527,959</td>
<td>7,527,959</td>
<td>3,907,965</td>
<td>3,660,220</td>
<td>51.9%</td>
<td>51.3%</td>
</tr>
<tr>
<td><strong>Total Expenditures/Area</strong></td>
<td>40,666,432</td>
<td>40,666,432</td>
<td>18,319,484</td>
<td>17,366,805</td>
<td>45.0%</td>
<td>44.3%</td>
</tr>
</tbody>
</table>
### Cameron University

**Statement of Revenues and Expenditures - Education & General, Part II - Restricted**

For the Period Ended December 31, 2009 with Comparative Totals for the Period Ended December 31, 2008.

<table>
<thead>
<tr>
<th></th>
<th>(1) Current</th>
<th>(2) Revised</th>
<th>(3) Actual</th>
<th>(4) Previous</th>
<th>(5) Percent of Previous Yr.</th>
<th>(6) Current Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Revenues:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Federal Grants &amp; Contracts</td>
<td>7,139,233</td>
<td>11,078,282</td>
<td>5,449,379</td>
<td>3,847,675</td>
<td>49.2%</td>
<td>46.0%</td>
</tr>
<tr>
<td>State &amp; Local Grants &amp; Contracts</td>
<td>1,772,367</td>
<td>1,754,673</td>
<td>457,360</td>
<td>403,134</td>
<td>26.1%</td>
<td>26.5%</td>
</tr>
<tr>
<td>Private Grants &amp; Contracts</td>
<td>1,193,113</td>
<td>1,372,930</td>
<td>1,352,266</td>
<td>1,388,639</td>
<td>98.5%</td>
<td>103.5%</td>
</tr>
<tr>
<td><strong>Total Revenues</strong></td>
<td>10,104,713</td>
<td>14,205,885</td>
<td>7,259,006</td>
<td>5,639,447</td>
<td>51.1%</td>
<td>50.2%</td>
</tr>
<tr>
<td><strong>Expenditures by Function:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Instruction</td>
<td>231,784</td>
<td>299,879</td>
<td>157,660</td>
<td>109,208</td>
<td>52.6%</td>
<td>38.7%</td>
</tr>
<tr>
<td>Research</td>
<td>106,269</td>
<td>88,255</td>
<td>54,277</td>
<td>130,788</td>
<td>61.5%</td>
<td>44.0%</td>
</tr>
<tr>
<td>Public Service</td>
<td>707,461</td>
<td>715,355</td>
<td>291,095</td>
<td>297,136</td>
<td>40.7%</td>
<td>43.9%</td>
</tr>
<tr>
<td>Academic Support</td>
<td>20,164</td>
<td>20,164</td>
<td>12,610</td>
<td>17,455</td>
<td>62.5%</td>
<td>117.5%</td>
</tr>
<tr>
<td>Student Support</td>
<td>998,309</td>
<td>998,309</td>
<td>443,427</td>
<td>378,845</td>
<td>44.4%</td>
<td>40.1%</td>
</tr>
<tr>
<td>Institutional Support</td>
<td>2,111,438</td>
<td>2,083,463</td>
<td>8,226</td>
<td>17,262</td>
<td>0.4%</td>
<td>0.9%</td>
</tr>
<tr>
<td>Scholarships</td>
<td>5,929,288</td>
<td>10,000,460</td>
<td>6,222,41</td>
<td>4,452,589</td>
<td>62.2%</td>
<td>62.9%</td>
</tr>
<tr>
<td><strong>Total Expenditures by Function</strong></td>
<td>10,104,713</td>
<td>14,205,885</td>
<td>7,189,535</td>
<td>5,403,283</td>
<td>50.6%</td>
<td>48.1%</td>
</tr>
<tr>
<td><strong>Current Revenues Over/(Under)</strong></td>
<td>0</td>
<td>0</td>
<td>69,471</td>
<td>236,164</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Expenditures by Organizational Area:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Student Support</td>
<td>497,088</td>
<td>497,088</td>
<td>241,149</td>
<td>178,809</td>
<td>48.5%</td>
<td>38.5%</td>
</tr>
<tr>
<td>AHEC Grant</td>
<td>248,423</td>
<td>248,418</td>
<td>101,848</td>
<td>105,733</td>
<td>41.0%</td>
<td>49.4%</td>
</tr>
<tr>
<td>U S Fish &amp; Wildlife</td>
<td>5,002</td>
<td>5,002</td>
<td>0</td>
<td>793</td>
<td>0.0%</td>
<td>13.7%</td>
</tr>
<tr>
<td>Upward Bound</td>
<td>420,763</td>
<td>420,763</td>
<td>179,074</td>
<td>183,200</td>
<td>42.6%</td>
<td>42.7%</td>
</tr>
<tr>
<td>Talent Search</td>
<td>422,328</td>
<td>422,328</td>
<td>174,280</td>
<td>172,639</td>
<td>41.3%</td>
<td>39.7%</td>
</tr>
<tr>
<td>A R I Grant</td>
<td>34,385</td>
<td>29,244</td>
<td>15,547</td>
<td>3,865</td>
<td>53.2%</td>
<td>7.6%</td>
</tr>
<tr>
<td>Summer Science Academy</td>
<td>7,124</td>
<td>7,124</td>
<td>7,095</td>
<td>7,757</td>
<td>99.6%</td>
<td>100.0%</td>
</tr>
<tr>
<td>National Science Foundation</td>
<td>1,738</td>
<td>1,738</td>
<td>1,476</td>
<td>23,942</td>
<td>84.9%</td>
<td>92.8%</td>
</tr>
<tr>
<td>Western OK State College</td>
<td>83,369</td>
<td>83,369</td>
<td>78</td>
<td>213</td>
<td>0.1%</td>
<td>0.3%</td>
</tr>
<tr>
<td>FHLBank Econ. Dev.</td>
<td>20,175</td>
<td>20,175</td>
<td>0</td>
<td>0</td>
<td>0.0%</td>
<td>0.0%</td>
</tr>
<tr>
<td>SWOSU-EDA</td>
<td>5,000</td>
<td>5,000</td>
<td>524</td>
<td>1,561</td>
<td>10.5%</td>
<td>31.2%</td>
</tr>
<tr>
<td>OUHSC</td>
<td>37,237</td>
<td>37,237</td>
<td>37,238</td>
<td>103,024</td>
<td>100.0%</td>
<td>49.5%</td>
</tr>
<tr>
<td>Oklahoma Humanities</td>
<td>1,660</td>
<td>7,059</td>
<td>1,612</td>
<td>0</td>
<td>22.8%</td>
<td>0.0%</td>
</tr>
<tr>
<td>NSF - Kamali</td>
<td>19,307</td>
<td>19,307</td>
<td>0</td>
<td>121</td>
<td>0.0%</td>
<td>1.6%</td>
</tr>
<tr>
<td>ReachHigher Assessment</td>
<td>21,000</td>
<td>21,000</td>
<td>8,342</td>
<td>0</td>
<td>39.7%</td>
<td>0.0%</td>
</tr>
<tr>
<td>Small Business</td>
<td>7,663</td>
<td>8,148</td>
<td>0</td>
<td>121</td>
<td>0.0%</td>
<td>1.6%</td>
</tr>
<tr>
<td>Comanche Nation Tribal College</td>
<td>25,000</td>
<td>25,000</td>
<td>11,521</td>
<td>14,000</td>
<td>46.1%</td>
<td>0.0%</td>
</tr>
<tr>
<td>OK-LSAMP (Louis Stokes)</td>
<td>46,724</td>
<td>46,724</td>
<td>12,800</td>
<td>6,210</td>
<td>7.4%</td>
<td>26.3%</td>
</tr>
<tr>
<td>N A S A - OU</td>
<td>8,115</td>
<td>48,175</td>
<td>3,574</td>
<td>6,610</td>
<td>7.4%</td>
<td>26.3%</td>
</tr>
<tr>
<td>Minority Teacher Recruitment</td>
<td>0</td>
<td>5,923</td>
<td>0</td>
<td>0</td>
<td>2.7%</td>
<td>0.0%</td>
</tr>
<tr>
<td>Other Grants</td>
<td>29,281</td>
<td>2,894</td>
<td>1,146</td>
<td>652</td>
<td>39.6%</td>
<td>2.2%</td>
</tr>
<tr>
<td>Federal Workstudy</td>
<td>179,983</td>
<td>179,983</td>
<td>100,159</td>
<td>89,300</td>
<td>55.6%</td>
<td>47.2%</td>
</tr>
<tr>
<td>General University</td>
<td>2,014,675</td>
<td>1,994,341</td>
<td>3,296</td>
<td>12,543</td>
<td>0.2%</td>
<td>0.7%</td>
</tr>
<tr>
<td>Student Aid</td>
<td>5,968,673</td>
<td>10,069,845</td>
<td>6,288,618</td>
<td>4,498,921</td>
<td>62.4%</td>
<td>63.1%</td>
</tr>
<tr>
<td><strong>Total Expenditures by Org Area</strong></td>
<td>10,104,713</td>
<td>14,205,885</td>
<td>7,189,535</td>
<td>5,403,283</td>
<td>50.6%</td>
<td>48.1%</td>
</tr>
</tbody>
</table>
### Cameron University

**Schedule 3CU**

**Statement of Revenues and Expenditures - Auxiliary Enterprise Summary**

For the Period Ended December 31, 2009 with Comparative Totals for the Period Ended December 31, 2008.

<table>
<thead>
<tr>
<th></th>
<th>(1) Original Annual Budget</th>
<th>(2) Current Annual Revised Budget</th>
<th>(3) Current Y-T-D Actual</th>
<th>(4) Previous Y-T-D Actual</th>
<th>(5) Percent of Previous Yr.</th>
<th>(6) Current Percent of Current Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Revenues:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Student Activities</td>
<td>1,010,500</td>
<td>1,010,500</td>
<td>543,804</td>
<td>491,800</td>
<td>53.8%</td>
<td>51.2%</td>
</tr>
<tr>
<td>Misc Auxiliaries</td>
<td>1,550,325</td>
<td>1,550,325</td>
<td>912,602</td>
<td>768,512</td>
<td>58.9%</td>
<td>46.1%</td>
</tr>
<tr>
<td>Housing System</td>
<td>2,944,255</td>
<td>2,944,255</td>
<td>1,492,789</td>
<td>1,469,669</td>
<td>50.7%</td>
<td>53.7%</td>
</tr>
<tr>
<td>Facility Fee</td>
<td>1,121,500</td>
<td>1,121,500</td>
<td>590,284</td>
<td>501,900</td>
<td>52.6%</td>
<td>47.3%</td>
</tr>
<tr>
<td>Cultural and Scholastic Lecture Fee</td>
<td>161,500</td>
<td>161,500</td>
<td>63,180</td>
<td>42,917</td>
<td>39.1%</td>
<td>37.5%</td>
</tr>
<tr>
<td><strong>Total Revenues</strong></td>
<td>6,788,080</td>
<td>6,788,080</td>
<td>3,602,659</td>
<td>3,274,798</td>
<td>53.1%</td>
<td>50.0%</td>
</tr>
</tbody>
</table>

| **Expenditures:**        |                           |                                  |                          |                            |                             |                                     |
| Student Activities       | 981,458                   | 981,458                          | 540,949                  | 455,724                    | 55.1%                       | 46.9%                               |
| Misc Auxiliaries         | 1,420,245                 | 1,420,245                        | 2,126,265                | 777,906                    | 149.7%                      | 37.4%                               |
| Housing System           | 3,213,563                 | 3,213,563                        | 1,777,585                | 1,719,947                  | 55.3%                       | 56.6%                               |
| Facility Fee             | 1,347,201                 | 1,347,201                        | 763,153                  | 152,320                    | 56.6%                       | 63.0%                               |
| Cultural and Scholastic Lecture Fee | 164,000 | 164,000                        | 148,838                  | 273,940                    | 90.8%                       | 159.1%                              |
| **Total Expenditures**   | 7,126,467                 | 7,126,467                        | 5,356,790                | 3,379,837                  | 75.2%                       | 52.0%                               |

**Current Revenues Over/(Under) Expenditures**

<table>
<thead>
<tr>
<th></th>
<th>(338,387)</th>
<th>(338,387)</th>
<th>(1,754,132)</th>
<th>(105,039)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transfers In / (Out)</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Prior Year Carry Over</td>
<td>6,415,420</td>
<td>6,415,420</td>
<td>6,415,420</td>
<td>5,796,281</td>
</tr>
<tr>
<td><strong>Fund Balance</strong></td>
<td>6,077,033</td>
<td>6,077,033</td>
<td>4,661,288</td>
<td>5,691,242</td>
</tr>
</tbody>
</table>
## Statement of Revenues and Expenditures - Student Activities

For the Period Ended December 31, 2009 with Comparative Totals for the Period Ended December 31, 2008.

<table>
<thead>
<tr>
<th>(1) Original Annual Budget</th>
<th>(2) Current Revised Annual Budget</th>
<th>(3) Current Annual Y-T-D Actual</th>
<th>(4) Previous Annual Y-T-D Actual</th>
<th>(5) Percent of Current Budget</th>
<th>(6) Percent of Previous Yr. Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Revenues:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Student Activity Fee</td>
<td>1,003,500</td>
<td>1,003,500</td>
<td>499,061</td>
<td>443,017</td>
<td>49.7%</td>
</tr>
<tr>
<td>Ticket Sales</td>
<td>7,000</td>
<td>7,000</td>
<td>4,450</td>
<td>2,666</td>
<td>63.6%</td>
</tr>
<tr>
<td>Other</td>
<td>0</td>
<td>0</td>
<td>40,293</td>
<td>46,117</td>
<td>0.0%</td>
</tr>
<tr>
<td><strong>Total Revenues</strong></td>
<td>1,010,500</td>
<td>1,010,500</td>
<td>543,804</td>
<td>491,800</td>
<td>53.8%</td>
</tr>
<tr>
<td><strong>Expenditures:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Collegian</td>
<td>35,000</td>
<td>35,000</td>
<td>18,232</td>
<td>17,225</td>
<td>52.1%</td>
</tr>
<tr>
<td>Art</td>
<td>10,000</td>
<td>10,000</td>
<td>6,170</td>
<td>15,680</td>
<td>61.7%</td>
</tr>
<tr>
<td>Communications</td>
<td>35,000</td>
<td>35,000</td>
<td>12,636</td>
<td>11,059</td>
<td>36.1%</td>
</tr>
<tr>
<td>Music</td>
<td>21,900</td>
<td>21,900</td>
<td>16,554</td>
<td>8,800</td>
<td>75.6%</td>
</tr>
<tr>
<td>Theatre Art</td>
<td>20,000</td>
<td>20,000</td>
<td>13,034</td>
<td>8,839</td>
<td>65.2%</td>
</tr>
<tr>
<td>Cheerleaders</td>
<td>7,000</td>
<td>7,000</td>
<td>10,574</td>
<td>7,447</td>
<td>151.1%</td>
</tr>
<tr>
<td>Pep Band</td>
<td>7,000</td>
<td>7,000</td>
<td>4,055</td>
<td>1,672</td>
<td>57.9%</td>
</tr>
<tr>
<td>Intramurals</td>
<td>2,500</td>
<td>2,500</td>
<td>814</td>
<td>1,447</td>
<td>32.6%</td>
</tr>
<tr>
<td>Biological Science</td>
<td>2,500</td>
<td>2,500</td>
<td>907</td>
<td>863</td>
<td>36.3%</td>
</tr>
<tr>
<td>Physical Science</td>
<td>3,165</td>
<td>3,165</td>
<td>1,432</td>
<td>892</td>
<td>45.2%</td>
</tr>
<tr>
<td>Agriculture</td>
<td>4,000</td>
<td>4,000</td>
<td>236</td>
<td>0</td>
<td>5.9%</td>
</tr>
<tr>
<td>Student Government</td>
<td>12,000</td>
<td>12,000</td>
<td>8,137</td>
<td>4,107</td>
<td>67.8%</td>
</tr>
<tr>
<td>Student Activities - Lawton</td>
<td>27,000</td>
<td>27,000</td>
<td>27,039</td>
<td>27,785</td>
<td>100.1%</td>
</tr>
<tr>
<td>Student Activities - Duncan</td>
<td>6,000</td>
<td>6,000</td>
<td>1,340</td>
<td>556</td>
<td>22.3%</td>
</tr>
<tr>
<td>SGA Organization</td>
<td>800</td>
<td>800</td>
<td>0</td>
<td>0</td>
<td>0.0%</td>
</tr>
<tr>
<td>Career Services</td>
<td>1,400</td>
<td>1,400</td>
<td>1,425</td>
<td>130</td>
<td>101.8%</td>
</tr>
<tr>
<td>Theatre Fees</td>
<td>4,350</td>
<td>4,350</td>
<td>1,951</td>
<td>942</td>
<td>44.9%</td>
</tr>
<tr>
<td>Honors Program</td>
<td>3,650</td>
<td>3,650</td>
<td>629</td>
<td>202</td>
<td>17.2%</td>
</tr>
<tr>
<td>Military Science</td>
<td>7,000</td>
<td>7,000</td>
<td>3,052</td>
<td>3,469</td>
<td>43.6%</td>
</tr>
<tr>
<td>Senior Day</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0.0%</td>
</tr>
<tr>
<td>Orientation / Aggie Ambassadors</td>
<td>7,500</td>
<td>7,500</td>
<td>512</td>
<td>2,220</td>
<td>6.8%</td>
</tr>
<tr>
<td>CU/TV</td>
<td>10,000</td>
<td>10,000</td>
<td>4,836</td>
<td>400</td>
<td>48.4%</td>
</tr>
<tr>
<td>Mathematical Science</td>
<td>0</td>
<td>0</td>
<td>802</td>
<td>132</td>
<td>0.0%</td>
</tr>
<tr>
<td>School of Business</td>
<td>0</td>
<td>0</td>
<td>479</td>
<td>0</td>
<td>0.0%</td>
</tr>
<tr>
<td>School of Education</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>707</td>
<td>0.0%</td>
</tr>
<tr>
<td>Computing &amp; Technology</td>
<td>7,000</td>
<td>7,000</td>
<td>3,053</td>
<td>2,184</td>
<td>43.6%</td>
</tr>
<tr>
<td>Criminal Justice &amp; Sociology</td>
<td>500</td>
<td>500</td>
<td>186</td>
<td>0</td>
<td>37.2%</td>
</tr>
<tr>
<td>English</td>
<td>3,500</td>
<td>3,500</td>
<td>0</td>
<td>0</td>
<td>0.0%</td>
</tr>
<tr>
<td>Library</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0.0%</td>
</tr>
<tr>
<td>Athletics</td>
<td>704,000</td>
<td>704,000</td>
<td>393,502</td>
<td>338,748</td>
<td>55.9%</td>
</tr>
<tr>
<td>Other</td>
<td>38,693</td>
<td>38,693</td>
<td>9,362</td>
<td>218</td>
<td>24.2%</td>
</tr>
<tr>
<td><strong>Total Expenditures</strong></td>
<td>981,458</td>
<td>981,458</td>
<td>540,949</td>
<td>455,724</td>
<td>55.1%</td>
</tr>
<tr>
<td>Current Revenues Over/(Under) Expenditures</td>
<td>29,042</td>
<td>29,042</td>
<td>2,855</td>
<td>36,076</td>
<td></td>
</tr>
<tr>
<td>Prior Year Carry Over</td>
<td>213,542</td>
<td>213,542</td>
<td>213,542</td>
<td>94,220</td>
<td></td>
</tr>
<tr>
<td>Fund Balance</td>
<td>242,584</td>
<td>242,584</td>
<td>216,397</td>
<td>130,296</td>
<td></td>
</tr>
</tbody>
</table>

3.1
Cameron University  
Schedule 3.2CU  
Statement of Revenues and Expenditures - Misc Auxiliary  
For the Period Ended December 31, 2009 with Comparative Totals for the Period Ended December 31, 2008.

<table>
<thead>
<tr>
<th>Revenues:</th>
<th>(1) Original Annual Budget</th>
<th>(2) Current Revised Annual Budget</th>
<th>(3) Current Y-T-D Actual</th>
<th>(4) Previous Y-T-D Actual</th>
<th>(5) Percent of Current Budget</th>
<th>(6) Percent of Previous Yr. Current Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>Collegian Advertising</td>
<td>9,000</td>
<td>9,000</td>
<td>2,817</td>
<td>7,414</td>
<td>31.3%</td>
<td>53.7%</td>
</tr>
<tr>
<td>Camps</td>
<td>134,525</td>
<td>134,525</td>
<td>70,992</td>
<td>36,249</td>
<td>52.8%</td>
<td>26.2%</td>
</tr>
<tr>
<td>Auxiliary Operations</td>
<td>164,500</td>
<td>164,500</td>
<td>61,431</td>
<td>74,185</td>
<td>37.3%</td>
<td>42.1%</td>
</tr>
<tr>
<td>Telephone</td>
<td>19,500</td>
<td>19,500</td>
<td>8,033</td>
<td>8,736</td>
<td>41.2%</td>
<td>35.4%</td>
</tr>
<tr>
<td>KCCU Radio</td>
<td>336,750</td>
<td>336,750</td>
<td>237,636</td>
<td>166,078</td>
<td>70.6%</td>
<td>45.8%</td>
</tr>
<tr>
<td>Educational Outreach</td>
<td>25,000</td>
<td>25,000</td>
<td>40,964</td>
<td>33,202</td>
<td>163.9%</td>
<td>132.8%</td>
</tr>
<tr>
<td>Library Photocopy</td>
<td>10,500</td>
<td>10,500</td>
<td>7,193</td>
<td>5,902</td>
<td>68.5%</td>
<td>56.2%</td>
</tr>
<tr>
<td>Carpool / Bus</td>
<td>209,500</td>
<td>209,500</td>
<td>113,454</td>
<td>91,174</td>
<td>54.2%</td>
<td>67.9%</td>
</tr>
<tr>
<td>Maintenance Service</td>
<td>42,500</td>
<td>42,500</td>
<td>29,931</td>
<td>17,406</td>
<td>70.4%</td>
<td>64.5%</td>
</tr>
<tr>
<td>Merchandising</td>
<td>3,000</td>
<td>3,000</td>
<td>628</td>
<td>1,181</td>
<td>20.9%</td>
<td>33.7%</td>
</tr>
<tr>
<td>Concessions</td>
<td>10,750</td>
<td>10,750</td>
<td>3,806</td>
<td>3,806</td>
<td>49.4%</td>
<td>44.4%</td>
</tr>
<tr>
<td>Sports Publications</td>
<td>5,000</td>
<td>5,000</td>
<td>4,454</td>
<td>4,454</td>
<td>85.8%</td>
<td>89.1%</td>
</tr>
<tr>
<td>Print Shop</td>
<td>344,125</td>
<td>344,125</td>
<td>152,999</td>
<td>167,659</td>
<td>44.5%</td>
<td>51.7%</td>
</tr>
<tr>
<td>Grants and Donations</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0.0%</td>
<td>0.0%</td>
</tr>
<tr>
<td>Investment Income</td>
<td>28,175</td>
<td>28,175</td>
<td>61,162</td>
<td>160.7%</td>
<td>49.1%</td>
<td></td>
</tr>
<tr>
<td>Rental Income</td>
<td>5,400</td>
<td>5,400</td>
<td>102,564</td>
<td>99.4%</td>
<td>42.5%</td>
<td>0.0%</td>
</tr>
<tr>
<td>Student Health Insurance</td>
<td>169,750</td>
<td>169,750</td>
<td>70,455</td>
<td>44.0%</td>
<td>52.5%</td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td>32,350</td>
<td>32,350</td>
<td>19,449</td>
<td>81.3%</td>
<td>64.9%</td>
<td></td>
</tr>
<tr>
<td><strong>Total Revenues</strong></td>
<td>1,550,325</td>
<td>1,550,325</td>
<td>912,602</td>
<td>768,512</td>
<td>58.9%</td>
<td>46.1%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Expenditures:</th>
<th>(1) Original Annual Budget</th>
<th>(2) Current Revised Annual Budget</th>
<th>(3) Current Y-T-D Actual</th>
<th>(4) Previous Y-T-D Actual</th>
<th>(5) Percent of Current Budget</th>
<th>(6) Percent of Previous Yr. Current Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>Collegian Advertising</td>
<td>7,750</td>
<td>7,750</td>
<td>4,735</td>
<td>2,250</td>
<td>61.1%</td>
<td>24.2%</td>
</tr>
<tr>
<td>Camps</td>
<td>125,225</td>
<td>125,225</td>
<td>118,951</td>
<td>108,859</td>
<td>95.0%</td>
<td>87.4%</td>
</tr>
<tr>
<td>Telephone</td>
<td>122,775</td>
<td>122,775</td>
<td>9,019</td>
<td>102,564</td>
<td>7.3%</td>
<td>99.4%</td>
</tr>
<tr>
<td>Auxiliary Operations</td>
<td>81,950</td>
<td>81,950</td>
<td>38,06</td>
<td>154,708</td>
<td>66.2%</td>
<td>42.7%</td>
</tr>
<tr>
<td>KCCU Radio</td>
<td>336,750</td>
<td>336,750</td>
<td>222,963</td>
<td>154,708</td>
<td>66.2%</td>
<td>42.7%</td>
</tr>
<tr>
<td>Farm</td>
<td>22,500</td>
<td>22,500</td>
<td>17,413</td>
<td>15.8%</td>
<td>94.4%</td>
<td>94.4%</td>
</tr>
<tr>
<td>Educational Outreach</td>
<td>17,000</td>
<td>17,000</td>
<td>3,938</td>
<td>15.8%</td>
<td>94.4%</td>
<td>87.1%</td>
</tr>
<tr>
<td>Library Photocopy</td>
<td>10,500</td>
<td>10,500</td>
<td>9,878</td>
<td>15.8%</td>
<td>94.4%</td>
<td>87.1%</td>
</tr>
<tr>
<td>Post Office</td>
<td>2,000</td>
<td>2,000</td>
<td>215</td>
<td>10.8%</td>
<td>9.5%</td>
<td></td>
</tr>
<tr>
<td>Carpool / Bus</td>
<td>184,595</td>
<td>184,595</td>
<td>116,236</td>
<td>52.3%</td>
<td>61.0%</td>
<td></td>
</tr>
<tr>
<td>Maintenance Service</td>
<td>27,500</td>
<td>27,500</td>
<td>11,043</td>
<td>217.0%</td>
<td>29.4%</td>
<td></td>
</tr>
<tr>
<td>Merchandising</td>
<td>3,000</td>
<td>3,000</td>
<td>0</td>
<td>0.0%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>Student Services Photocopy</td>
<td>100</td>
<td>100</td>
<td>620</td>
<td>619.8%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>Concessions</td>
<td>16,000</td>
<td>16,000</td>
<td>6,928</td>
<td>51.3%</td>
<td>55.6%</td>
<td></td>
</tr>
<tr>
<td>Sports Publications</td>
<td>5,000</td>
<td>5,000</td>
<td>4,180</td>
<td>86.1%</td>
<td>83.6%</td>
<td></td>
</tr>
<tr>
<td>Business Office Photocopy</td>
<td>250</td>
<td>250</td>
<td>205</td>
<td>14.8%</td>
<td>164.0%</td>
<td></td>
</tr>
<tr>
<td>Print Shop</td>
<td>271,450</td>
<td>271,450</td>
<td>117,490</td>
<td>43.0%</td>
<td>43.5%</td>
<td></td>
</tr>
<tr>
<td>Instructional Technology</td>
<td>200</td>
<td>200</td>
<td>0</td>
<td>0.0%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>Chemistry Book</td>
<td>500</td>
<td>500</td>
<td>364</td>
<td>0.0%</td>
<td>24.3%</td>
<td></td>
</tr>
<tr>
<td>Student Health Insurance</td>
<td>181,300</td>
<td>181,300</td>
<td>90,170</td>
<td>52.0%</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>Centennial Building Projects</td>
<td>0</td>
<td>0</td>
<td>1,286,456</td>
<td>0</td>
<td>0.0%</td>
<td>0.0%</td>
</tr>
<tr>
<td>Other</td>
<td>3,900</td>
<td>3,900</td>
<td>11,964</td>
<td>306.8%</td>
<td>111.0%</td>
<td></td>
</tr>
<tr>
<td><strong>Total Expenditures</strong></td>
<td>1,420,245</td>
<td>1,420,245</td>
<td>777,906</td>
<td>46.1%</td>
<td>37.4%</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Current Revenues Over/(Under)</th>
<th>Expenditures</th>
<th>Transfers In / (Out)</th>
<th>Prior Year Carry Over</th>
<th>Fund Balance</th>
</tr>
</thead>
<tbody>
<tr>
<td>130,080</td>
<td>130,080</td>
<td>(1,213,663)</td>
<td>(9,394)</td>
<td>4,442,026</td>
</tr>
<tr>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>4,572,106</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>130,080</th>
<th>130,080</th>
<th>(1,213,663)</th>
<th>(9,394)</th>
<th>4,442,026</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>4,572,106</td>
</tr>
</tbody>
</table>
Cameron University  
Schedule 3.3CU  
Statement of Revenues and Expenditures - Housing System  
For the Period Ended December 31, 2009 with Comparative Totals for the Period Ended December 31, 2008.

<table>
<thead>
<tr>
<th>Revenues:</th>
<th>(1)</th>
<th>(2)</th>
<th>(3)</th>
<th>(4)</th>
<th>(5)</th>
<th>(6)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Original</td>
<td>Revised</td>
<td>Current</td>
<td>Previous</td>
<td>Percent of Previous Yr.</td>
<td>Current</td>
</tr>
<tr>
<td></td>
<td>Annual</td>
<td>Annual</td>
<td>Y-T-D</td>
<td>Y-T-D</td>
<td>Actual</td>
<td>Budget</td>
</tr>
<tr>
<td>Cafeteria</td>
<td>817,450</td>
<td>817,450</td>
<td>450,361</td>
<td>394,509</td>
<td>55.1%</td>
<td>55.7%</td>
</tr>
<tr>
<td>Vending</td>
<td>50,250</td>
<td>50,250</td>
<td>26,046</td>
<td>27,325</td>
<td>51.8%</td>
<td>47.1%</td>
</tr>
<tr>
<td>Bookstore</td>
<td>235,000</td>
<td>235,000</td>
<td>150,328</td>
<td>103,533</td>
<td>64.0%</td>
<td>39.6%</td>
</tr>
<tr>
<td>Shepler Center</td>
<td>485,756</td>
<td>485,756</td>
<td>228,360</td>
<td>286,773</td>
<td>47.0%</td>
<td>78.0%</td>
</tr>
<tr>
<td>Cameron Village</td>
<td>1,161,818</td>
<td>1,161,818</td>
<td>527,635</td>
<td>543,906</td>
<td>45.4%</td>
<td>47.7%</td>
</tr>
<tr>
<td>Other Housing</td>
<td>193,981</td>
<td>193,981</td>
<td>110,059</td>
<td>113,623</td>
<td>56.7%</td>
<td>56.1%</td>
</tr>
<tr>
<td><strong>Total Revenues</strong></td>
<td>2,944,255</td>
<td>2,944,255</td>
<td>1,492,789</td>
<td>1,469,669</td>
<td>50.7%</td>
<td>53.7%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Expenditures:</th>
<th>(1)</th>
<th>(2)</th>
<th>(3)</th>
<th>(4)</th>
<th>(5)</th>
<th>(6)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Current</td>
<td>Revised</td>
<td>Current</td>
<td>Previous</td>
<td>Percent of Previous Yr.</td>
<td>Current</td>
</tr>
<tr>
<td></td>
<td>Annual</td>
<td>Annual</td>
<td>Y-T-D</td>
<td>Y-T-D</td>
<td>Actual</td>
<td>Budget</td>
</tr>
<tr>
<td>Cafeteria</td>
<td>839,100</td>
<td>839,100</td>
<td>693,792</td>
<td>558,814</td>
<td>82.7%</td>
<td>76.0%</td>
</tr>
<tr>
<td>Vending</td>
<td>29,100</td>
<td>29,100</td>
<td>17,987</td>
<td>17,602</td>
<td>61.8%</td>
<td>77.5%</td>
</tr>
<tr>
<td>Bookstore</td>
<td>72,700</td>
<td>72,700</td>
<td>22,709</td>
<td>42,713</td>
<td>31.2%</td>
<td>151.2%</td>
</tr>
<tr>
<td>Shepler Center</td>
<td>889,265</td>
<td>889,265</td>
<td>466,152</td>
<td>445,085</td>
<td>52.4%</td>
<td>51.1%</td>
</tr>
<tr>
<td>Cameron Village</td>
<td>1,151,003</td>
<td>1,151,003</td>
<td>491,693</td>
<td>487,892</td>
<td>42.7%</td>
<td>42.4%</td>
</tr>
<tr>
<td>Other Housing</td>
<td>232,395</td>
<td>232,395</td>
<td>85,252</td>
<td>167,841</td>
<td>36.7%</td>
<td>73.0%</td>
</tr>
<tr>
<td><strong>Total Expenditures</strong></td>
<td>3,213,563</td>
<td>3,213,563</td>
<td>1,777,585</td>
<td>1,719,947</td>
<td>55.3%</td>
<td>56.6%</td>
</tr>
</tbody>
</table>

Current Revenues Over/(Under) Expenditures:  
Expenditures: (269,308) (269,308) (284,796) (250,278)  
Transfers In / (Out)  
Prior Year Carry Over  
Fund Balance  

117,156  
101,668  
351,234  
100,956
Cameron University

Schedule 3.4CU

Statement of Revenues and Expenditures - Facility Fee

For the Period Ended December 31, 2009 with Comparative Totals for the Period Ended December 31, 2008.

<table>
<thead>
<tr>
<th></th>
<th>(1) Original Annual Budget</th>
<th>(2) Current Annual Revised Budget</th>
<th>(3) Current Y-T-D Actual</th>
<th>(4) Previous Y-T-D Actual</th>
<th>(5) Percent of Current Budget</th>
<th>(6) Percent of Previous Yr. Current Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Revenues:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Facility Fee</td>
<td>1,115,000</td>
<td>1,115,000</td>
<td>554,403</td>
<td>495,380</td>
<td>49.7%</td>
<td>46.8%</td>
</tr>
<tr>
<td>Other</td>
<td>6,500</td>
<td>6,500</td>
<td>35,881</td>
<td>6,520</td>
<td>552.0%</td>
<td>283.5%</td>
</tr>
<tr>
<td><strong>Total Revenues</strong></td>
<td>1,121,500</td>
<td>1,121,500</td>
<td>590,284</td>
<td>501,900</td>
<td>52.6%</td>
<td>47.3%</td>
</tr>
<tr>
<td><strong>Expenditures:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fitness Center</td>
<td>252,895</td>
<td>252,895</td>
<td>150,589</td>
<td>127,848</td>
<td>59.5%</td>
<td>58.9%</td>
</tr>
<tr>
<td>Fitness Center Repair/Maint</td>
<td>22,500</td>
<td>22,500</td>
<td>5,734</td>
<td>9,168</td>
<td>25.5%</td>
<td>36.7%</td>
</tr>
<tr>
<td>Shepler Renovation</td>
<td>605,000</td>
<td>605,000</td>
<td>334,546</td>
<td>15,304</td>
<td>55.3%</td>
<td>0.0%</td>
</tr>
<tr>
<td>MCC Centennial Complex</td>
<td>466,806</td>
<td>466,806</td>
<td>272,284</td>
<td>0</td>
<td>58.3%</td>
<td>0.0%</td>
</tr>
<tr>
<td><strong>Total Expenditures</strong></td>
<td>1,347,201</td>
<td>1,347,201</td>
<td>763,153</td>
<td>152,320</td>
<td>56.6%</td>
<td>63.0%</td>
</tr>
<tr>
<td><strong>Current Revenues Over/(Under) Expenditures</strong></td>
<td>(225,701)</td>
<td>(225,701)</td>
<td>(172,869)</td>
<td>349,580</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Transfers In / (Out)</strong></td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Prior Year Carry Over</strong></td>
<td>1,377,170</td>
<td>1,377,170</td>
<td>1,377,170</td>
<td>1,166,912</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| **Fund Balance**     | 1,151,469                  | 1,151,469                        | 1,204,301                | 1,516,492                 | 3.4
Cameron University

Schedule 3.5CU

Statement of Revenues and Expenditures - Cultural and Scholastic Lecture
For the Period Ended December 31, 2009 with Comparative Totals for the Period Ended December 31, 2008.

<table>
<thead>
<tr>
<th></th>
<th>(1)</th>
<th>(2)</th>
<th>(3)</th>
<th>(4)</th>
<th>(5)</th>
<th>(6)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Original</td>
<td>Revised</td>
<td>Current</td>
<td>Previous</td>
<td>Percent of</td>
<td>Percent of</td>
</tr>
<tr>
<td></td>
<td>Annual</td>
<td>Annual</td>
<td>Y-T-D</td>
<td>Y-T-D</td>
<td>Current</td>
<td>Previous</td>
</tr>
<tr>
<td></td>
<td>Budget</td>
<td>Budget</td>
<td>Actual</td>
<td>Actual</td>
<td>Budget</td>
<td>Yr.</td>
</tr>
<tr>
<td>Revenues:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cultural and Lecture Fee</td>
<td>111,500</td>
<td>111,500</td>
<td>54,076</td>
<td>47,050</td>
<td>48.5%</td>
<td>44.4%</td>
</tr>
<tr>
<td>Other</td>
<td>50,000</td>
<td>50,000</td>
<td>9,104</td>
<td>(4,133)</td>
<td>18.2%</td>
<td>-48.6%</td>
</tr>
<tr>
<td>Total Revenues</td>
<td>161,500</td>
<td>161,500</td>
<td>63,180</td>
<td>42,917</td>
<td>39.1%</td>
<td>37.5%</td>
</tr>
<tr>
<td>Expenditures:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PAC</td>
<td>50,000</td>
<td>50,000</td>
<td>33,881</td>
<td>30,710</td>
<td>67.8%</td>
<td>61.4%</td>
</tr>
<tr>
<td>Plus Program</td>
<td>0</td>
<td>0</td>
<td>3,760</td>
<td>4,124</td>
<td>0.0%</td>
<td>0.0%</td>
</tr>
<tr>
<td>Cultural and Scholastic Lecture</td>
<td>9,000</td>
<td>9,000</td>
<td>14,061</td>
<td>13,624</td>
<td>156.2%</td>
<td>68.1%</td>
</tr>
<tr>
<td>Festival Year</td>
<td>20,000</td>
<td>20,000</td>
<td>3,242</td>
<td>207,640</td>
<td>16.2%</td>
<td>309.0%</td>
</tr>
<tr>
<td>Concerts and Lectures</td>
<td>35,000</td>
<td>35,000</td>
<td>16,793</td>
<td>17,842</td>
<td>48.0%</td>
<td>51.0%</td>
</tr>
<tr>
<td>Fall Concert Series</td>
<td>50,000</td>
<td>50,000</td>
<td>77,101</td>
<td>0</td>
<td>154.2%</td>
<td>0.0%</td>
</tr>
<tr>
<td>Total Expenditures</td>
<td>164,000</td>
<td>164,000</td>
<td>148,838</td>
<td>273,940</td>
<td>90.8%</td>
<td>159.1%</td>
</tr>
<tr>
<td>Current Revenues Over/(Under)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Expenditures</td>
<td>(2,500)</td>
<td>(2,500)</td>
<td>(85,658)</td>
<td>(231,023)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prior Year Carry Over</td>
<td>(3,782)</td>
<td>(3,782)</td>
<td>(3,782)</td>
<td>(47,478)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fund Balance</td>
<td>(6,282)</td>
<td>(6,282)</td>
<td>(89,440)</td>
<td>(183,545)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### DISCRETIONARY RESERVES

**Type/Source of Reserve**

#### Education & General Part I

| Education & General Part I | 6,779,541 |

#### Education & General Part II

| Excess Indirect Cost | 751,802 |

#### Auxiliary Enterprises

<table>
<thead>
<tr>
<th>Auxiliary Enterprises</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Student Activities</td>
<td>216,398</td>
</tr>
<tr>
<td>Less Working Capital</td>
<td>167,941</td>
</tr>
<tr>
<td></td>
<td>48,457</td>
</tr>
<tr>
<td>Miscellaneous Auxiliary</td>
<td>3,228,362</td>
</tr>
<tr>
<td>Less Working Capital</td>
<td>2,656,153</td>
</tr>
<tr>
<td></td>
<td>572,209</td>
</tr>
<tr>
<td>Facility Fee</td>
<td>1,204,301</td>
</tr>
<tr>
<td>Less Working Capital &amp; Other Commitments</td>
<td>350,000</td>
</tr>
<tr>
<td></td>
<td>854,301</td>
</tr>
</tbody>
</table>

#### Plant Funds Balances

<table>
<thead>
<tr>
<th>Plant Funds Balances</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Section 13/New College</td>
<td>1,061,678</td>
</tr>
<tr>
<td>Section 13 Offset</td>
<td>182,663</td>
</tr>
</tbody>
</table>

| Total Discretionary Reserves and Plant Funds Balances | 10,250,651 |
The Mr. Rogers' State University Strategic Plan brings forth a bold vision for the institution's future in 2010 - the first year of our second century.

The Strategic Plan, presented in the following pages, was developed during one of the most significant periods in the life of the institution - its 100th anniversary.

Founded in 1909, the institution enjoys a proud history as a preparatory school, military academy, community college, and today, a regional university. Through each of these iterations, one strong guiding principle has remained constant: excellence in education.

Perhaps it was no coincidence that the plan was developed during our Centennial year, a time of commemoration and celebration, but also of self-reflection and examination. What better time to formulate a vision for the future?

The Strategic Planning Committee, including a broad membership of students, faculty, staff, alumni, and members of the community, held its first meeting just days before the university's 100th birthday in March 2009. My charge to the committee: to develop a plan that would serve as a roadmap for the future, drawing on our traditional strengths, as well as our highest aspirations.

I am pleased to say that the Steering Committee and its supporting subcommittees have surpassed my expectations.

The process began with a review of our mission and continued with an assessment of internal and external environments, including an honest determination of strengths, weaknesses, opportunities and threats. Out of this arose the genesis of the plan.

IMam pleased to say that the Steering Committee and its supporting subcommittees have surpassed my expectations.

The plan features an updated mission statement, institutional commitments, core values, goals, and objectives. Following formal adoption of the plan, each department within the university will develop its own strategic blueprint to support the goals and objectives of the institution as a whole.

The plan places students first, focusing on academic excellence, improved student support and retention, and increased diversity. It also stresses accountability to our students, to our stakeholders, and to each other, and places renewed emphasis on essential ties to our community.

I would like to express my sincere gratitude to those who provided many hours of service as committee members (listed on page 16) and to Dr. Tobie Tiesworth, Vice President for Student Affairs, who served as committee chair and led the strategic planning initiative.

This plan is an honest assessment of where we are and a bold assertion of what we can be. It is a fluid document that will be revisited and revised continuously according to the changing needs of the institution and its constituents. The plan provides strategic initiatives for the next five years (2010 - 2015), guiding principles for the next decade, and a bold direction for the 21st Century.

Larry Rice
President
The strategic plan serves as a guidepost for Rogers State University as it embarks on its second century in higher education and on its second decade as a four-year, regional university. Both fluid and dynamic, the plan and its six overarching goals and supporting objectives will evolve with the university over time. Adaptive creativity and plan flexibility will provide a hedge against variable economic conditions. A strong institutional commitment and prioritization of available resources will underpin the long-term success of the strategic plan.

CONCLUSION
Academic Excellence
The academic portion of this plan continues to build upon previous efforts to raise the quality and the profile of the educational experience. The desire to be known for both academic excellence and innovation drives much of the plan. Particular emphases are placed on the delivery of new undergraduate and graduate programs, and associated faculty development.

Enrollment Management
The plan reflects RSU’s commitment to current and future students. Specifically, the goal of strengthening enrollment management underscores efficient, effective and personable student services.

Diversity
The plan provides distinctive emphases on curricular and co-curricular experiences that increase student appreciation of other cultures. It acknowledges that the long-term vitality of the university is dependent upon an ability to recruit, retain, advance and recognize a diverse faculty, staff and administration.

EXECUTIVE SUMMARY
Rogers State University enters 2010 with unprecedented momentum spurred by historic high enrollment. RSU must capture this moment by developing and implementing a Strategic Plan that distills into a single document the university’s mission, core values, goals and objectives that will guide its efforts through 2015. The strategic planning process offers to the faculty, staff, students and community an opportunity to review the university’s mission and commitments and revise them, as needed, to reflect changes in the institution and the larger world.

The Process
Improving our learning environment is essential to a mission-driven organization and, as such, was at the forefront of the planning process. The 10-month planning process that led to the development of this plan was inclusive and thorough. Participation by the university community has been extensive. In March 2009, the Strategic Planning Steering Committee was appointed by the president. This group was comprised of students, alumni, faculty, staff, administrators and community representatives. By May 2009, the Steering Committee forwarded a revised mission and commitments to the president, which was subsequently approved by the University of Oklahoma Board of Regents, the governing board for RSU.

During fall 2009, opportunity for input was expanded with the appointment of six Environmental Subcommittees, which included members of the Steering Committee as well as faculty, staff, students, alumni and community members. These Subcommittees surveyed the internal and external environments to identify strengths, weaknesses, opportunities and threats and ultimately produced preliminary goals. Through further refinement, the Steering Committee developed and submitted the core values, goals and objectives in December 2009. Response to the preliminary Strategic Plan was solicited from over 100 community leaders and the entire university community (students, faculty and staff) during January 2010. Following are six areas of emphasis drawn from the Strategic Plan.

Resources
The plan recognizes that resources are limited and must be leveraged in order to achieve the desired level of excellence and to provide for the ongoing improvement of the university. The plan pinpoints external fundraising within an institutional framework to secure additional revenue in support of optimal staffing, sustainable technologies and other emerging needs.

Accountability
The plan emphasizes shared governance, which contributes to strategic decisions and the alignment of mission-critical resources. The plan addresses campus safety and includes expansion of the Office of Institutional Research, Planning and Assessment in accordance with societal demands for greater accountability, effectiveness and transparency.

Community Engagement
The plan stresses regional stewardship, community engagement and service. It prescribes the expansion of collaborations and partnerships with business and industry, regional schools and community organizations.
WHO WE ARE

Rogers State University is a regional university, located in northeastern Oklahoma, governed by the Board of Regents of the University of Oklahoma within a state system coordinated by the Oklahoma State Regents for Higher Education. As a university, we are committed to the preservation, transmission, and advancement of knowledge.
OUR MISSION

Our mission is to ensure students develop the skills and knowledge required to achieve professional and personal goals in dynamic local and global communities.

OUR COMMITMENTS

Our commitments, which support the RSU mission, are as follows:

- To provide quality associate, baccalaureate, and graduate degree opportunities and educational experiences which foster student excellence in oral and written communications, scientific reasoning, and critical and creative thinking.
- To promote an atmosphere of academic and intellectual freedom and respect for diverse expression in an environment of physical safety that is supportive of teaching and learning.
- To provide a general liberal arts education that supports specialized academic programs and prepares students for lifelong learning and service in a diverse society.
- To provide students with a diverse, innovative faculty dedicated to excellence in teaching, scholarly pursuits, and continuous improvement of programs.
- To provide university-wide student services, activities, and resources that complement academic programs.
- To support and strengthen student, faculty, and administrative structures that promote shared governance of the institution.
- To promote and encourage student, faculty, staff, and community interaction in a positive academic climate that creates opportunities for cultural, intellectual, and personal enrichment for the university and the communities it serves.

GOALS AND OBJECTIVES

Enhance Institutional Accountability

| Promote alignment of strategic decisions with mission-critical resources | Evaluate continuous university processes, structures, activities and outcomes; modifying as appropriate | Enhance and expand the operations of the Office of Institutional Research, Planning and Assessment | Advance the principles and practices of shared governance through active participation of all constituencies | Develop, implement, and advance a comprehensive campus safety plan |

Enhance Institutional Accountability
Leverage Resources

Develop, implement, and advance a comprehensive technology plan, including the use of sustainable technologies

Pursue optimal staffing throughout the university

Enhance library operations to meet the instructional needs of all campuses

Update the capital project master plan for all campuses

Develop, implement, and advance comprehensive fundraising

Establish an institutional framework to obtain external funding
The core values of Rogers State University form the foundation upon which its efforts in academe are built. RSU’s core values are linked directly to the mission of the university and drawn from associated commitments. RSU’s core values underpin its policies and procedures, govern its relationships with the public it serves, and guide its institutional decision-making process. The core values of Rogers State University are:

- Continuous Improvement
- Diversity
- Excellence
- Innovation
- Learning

- Scholarship
- Service
- Shared Governance
- Stewardship
- Teaching

GOALS AND OBJECTIVES

Increase Diversity

- Provide curricular and co-curricular experiences that increase student understanding of and appreciation for other cultures
- Recruit, retain, advance and recognize a diverse faculty, staff, and administration
- Promote an environment of tolerance and acceptance of diverse peoples and opinions
- Increase enrollment of minority populations

CORE VALUES
## GOALS AND OBJECTIVES

### Advance Academic Excellence

- **Provide creative and innovative learning environments**
- **Strengthen curricular and co-curricular programs to enrich the overall student learning experience**
- **Deliver new undergraduate and graduate degree programs to meet the economic and educational needs of northeastern Oklahoma and the state**
- **Provide effective faculty and staff development in support of intellectual, professional and personal development**
- **Provide opportunities to achieve and maintain essential program accreditation**

### Strengthen Enrollment Management

- **Develop, implement, and advance a comprehensive enrollment management plan, including student recruitment, retention, and persistence toward graduation**
- **Develop, implement, and advance a comprehensive marketing plan**
- **Involve all constituencies of the university in student recruitment and retention efforts**
- **Implement a continuous quality improvement program designed to provide academic and student services that are effective, efficient and personable**

---

*8*
# BID TABULATION

<table>
<thead>
<tr>
<th>BIDDER</th>
<th>Proposal Certification</th>
<th>Non-Collusion Affidavit</th>
<th>Business Relationship Affidavit</th>
<th>Independent Price Determination</th>
<th>No Conflicting Relationships</th>
<th>Executive Order</th>
<th>Delivery Time</th>
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NOTE: Request travel expense reimbursement not to exceed $3500.
LEASE AGREEMENT

This agreement entered this 7th day of September, 2004, by and between The City of Pryor Creek, Oklahoma, (hereinafter "City") and Rogers State University (hereinafter "Rogers").

City is the owner of a certain parcel of real property located with the City of Pryor Creek, Mayes County, Oklahoma, more particularly described as follows:

A tract of land situated in the SW/4 SW/4 of Section 17, Township 21 North, Range 19 East of the Indian Base and Meridian, in Pryor Creek, Mayes County, Oklahoma, and more particularly described as follows, to-wit:

Beginning at a point on the West Line of the SW/4 SW/4 and 208.71 feet North of the Southwest corner thereof; thence North 0°12'30" West and along said West Line, a distance of 481.72 feet; thence North 89°19'26.21" East a distance of 320 feet; thence South 0°12'30" East and parallel to said West Line a distance of 416.06 feet; thence South 58°57'09.7" West a distance of 129.61 feet; thence South 89°17'25" West and parallel to the South Line of said SW/4 SW/4, a distance of 208.71 feet to the Point of Beginning.

Rogers State University desires to lease said property on the terms and for the purposes herein set forth.

Now, therefore, be it remembered:

I. Duration/Term
   The parties hereto mutually agree that this Lease shall be an annual lease from July 1 to June 30. Said lease shall automatically renew on July 1 of each fiscal year, for up to 99 years, unless terminated pursuant to the provisions herein.

II. Scope
    Unless otherwise agreed by the parties in writing, the parties hereto mutually agree that Rogers shall only use the property for education/educational related pursuits.

III. Lease Payments
     The parties agree that Rogers shall pay City the sum of one-dollar ($1.00) per year, payable on or before June 30 of each fiscal year.

IV. Maintenance
     Rogers shall be entirely responsible for maintenance/keep of the lease property during the Lease.

Page 1 of 2
V. Termination
    If either party shall breach this agreement, the other party may terminate this agreement
    by providing a six (6) month written notice of intent to terminate.

VI. Liability
    Questions of indemnity/liability shall be governed by the Oklahoma Governmental Tort
    Claims Act.

VII. Assignment
    The parties hereto mutually agree that this agreement shall not be assigned in whole or in
    part unless approved by the non-assigning party in writing.

VIII. Notices
    Any notices shall be sent to the following representatives:

For the City:
    Pryor City Clerk
    6 N. Adair
    Pryor, OK 74361

For Rogers:
    Dr. Joe Wiley, President
    1701 West Will Rogers Blvd.
    Claremore, OK 74017

IX. Severability Clause
    If any portion hereof is determined to be unenforceable, the remainder of the agreement
    shall remain in full force and effect.

Agreed and accepted on the day first above-written.

City of Pryor Creek

By: [Signature]
    Jimmy Tramel, Mayor

Rogers State University

By: [Signature]

Attest:
Eva Smith, City Clerk

Page 2 of 2
THE UNIVERSITY OF OKLAHOMA  
COLLEGE OF DENTISTRY  

FACULTY BYLAWS  

PREAMBLE  

The Faculty of the College of Dentistry of The University of Oklahoma has adopted the following Bylaws to assist in the orderly conduct of University affairs, facilitate the performance of faculty duties and obligations, and protect the rights and privileges of the Faculty in accordance with the policies of the University of Oklahoma. To the extent these Bylaws conflict with such policies, those policies shall supersede the Bylaws.

ARTICLE I  
The Faculty  

A. Membership  

The General Faculty is composed of all full-time, part-time, and volunteer faculty members in the College of Dentistry.

B. Definitions  

Full-time faculty appointments with unmodified academic titles shall be limited to those faculty who devote their full-time professional effort to direct University activities and who are compensated by the University or University-approved sources.

When service to the University is used as a basis for full-time academic appointment, teaching, research, clinical activities, and/or administration of academic units must constitute a major part of the faculty member’s assigned duties. Exemption from this provision may be made with the consent of the academic unit, the Dean of the College, and the Senior Vice President and Provost.

2. Part-time faculty members are those who devote less than their full-time professional effort to direct University activities. Part-time faculty shall be eligible for faculty appointments with modified academic titles.

3. Volunteer faculty appointments have a modified academic title, and are without remuneration.

(See The University of Oklahoma Health Sciences Center Faculty Handbook.)

C. Duties of the Faculty  

set the standards for admission, courses of instruction, and requirements for graduation for candidates for the Doctor of Dental Surgery degree, the Bachelor of Science in Dental Hygiene degree, and advanced and post-graduate education programs.

2. To conduct the various programs of teaching, research and creative/scholarly activity, and professional and University service and public outreach of the College of Dentistry.

3. To recommend candidates for the degrees of Doctor of Dental Surgery, Bachelor of Science in Dental Hygiene and Master of Science as well as Certificates in advanced and post-graduate educations programs.
4. To deliberate and make recommendations to the administration regarding any other matters related to the College.

D  
ARTICLE II

Academic Freedom and Responsibilities

The 1940 Statement of Principles on Academic Freedom and Tenure with 1970 Interpretative Comments of the American Association of University Professors has long been recognized as providing valuable and authoritative guidelines for policy and practice in American colleges and universities. The University of Oklahoma Board of Regents has adopted these principles as University policy. (See The University of Oklahoma Health Sciences Center Faculty Handbook.)

ARTICLE III

Appointments, Promotions and Tenure

A. Appointments

1. New faculty appointments in the College of Dentistry shall originate with the chair of the department. The recommendation, if agreed on by the division chair and Dean, will be transmitted to the Senior Vice President and Provost, and to the President for approval in accord with the prevailing policies of the Board of Regents.

   After final approval by the Regents, letters of appointment by the President or his/her designee, and the appointee's letter of acceptance, together with other documents specified by University policy, shall constitute the initial terms of appointment.

2. The complete guidelines and procedures for appointments and titles are in The University of Oklahoma Health Sciences Center Faculty Handbook.

3. Titles in departments other than primary departments must be agreed to by the chair of the primary department.

B. Promotions and Tenure

1. The chair of each department shall annually submit to the Dean all recommendations for promotion and/or tenure of faculty members in the department.

2. Recommendations for promotion and/or tenure of department chairs shall originate with the division chair. Recommendations for promotion of division chairs shall originate with the Dean.

3. Recommendations on tenure will be transmitted through the Dean to the Campus Tenure Committee, and recommendations on promotion will be transmitted through the Dean to the Senior Vice President and Provost.

4. The complete guidelines and procedures for promotion and tenure are in the University of Oklahoma Health Sciences Center Faculty Handbook.

ARTICLE IV

Consultation and Patient Care Privileges
Consultative and patient care privileges shall be extended to faculty members of the College, subject to University, College, Department, and Professional Practice Plan regulations. The Dean must approve any exceptions to these privileges.

1. Full-time faculty members shall limit patient care to the University of Oklahoma Health Sciences Center, including (if appropriate) those affiliated hospitals and clinics where part of the academic program of the University is conducted.

2. The academic program shall take precedence over consultative and patient care assumed by faculty members.

3. The fees received by full-time faculty members for professional services, patient consultations, or supervision of individuals performing such services or consultations shall be managed and distributed according to the Professional Practice Plan approved by the Board of Regents.

4. The College of Dentistry Professional Practice Plan, which governs the professional practice activities of the faculty, is in the University of Oklahoma Health Sciences Center Faculty Handbook.

ARTICLE VII

Administration and Governance

consistent with the policies of The University of Oklahoma Board of Regents and the College. Administration of such rules, regulations, and policies shall be by the Dean of the College of Dentistry as the dental faculty's chief administrative officer.

The Dean of the College of Dentistry will be appointed by The University of Oklahoma Board of Regents on recommendation of the President, after considering the recommendation of the Senior Vice President and Provost of the Health Sciences Center. Recommendations for the appointment of all other members of the administration of the College of Dentistry shall originate with the Dean of the College of Dentistry, after consultation with members of the faculty. These appointments will be reviewed annually.

College of Dentistry standing assemblies, boards, councils, and committees are appointed by the Dean, unless otherwise specified in these Bylaws, the College Professional Practice Plan, or the Faculty Committees are appointed by the Dean, unless otherwise specified Handbook, and are advisory to the Dean. The Dean will appoint chairs of such advisory groups, annually. It shall be a policy of the College of Dentistry to rotate the membership of standing bodies whenever feasible. The Dean shall have the authority to create and appoint members to additional councils and committees to support the mission of the College of Dentistry.

Unless otherwise specified in these Bylaws, rules and procedures to be followed shall be those of Robert's Rules of Order.

3. Such amendments as are thus approved by the Dental Faculty shall then

ARTICLE VI

Divisions and Departments

1. The faculty shall be organized into academic divisions and departments. Departments are components of a division. The title of the administrative leader of each division and department shall be the chair.
2. Division and department chairs serve at the discretion of the Dean.

3. The chair of each division shall be the administrative leader of the division. The division chair will outline the duties of the department chair within the division, coordinate the academic programs that cross department lines, and meet regularly with the departmental chairs in that division.

4. The chair of each department shall be the administrative leader of the department. The chair will assign the duties for each faculty member in the department. The department chair will recommend department faculty appointments, promotions, and tenure to the Dean through the division chair.

5. A complete description of the appointment process and duties of chairs is included in the University of Oklahoma Health Sciences Center Faculty Handbook.

**ARTICLE VII**

**Standing College Assemblies, Boards, Councils, and Committees**

The following governing bodies (entities, groups) are to be created.

**Faculty Assembly:** The Faculty Assembly is the formal faculty body responsible for making policy recommendations to the College administration, disseminating information to faculty, and selecting College of Dentistry faculty representation on campus and University committees, subject to the Councils and Committees policy in the Faculty Handbook.

The Faculty Assembly includes all faculty. Voting faculty are those with appointments of at least 50% FTE. The Assembly shall meet at least quarterly. These regular meetings must be announced at least two weeks in advance. Additional meetings may be convened at the call of the Dean, or the Chair of the Assembly or by written request of 10 members of the Assembly. Special meetings must be announced at least two days in advance. A quorum is 40 percent of the Assembly membership.

The chair, vice chair, and secretary will be elected by the Assembly annually and may serve consecutive terms.

**Faculty Board:** The Faculty Board will set the agenda for the Faculty Assembly and transmit Faculty Assembly and Faculty Board policy recommendations to the Dean via the Dean’s Advisory Council.

The Faculty Board includes the Faculty Assembly chair, division chairs, and three at-large faculty members. The at-large members must be faculty with an appointment of at least 50% FTE. The Faculty Assembly will elect the at-large faculty members to serve staggered three-year terms. At-large members may not serve consecutive terms. The Faculty Board will meet at least quarterly. A majority of members constitutes a quorum.

The chair, vice chair, and secretary will be elected by the Board annually and may serve up to three consecutive terms.

**Dean’s Advisory Council:** The Dean’s Advisory Council is the body responsible for making policy recommendations to the Dean on strategic planning, facilities, fiscal planning, operations, academic and student affairs, research, advanced programs, and professional and community affairs.
The Dean’s Advisory Council is composed of assistant and associate deans. The Dean shall serve as chair of the Council. The Dean’s Advisory Council shall meet at least monthly. A majority of members constitutes a quorum.

ARTICLE VIII

These Bylaws of the Dental Faculty shall be amended only by the following method:

1. In a scheduled meeting of the Faculty Assembly, any member of the faculty may offer an amendment to article, articles, or sections of the Bylaws, such amendment and reasons for amendment being specifically stated and transcribed in the minutes of that faculty meeting. The proposed amendments may be fully discussed by faculty members attending that meeting. However, at the meeting in which the amendments are proposed, no vote of the General Faculty shall be taken on the proposed amendment.

2. The Dean, or a committee appointed by the Dean, shall study the proposed amendments and the reasons advanced to support them and shall report back at the next Faculty Assembly meeting the conclusions reached regarding operational consequence of the amendments. No later than eight University business days before the next following Faculty Assembly meeting, the Dean shall distribute to all members of the faculty copies of the proposed amendments, with the request that each faculty member review them and raise any questions for discussion at the Faculty Assembly meeting during which the vote on the amendments will occur. The Dean shall report prior to the vote the findings, or those of the committee, regarding the operational consequences of any suggested amendment. Thereafter, a vote of the faculty shall be taken, and any amendment receiving a majority vote from the faculty present, assuming a quorum is present, shall be registered in the minutes of the faculty meeting as so approved.

3. Upon approval, the amendments shall be sent to the Senior Vice President and Provost of the Health Sciences Center. Upon approval, the Senior Vice President and Provost will forward to The University of Oklahoma Board of Regents for approval.

Approved OU Board of Regents 09/12/2001
POLICY REGARDING CONFLICTS OF INTEREST  
HEALTH SCIENCES CENTER

I. STATEMENT OF GENERAL POLICY

The University of Oklahoma Health Sciences Center is a public institution committed to the mission of teaching; research and creative/scholarly activity; professional and University service; and public outreach. To these ends, the University strives to maintain an atmosphere that promotes free, open, and objective scholarly inquiry and compatible professional interactions while recognizing its obligations and limitations as an arm of the public.

Conflicts of interest are not uncommon in a modern university. The University actively recruits and recognizes individuals with creative abilities who can contribute to interactions not only in the classroom and laboratory but also in the business community. There are many opportunities to develop relationships with outside companies that enhance one's professional competency, render valuable service to the community, and benefit the individual and University. Such interactions and relationships can create conflicts of commitment or interest that must be governed in a manner consistent with institutional and public values. The existence of a conflict is often not clear-cut; however, an undisclosed or unmanaged conflict can irreparably damage reputations that may have taken a lifetime to establish and impair the University’s standing. Accordingly, University Employees must be watchful that their relationships and activities do not create, or appear to create, such conflicts.

A Conflict of Interest refers to situations in which financial or other personal considerations may compromise an Employee's professional judgment in carrying out his/her University responsibilities such as teaching, research, contract administration, or purchasing. When used in this policy, the term Conflict of Interest (“Conflict”) means (1) an actual conflict of interest exists, or (2) the potential exists for a conflict of interest to occur, or (3) there appears to be a conflict of interest; i.e., if made public, it could discredit the Employee or the University. The term also includes a Conflict of Commitment. Employees are expected to know and comply with the applicable laws and policies related to their appointment as University/State Employees.

The purpose of this policy is to provide procedures and guidance for identifying, disclosing, and managing Conflicts of Interest to assure that such Conflicts do not improperly affect the activities or professional conduct of the University or its Employees. Appropriate disclosure, review, and management provide protection from legal liability and ethical criticism for Employees and the University.

See Appendix A for Definitions (capitalized terms) used throughout this policy.

II. GOVERNING AUTHORITY

Governing authority for this policy is derived from state and federal laws, rules, and regulations. This policy overlaps with, but does not replace, University Employee responsibilities, under such governing authority which, in some instances, will include additional prohibitions, penalties, and reporting duties. Compliance with state and federal laws, rules, and regulations does not necessarily eliminate a Conflict, which still must be addressed in accordance with this policy.
Unless otherwise permitted by law or University policy, University Employees may not:

A. Disclose confidential and/or proprietary information acquired through their University employment to anyone not entitled to receive it, nor use such information for personal gain or benefit; e.g., providing a Company with early or exclusive access to Research results, except in compliance with a sponsored research agreement or grant;

B. Use their University position to obtain special privileges or compensation;

C. Knowingly seek or accept anything of value intended to or that may be perceived to affect their judgment in the performance of their University responsibilities;

D. Have any interests, engage in any business or professional activities, or incur any obligations that conflict, appear to conflict, or have the potential to conflict with the performance of their University duties;

E. Influence or appear to influence University financial, Research, or other decisions for procuring materials or services from Companies in ways that lead or may lead to personal gain for themselves or their Families;

F. Use their University position to market or endorse the goods or services of a Company; or

G. Participate in Research or technology transfer activities that compromise or appear to compromise objectivity and judgment in the design, conduct, or reporting of the Research.

Nothing in this policy prohibits any academic or administrative unit from establishing supplementary Conflicts of Interest policies and/or procedures that are more restrictive than those included in this policy.

See Appendices A, B, C, D, and H for select references to governing authority and policies.

III. IDENTIFYING CONFLICTS OF INTEREST

Identification and disclosure of Conflicts of Interest can protect the Employee and the University from damage to reputations and professional activities. Employees may contact their supervisor, Appropriate Vice President, or the Office of Legal Counsel with questions regarding Conflicts.

The conditions, circumstances, and relationships described in this section could result in Conflicts. Each Employee is required to identify and disclose all Conflicts relating to, but not limited to, each of the categories below.

A. FINANCIAL CONFLICTS OF INTEREST

While most disclosures of Financial Interests will probably be deemed de minimus Conflicts, Financial Interests above certain thresholds will automatically be deemed by law or regulation to be Significant Financial Interests and will require closer scrutiny, and possible elimination, mitigation, and/or management. The thresholds are as follows:

1. Interests that when aggregated over the next twelve months for the Employee and the Employee’s spouse/domestic partner and children, meet both of the following tests:
(a) Exceeds $10,000 in value as determined through reference to public prices or other reasonable measures of fair market value, or

(b) Represents more than a five percent ownership interest in any single entity.

2. Interests that exceed $1,000 in dividends or similar interests derived during the preceding calendar year.

3. Interests that involve the ownership or promise of stock or stock options or similar interests of any amount in a privately-held or Spin-Off Company.

4. Annual income for professional or consulting activity from a Company in excess of 25% of the Employee’s Institutional Base Salary.

B. USE OF UNIVERSITY RESOURCES

University Resources are acquired and maintained to carry out the education, research, and public service missions of the University. Except for insignificant and incidental use, an Employee may not use University Resources for non-University purposes.

1. Facilities, Equipment, Real and Personal Property. Employees using University facilities are required to comply with the University’s Facility Use policy (http://admin-scb.ouhsc.edu/policy/#124). See also Section III. F.7 of this policy.

2. Intellectual Property. Except as otherwise provided by the University’s Intellectual Property Policy, all intellectual property (i.e., creative works, trademarks, discoveries, technology, and inventions) made or conceived either in the course and/or scope of employment for the University or substantially through the use of facilities or funds provided by or through the University is owned by the University and managed by the University’s Office of Technology Development.

3. Human Resources. Human resources must not be exploited in the course of an Employee's activities. Human resources include students, postdoctoral fellows, residents, faculty, and staff. It is especially important to be alert for the misuse of human resources since it often results from innocent or unconscious action.

C. USE OF OFFICIAL POSITION

State law prohibits any state officers or state Employees from using their official positions to obtain private benefits or to solicit or secure special privileges, exemptions, or compensation for themselves or others, except as allowed by law. For example, University Employees may not:

1. Consult, serve on advisory boards, or participate in speaking engagements except under written agreement between the Company and University. Employees must ensure that time and effort spent on such activities is approved by their Unit Head and/or Dean and do not conflict with or affect the performance of their University responsibilities. See Conflict of Commitment (Section III.D).
2. Use their official University position or the University’s name for personal gain or private purposes for themselves or their Families.

3. Use their official position to market, promote, or endorse the goods or services of any Company.

4. Market, promote, or endorse goods or services in a manner that could be perceived by the public as the University’s marketing, promoting, or endorsing those goods or services.

5. Contract for services or purchases with their Families or with companies owned in whole or in part by themselves or their Families. Provided, however, exceptions may be granted in unusual circumstances if approved in advance by the Appropriate Vice President and are subject to University Purchasing rules. Requests for an exception must contain a detailed description of the proposed activity and a compelling justification for the provision of services or products by the Employee’s Family or related Company. The relationship between the Employee and Family or Company must clearly be disclosed.

D. CONFLICT OF COMMITMENT

University Employees owe their primary commitment of time and intellectual energies to the University.

University faculty and staff may not have combined commitments (sponsored and non-sponsored) in excess of 100% professional effort. Faculty or staff who have University responsibilities in addition to those committed on sponsored programs, including but not limited to teaching, administration, or clinical activities, must reserve the appropriate amount of effort as agreed upon with the Unit Head and/or Dean for those commitments and, therefore, may not commit 100% paid or unpaid effort to sponsored grants and/or contracts.

Employees must not allow professional activities to detract from their primary allegiance to the University. Employees must maintain a significant presence on campus consistent with the scope of their appointment. Full-time employees must not have significant non-University responsibilities and may not act as the principal investigator on sponsored projects outside the University, except as provided in this policy.

Employees with less than full-time appointments may engage in individual consulting relationships and other employment, to the degree that those activities do not interfere or conflict with their obligations to the University and occur outside their University appointment.

Each employee must disclose any Conflict of Commitment as each new activity arises and on an annual basis in accordance with this policy. An employee intending to engage in an activity that may present a Conflict of Commitment must submit a written request to the Unit Head, Dean, and/or the Appropriate Vice President, as appropriate, for review of the activity, approval, and implementation of a Management Plan as appropriate.

E. INSTITUTIONAL CONFLICTS OF INTEREST

Institutional Conflicts of Interest are managed under the University’s Institutional Conflicts of Interest Policy. See www.ou.edu.
F. RELATIONSHIPS WITH COMPANIES

Generally, while employees may accept positions and hold Financial Interests in privately held, for-profit Companies, relationships with (a) Companies that are doing business with the University or (b) Companies in which Employees have a direct financial stake create Conflicts that require disclosure, assessment, and management. Employees may also be prohibited from involvement in particular business arrangements by governing law.

Employees with an ownership interest in Companies should be aware of the following state ethics rule: No Employee shall sell, offer to sell, or cause to be sold; rent; or lease (either as an individual or through any Company in which the Employee holds a Financial Interest) goods, services, buildings or property to the University. Exceptions to this rule are: 1) contracts for goods or services valued at less than five thousand dollars ($5,000), and 2) contracts entered into after public notice and in response to a University bid or request for proposal (RFP).

1. Equity and Other Financial Interests

Employees who propose to become involved with a Company in which they have a Financial Interest, including but not limited to Equity, must promptly disclose the interest to the University. Employees having income from or Equity in such Companies should recognize that their ability to conduct Research sponsored by that Company may be restricted because of a Conflicts created by their income from or Equity in the Company.

When intellectual property is produced by an Employee in the performance of his/her official University duties or through the use of University Resources, the Employee may receive income or Equity under contract through the University from a Company commercializing the intellectual property.

2. Membership on Boards

Service on a Company’s Board of Directors or Advisory Board is normally acceptable; however, when the Company proposes to do or is doing business with the University, Conflicts arise because fiduciary obligations to the Company may conflict with primary obligations to the University to act in its best interests. Notice to and approval of Board membership by the Appropriate Vice President and recusal from Board matters involving University interests or resignation from the Board are required.

Employees may be permitted to serve on the Board of a Company that has licensed University technology if the service is disclosed in advance to and with approval of the Appropriate Vice President. An employee who is allowed to assume a Board position should recognize that his or her ability to conduct Research that is sponsored by the Company may be restricted because of the Conflict created by the Board position. Employees who assume Board positions must recuse themselves from all Board decisions that involve the University.

3. Service as an Operating Officer

An Employee cannot serve as an Operating Officer of any Company in which the University owns a Financial Interest or with which there is a Conflict with the Employee’s University position. If an Employee wishes to serve as an
Operating Officer, under such circumstances, he/she may request a leave of absence from the University for a specified period of time, in accordance with policies in the Faculty Handbook.

4. **Service as a Scientific Officer**

An Employee may serve as a Scientific Officer for a Company with the prior written permission of the Unit Head, Dean, and Appropriate Vice President. Such service must be through a written agreement between the University and the Company. The term of service as a Scientific Officer should ordinarily be for one year, renewable with written approval of the Employee’s Unit Head, Dean, and the Appropriate Vice President.

5. **Consulting Relationships and Multiple Activities**

All consulting activities of Employees must be conducted through written agreements between the University and the Company. Where such activities are performed for a Company in which the Employee holds Equity; receives compensation; serves as a Scientific Officer; has a Board seat; has multiple professional relationships with the Company (e.g., consulting and research agreements); or, as permitted under this policy, serves as an Operating Officer; any consulting relationship with the Company must be disclosed and receive prior review and written approval from the Employee’s Unit Head, Dean, and the Appropriate Vice President.

6. **Support of Students and Trainees by Companies**

The progress and academic standing of students and trainees must never be compromised. Employees supervising students and trainees must inform them in writing of the source(s) of their funding support, disposition of intellectual property, management of proprietary information, and handling of publication rights prior to assigning the students or trainees to a Research or training project supported by a Company.

A student’s academic program cannot be supported by a Company in which the supervising Employee has Equity, serves on a Board, or serves as an Operating Officer or Scientific Officer, unless specifically approved in writing by the Dean of the Graduate College and the Senior Vice President and Provost. If approved, support (e.g., stipends, tuition, salary, scholarships) for students and trainees provided by Companies must comply with all of the following provisions:

(a) The College department, program, or unit approves the recipient;

(b) The funds are provided to the University;

(c) The recipient is not subject to any implicit or explicit expectation of providing or foregoing something in return for the support; i.e., a “quid pro quo”; and

(d) The Company does not withhold or unreasonably limit publication of the student’s research.

University trainees and students may not be employed by any Company to conduct Research that overlaps with their University training or academic program. In addition, Graduate College policy does not allow a faculty
member with supervisory responsibility for an Employee to serve as mentor for the same individual as a student; therefore, any proposed employment of a trainee or student by a Company to work on Company Research that does not overlap with their training or academic program in which the mentor has Equity, serves on a Board, or serves as an Operating Officer or Scientific Officer of the Company must be approved in advance by the Dean of the Graduate College and the Senior Vice President and Provost.

7. **Company Use of University Equipment and Space**

An Employee may not use or allocate University equipment or space for personal or non-University purposes, except as provided by University policy.

Limited use of University space and designated equipment by a Company may be permitted in accordance with governing law following review and approval by the Appropriate Vice President. The terms and conditions of such use shall be reduced to writing and signed by the Appropriate Vice President and the Company in accordance with University policy.

8. **Funding for Sponsored Research and Service Activities**

Employees may not act as a principal investigator on sponsored projects outside the University, except as allowed by Board of Regents’ policy.

There is a presumption against accepting funding from a Company in which (i) the University or the Employee has a Financial Interest, (ii) the Employee serves on the Board of the Company, or (iii) the Employee serves as an Operating Officer or Scientific Officer

The presumption is applied as follows: When a Company proposes to contract for Research or services to be conducted by such an Employee or anyone under the direction of that Employee and the Research or services involve neither human research participants nor validation testing, the presumption against accepting funding may be rebutted if, in the judgment of the Appropriate Vice President, one of the following is met and a Management Plan is in place:

(a) The Employee’s influence over the Company’s decisions and the possible commercial or private benefit from the Research or services are negligible.

(b) The Research or service is essential to maintain the continuity of an effort related to University licensed intellectual property during a short interval of time, normally not greater than one year.

(c) The Employee’s relationship with the Company does not involve additional relationships with the Company such as consulting or service agreements or Board membership, so that the likelihood of any distortion of the Research or service outcome is minimal.

Employees supervising students and trainees shall inform them in writing of the source(s) of funds, disposition of intellectual property, management of proprietary information, and handling of publication rights prior to assigning the students or trainees to a Research or training project.
The University may not conduct testing to validate to the public an invention created at or by the University.

9. **SBIR / STTR Programs**

Under Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs, small businesses are encouraged to partner with a research university to perform innovative research and/or to assist in technology transfer from the university. A University Employee may participate in the SBIR/STTR project only through a contract between the University and the Company that outlines the Employee’s responsibilities and/or University benefits or with written authorization by the Dean and Vice President for Research.

SBIR/STTR programs can produce a variety of complex situations with regard to Conflicts issues. Therefore, Employees are required to comply with the following:

1. A University Employee with any role on an SBIR/STTR project must submit the entire grant application (University’s portion and small business's portion) to the Office of Research Administration (ORA) to enable the appropriate reviews to take place before submission of the application to the funding agency.

2. The principal investigator for the small business SBIR/STTR application and the principal investigator for the subcontract to the University must be different individuals.

3. If a University Employee or his/her Family has a Financial Interest in a Company, that individual may not bring Research into his/her University laboratory through an SBIR or STTR contract involving that Company, except through a contract between the Company and the University.

4. The Company must provide evidence of availability of functioning space in which Research activities can and will take place prior to submission of the grant application.

5. The Employee must notify the Vice President for Research and/or ORA in writing upon receipt of an SBIR/STTR award. If the Employee is serving as the principal investigator of the small business concern on an SBIR grant he/she must also provide documentation of approval from the appropriate Dean to reduce his/her University appointment to commit the required minimum 51% effort as the SBIR principal investigator of the small business concern.

6. Prior to start of the project, a contract between the University and the Company must be executed outlining the Employee’s responsibilities and/or University benefits or the Employee must obtain written authorization by the Dean and the Vice President for Research to proceed. There must be a clear distinction between the work done by or on behalf of the Company and the work performed by or on behalf of the University.

7. The Employee must work through his/her college/department to reduce his/her appointment if serving as the principal investigator on an SBIR award.
G. FINANCIAL INTERESTS INVOLVING HUMAN RESEARCH PARTICIPANTS

All Financial Interests of an Employee serving as an investigator in Research involving human research participants must be disclosed and managed under this policy and the University’s Human Research Participant Protection (HRPP) policy See http://www.ouhsc.edu/irb.

An investigator with a Conflict in Research involving human research participants may conduct that Research only with an HRPP-approved Management Plan. However, the Vice President for Research has final authority to disallow Research determined to be contrary to the best interests of the University.

IV. DISCLOSING CONFLICTS OF INTEREST

Employees must disclose a Conflict whenever a new activity or relationship arises and also on an annual basis in accordance with this policy. To ensure all required disclosures are made under this policy Employees should contact the Unit Head, Dean, or Appropriate Vice President if there is any question whether an activity or relationship requires disclosure. All disclosures will be forwarded to Internal Audit by ORA or the Employee’s supervisor. Failure to disclose Conflicts can result in administrative sanctions, severe sanctions, and/or civil and criminal penalties.

A. WHAT TO DISCLOSE

When used in this policy, the term Conflict of Interest (“Conflict”) means (1) an actual conflict of interest exists, or (2) the potential exists for a conflict of interest to occur, or (3) there appears to be a conflict of interest; i.e., if made public, it could discredit the Employee or the University. Disclosure of Conflicts is required for the following in accordance with this policy.

1. Financial Conflicts of Interest above the specified thresholds
2. Conflicts of Commitment
3. Relationships with Companies
   (a) Equity and other Financial Interests
   (b) Board membership
   (c) Service as an Operating Officer
   (d) Service as a Scientific Officer
   (e) Consulting relationships with Companies
   (f) Multiple professional relationships with Companies
   (g) Company support of students and trainees
   (h) Company use of University equipment and/or space
   (i) Sponsored research support from Companies in which the Employee or University has a Significant Financial Interest
   (j) Compensation for professional services to a Company
   (k) Financial Interests involving human subjects research

Although all possible required scenarios cannot be itemized here, the following are examples of circumstances that do require disclosure under this policy:

1. Participating in University Research on a technology owned, licensed, or contractually obligated to a Company with which the Employee or his/her Family has a Financial Interest.

2. Receipt of University Research support (whether in dollars or in kind) from a Company with which the Employee or his/her Family has a Financial Interest.
3. Receipt of royalties on products undergoing Research or testing under the supervision or control of the Employee.

4. Service on a board of directors or scientific advisory board of, or executive position with, a Company from which the Employee, Employee's Family, or a Company associated with the Employee or his/her Family receives sponsored research support.

5. Receiving funds, gifts, or any compensation from a Company that is sponsoring an Employee's Research, for activities or purposes unrelated to the actual costs of performing that Research.

6. Assigning to fellows and/or students, tasks related to an Employee's involvement with a Company.

7. Purchasing equipment, services, or supplies for Research from a Company with which the Employee, the Employee's Family, or a Company associated with the Employee or his/her Family has a Financial Interest.

8. Receipt of any non-royalty payments or entitlements to payments in connection with the Research that are not directly related to the reasonable costs of the Research (as specified in a research agreement between the sponsor and the University).

In addition, in all publications and presentations Employees must always disclose Financial Interests in any Company that supports Research being reported.

B. WHEN TO DISCLOSE

Disclosure is required with:

1. Each new and renewal proposal, grant application, and contract submitted to the Office of Research Administration (ORA).

2. Each new or modified activity or relationship with a Company (i.e., license agreement with Spin-Off Company).

3. Each new circumstance, situation, or activity that is not associated with an ORA submission and is a Conflict of Interest.

The Employee’s Unit Head and Dean shall review and discuss the disclosure with the Employee as necessary. In those instances in which the Expanded Disclosure Form is required, the Unit Head and Dean will forward the Expanded Disclosure Form and written recommendations regarding the elimination, mitigation, and/or management of any identified Conflict(s) to the Appropriate Vice President for review and final decision on how to proceed. The Appropriate Vice President may consult with others, such as a committee appointed for that purpose.

The Employee shall be notified in writing of the decision, including any requirements for immediate action and plans for continued monitoring of the Conflict.
C. HOW TO DISCLOSE

All disclosures are to be made on the “Conflicts of Interest Disclosure Form” and if required per the disclosure form instruction on the “Expanded Conflicts of Interest Disclosure Form”. The Conflicts of Interest Disclosure Form is incorporated into the Office of Research Administration Routing Forms for all submissions to ORA. Non-ORA related disclosures are made on these forms and submitted to the Appropriate Vice President.

See Appendix G and the Office of Research Administration website (http://w3.ouhsc.edu/ORA) for both disclosure forms which contain specific instructions.

V. ELIMINATING, MITIGATING, OR MANAGING CONFLICTS OF INTEREST

After a Conflict has been identified and disclosed, the Appropriate Vice President shall develop a written Management Plan for eliminating, mitigating, or managing the Conflict, which may include, but is not limited to:

A. Full internal disclosure and annual updates on University disclosure forms;

B. Public disclosure;

C. Disclosure to individuals or entities with relevant interests such as institutional committees, research participants, journals, and data safety monitoring boards;

D. Modification of the activity;

E. Divestiture of financial interests of the Employee and/or his/her Family;

F. Reduction of involvement or severance of relationships that create the Conflict;

G. Alteration of participation in all or a portion of the activity;

H. Transferring securities to an independent financial manager or blind trust or limiting the timing of sales or distributions;

I. Oversight of activity by an appropriate supervisor or independent reviewer;

J. Termination of student or post-doctoral fellow involvement in the activity;

K. Independent clinical review of the appropriateness of clinical care given to research participants, if applicable;

L. Monitoring the informed consent process.

After implementation of a Management Plan and commencement of the activity or relationship, the Appropriate Vice President will review the effectiveness of the Plan as often as deemed necessary, but not less frequently than every twelve months. If, during the conduct of the activity, the Appropriate Vice President determines that the Conflict has not been properly managed or has become unmanageable under the Plan, he/she will then, among other options, review the activity and, as necessary, refine the Plan accordingly and/or require a new disclosure. If an outside funding agency requires notification of the Conflict, the Appropriate Vice President will notify the agency in accordance with agency requirements.
For activities with Companies in which the Employee has Equity or serves as an Officer or on the Board, the Management Plan must comply with Section III. F., Relationships with Companies, of this policy.

VI. SANCTIONS

University sanctions may include, without restriction, reprimand, restitution, loss of pay, suspension, or dismissal. Persons who violate this policy also may be subject to civil and criminal penalties for violations of state or federal law; e.g., civil penalties for willful violations of state laws may reach $50,000 or more per violation.

Allegations against an Employee for breach of this policy should be reported to the Appropriate Vice President for review and action. In the event a violation of this policy also constitutes a breach of any other University policy, the Senior Vice President and Provost, in consultation with the Appropriate Vice President, shall determine which policy shall govern.
VII. APPENDICES

A. Definitions

B. Federal Regulations and Guidance Regarding Financial Conflicts of Interest

C. State Government Ethics Commission Rules Governing the Ethics and Conflicts of Interest for the Conduct of State Officers and Employees

D. Provost’s Memo Regarding Promotional Agreements for Private Industry (11-11-04)

E. Tips for Avoiding Conflicts of Interest

F. Questions and Answers

G. Forms

H. Other University Policies Which May Relate to Conflicts of Interests
APPENDIX A
DEFINITIONS

**Appropriate Vice President:** The vice president with authority over the unit or activity in which the Conflict of Interest has been identified or his/her designee. For example, if the disclosure regards a research activity, the Vice President for Research will provide oversight, regardless of where the individual is employed within the University. If the activity is not research-related, the vice president with authority over the unit or activity will provide oversight.

**Board:** Board of Directors or Advisory Board.

**Company:** Any entity, including a Spin-Off Company, other than the Board of Regents of the University of Oklahoma.

**Compensation:** All remuneration or other things of value received in payment for services rendered, such as salary, gifts, stocks, favors, or other items of value.

**Conflict of Commitment:** Time or effort devoted to professional activities that may adversely affect or interfere with an Employee’s primary University responsibilities and obligations.

**Conflict(s) of Interest (Conflict):** Refers to situations in which financial or other personal considerations may compromise an Employee's professional judgment in carrying out his/her University responsibilities such as teaching, research, contract administration, or purchasing. When used in this policy, the term Conflict of Interest means (1) an actual conflict of interest exists, or (2) the potential exists for a conflict of interest to occur, or (3) there appears to be a conflict of interest; i.e., if made public, it could discredit the Employee or the University. The term also includes a Conflict of Commitment.

**Employee:** Any person possessing a full- or part-time faculty or staff appointment at the University. For the purposes of this policy, Employee also includes adjunct faculty, postdoctoral fellows, residents, and volunteers. In addition, graduate and undergraduate students, whether or not paid, who work on grants or contracts are considered Employees for the purposes of this policy.

**Equity:** Ownership interest in a Company, such as stock and stock options.

**Family:** Includes any individual who is a spouse/domestic partner, parent, child, stepparent, steppchild, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of an Employee or a member of the Employee's household.

**Financial Interest:** Anything of monetary value including, but not limited to, Equity.

**Gift:** Anything of value to the extent that consideration of equal or greater value is not received in exchange, as set forth in the State Ethics Commission Rules Governing the Ethical Conduct of State Officers and Employees.

**Institutional Base Salary:** The annual guaranteed compensation paid by the University for an Employee’s appointment, whether that individual’s time is spent on research, teaching, patient care, and/or other University activities.

**Investigator:** Any person who is responsible for the design, conduct, or reporting of Research.

**Management Plan:** Written plan to manage, mitigate, or eliminate a disclosed Conflict of Interest.
Operating Officer: An executive of a Company (with or without salary); e.g., Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, President, Vice-President.

Public Health Service (PHS): An operating division of the U.S. Department of Health and Human Services, and any components of the PHS to which the authority involved may be delegated.

Research: A systematic, intensive study intended to increase knowledge or understanding of the subject studied, a systematic study specifically directed toward applying new knowledge to meet a recognized need, or a systematic application of knowledge to the production of useful materials, devices, and systems or methods, including design, development, and improvement of prototypes and new processes to meet specific requirements. Also termed “research and development.”

Scientific Officer: The person responsible for conceiving, evaluating, and/or executing Research for a Company (with or without salary).

Senior Vice President and Provost: The chief executive academic and administrative officer of the University or his/her designee.

Significant Financial Interest: Financial interests above a certain threshold:

A. Interests that when aggregated over the next twelve months for the Employee and the Employee’s spouse/domestic partner and children, meet either of the following tests:

(i) Exceeds $10,000 in value as determined through reference to public prices or other reasonable measures of fair market value, or

(ii) Represents more than a five percent ownership interest in any single entity.

B. Interests that exceed $1,000 in dividends derived during the preceding calendar year.

C. Interests that involve the ownership or promise of stock or stock options of any amount in a privately-held or Spin-Off Company.

D. Annual income for professional or consulting service from a Company in excess of 25% of the Employee’s Institutional Base Salary.

Spin-Off Company: A Company that is organized to develop and/or commercialize specific University intellectual property. Spin-Off Companies are typically privately held, for-profit Companies with licenses to develop and/or commercialize University intellectual property created by University Employees and in which those Employees often own some or all of the Company.

Unit Head: An Employee’s supervisor, such as his/her Department Chair, Center Director, or Dean. Section Chief is not considered Unit Head.

University: The University of Oklahoma Health Sciences Center.

University Resources: All University facilities, equipment, real and personal property, intellectual property, and human resources.
APPENDIX B

FEDERAL REGULATIONS AND GUIDANCE REGARDING 
FINANCIAL CONFLICTS OF INTEREST

42 CFR Part 50.601-607, Subpart F - Responsibility of Applicants for Promoting Objectivity in Research for which PHS Funding is Sought

45 CFR Part 94.1 - Responsible Prospective Contractors

21 CFR Part 54 – Financial Disclosure by Clinical Investigators

NIH Guide – Objectivity in Research

FDA "Guidance: Financial Disclosure by Clinical Investigators" (March 20, 2001)
http://www.fda.gov/oc/guidance/financialdis.html


http://www.hhs.gov/ohrp/nhrpac/mtg12-00/finguid.htm

NIH Guide Notice (12/06/2004) - Reminder of Financial Conflict of Interest Requirements for All NIH-Supported Institutions.

This first report of the AAMC Task Force on Financial Conflicts of Interest in Clinical Research provides guidance related to individual financial interests in human subjects research.

Protecting Subjects, Preserving Trust, Promoting Progress II: Principles and Recommendations for Oversight of an Institution's Financial Interests in Human Subjects Research.
This second report of the AAMC Task Force offers a conceptual framework for assessing institutional conflicts of interest and a set of specific recommendations for the oversight of certain financial interest in human subjects research. The guidelines highlight areas that, in the view of the AAMC's Task Force, are especially problematic and must therefore receive close scrutiny.
APPENDIX C

STATE GOVERNMENT ETHICS COMMISSION RULES GOVERNING THE ETHICS AND CONFLICTS OF INTEREST FOR THE CONDUCT OF STATE OFFICERS AND EMPLOYEES


The following rules and standards may be amended by the Legislature from time to time so to find the latest version you should either consult the latest copy of the Oklahoma State Statutes or contact the University Office of Legal Counsel.

Standard 257:20-1-1. General Purpose and Authority.
(a) The rules of this chapter have been adopted for the purpose of complying with the provisions of the Oklahoma Constitution, Article XXIX, Section 3 (B). The Ethics Commission finds that the proper operation of state government requires:
   (1) that a state officer or state employee be independent and impartial;
   (2) that government policy and decisions be made through the established processes of state government;
   (3) that a state officer or state employee not use state office to obtain private benefits;
   (4) that a state officer or state employee avoid action which creates the appearance of using state office to obtain a private or inappropriate benefit; and
   (5) that the public have confidence in the integrity of its government and state officers and state employees.

(b) It is the intent of the Ethics Commission:
   (1) to protect against conflicts of interest and establish standards of conduct of elective officers and state employees in situations where conflicts may exist;
   (2) to attract those citizens best qualified to serve. Thus the rules against conflicts of interest must be so designed as not to impede unreasonably or unnecessarily the recruitment and retention by government of those best qualified to serve. State officers should not be denied the opportunity, available to all other citizens, to acquire and retain private economic interests except when such interests conflict with the responsibility of such officers to the public;
   (3) to discourage state officers and state employees from acting upon a private or business interest in the performance of a public duty;
   (4) to develop public confidence in persons seeking or holding state office or employment, to enhance the dignity of state government, and to make it attractive to citizens who are motivated to public service;
   (5) that state officers and state employees shall exercise their powers and prerogatives without prejudice or favoritism.

Standard 257:20-1-2. Buying or Selling State Employment or Appointments to State Office.
(a) Candidates for state office, state officers, and state employees shall not, directly or indirectly, solicit, receive or agree to receive anything of value, or campaign contributions, for agreeing to appoint, appointing or procuring the appointment of another person to any state office or agreeing to employ, employing or procuring the employment of another person in any position as a state employee.

(b) Nothing in Subsection (a) of this section shall be construed to include matters within the purview of the Oklahoma Personnel Act, Sections 840 et seq. and 841 et seq. of Title 74 of the
Oklahoma Statutes.

**Standard 257:20-1-3. Accountability.**

(a) All state officers and all state employees:
   (1) shall support, obey, and defend the Constitution and laws of the State of Oklahoma; and
   (2) shall not knowingly receive, directly or indirectly, any money or other valuable thing, for the performance or nonperformance of any act or duty pertaining to his or her office, other than the compensation allowed by law.

(b) Nothing in Subsection (a) of this section shall be construed to include matters within the purview of the Oklahoma Personnel Act, Sections 840 et seq. and 841 et seq. of Title 74 of the Oklahoma Statutes.

**Standard 257:20-1-4. Misuse of Office.**

(a) No state officer or state employee shall use his or her official position to solicit or secure special privileges, exemptions or compensation for himself, herself or others, except in the performance of his or her duties or as may be allowed by law. Such prohibited activity, except as provided by statute, shall not include:
   (1) writing letters or orally communicating recommendations for hiring, reclassifying, terminating or promoting a state employee; or
   (2) an employee of an institution within The Oklahoma State System of Higher Education receiving income from ownership interest in a technology or other intellectual property or in a business enterprise commercializing the technology or other intellectual property, or receiving income as a consultant, adviser, or employee of such business enterprise, when such technology or other intellectual property is the result of research conducted by the employee in the performance of his or her duties on behalf of the institution or involving the authorized use of the facilities, equipment, or services of the institution.

(b) No state officer or state employee, except in the performance of his or her duties, shall disclose or offer to disclose confidential information acquired by reason of his or her official position to any person, group or others not entitled to receive such confidential information, nor shall he or she use such information for his or her personal gain or benefit.

(c) No state officer or state employee shall:
   (1) receive or solicit any compensation that would impair his or her independence of judgment for his or her services as an officer or employee of any state agency, from any source other than the state, unless otherwise provided by law; or
   (2) accept or solicit other employment which would impair his or her independence of judgment in the performance of his or her public duties.

Provided, the activities prohibited by this subsection shall not include an employee of an institution within The Oklahoma State System of Higher Education receiving income from ownership interest in a technology or other intellectual property or in a business enterprise commercializing the technology or other intellectual property, or receiving income as a consultant, adviser, or employee of such business enterprise, when such technology or other intellectual property is the result of research conducted by the employee in the performance of his or her duties on behalf of the institution or the authorized use of the facilities or services of the institution.
(d) No legislator or statewide elective officer shall be employed by or receive any commission, fee, or other compensation from the state, except:

1. the compensation and allowance for expenses provided by law to such legislator or statewide elective officer;
2. compensation from serving in the Oklahoma National Guard or the Oklahoma State Guard; or
3. income from government pension or retirement plans.

No state officer of a governmental entity shall own any interest in any bond, obligation or security issued by or in the name of such governmental entity, unless such interest is a part of a mutual fund or similar security.

(a) A statewide elective officer shall not receive or agree to receive compensation for representing or assisting any person or business in any transaction involving the state; or represent another person for a fee before any state department, agency, board or commission. The provisions of this subsection shall not:
1. apply to the practice of law before any court; or
2. preclude a statewide elective officer from acting on behalf of a constituent to determine the status of a matter before a state department, agency, board, commission, institution or instrumentality without accepting compensation therefor.
(b) A legislator shall not receive or agree to receive compensation for representing or assisting any person or business in any transaction involving the state; or represent another person, firm, corporation or entity for a fee before any state department, agency, board or commission. The provisions of this subsection shall not:
1. apply to the practice of law before any court; or
2. preclude a member of the Legislature from acting on behalf of a constituent to determine the status of a matter before a state department, agency, board, commission, institution or instrumentality without accepting compensation therefor.
(c) A state officer or state employee shall not represent another person as an attorney in any matter before the Commission.
(d) A state officer or state employee shall not represent another person before the governmental entity the state officer or state employee serves.
(e) These restrictions shall not apply to the following:
1. purely ministerial matters which do not require discretion on the part of the entity;
2. representation by a state officer or state employee in the course of the officer's or employee's official duties;
3. self-representation by the state officer or state employee;
4. representation by the state officer or state employee in matters arising out of or rules promulgated pursuant to the Oklahoma Personnel Act; or
5. representation by the state officer or state employee in a grievance before an institution of the Oklahoma State System of Higher Education so long as such representation is consistent with the rules relating to such grievances.
(f) The restrictions set forth in this section do not apply if the state officer or state employee is testifying under oath to facts that are within the individual's knowledge, or as an expert witness who does not accept compensation other than regularly provided for by law or rule for subpoenaed witnesses.

(a) A legislator or statewide elective officer shall not introduce or cause to have introduced, request the introduction of, promote, or vote on any legislation if the statewide elective officer or legislator or a child, adopted child, step-child or spouse of the officer or legislator or a business
or entity with which the legislator or officer or a member of the immediate family of the legislator or officer is associated has:

(1) a pecuniary interest in; or

(2) a reasonably foreseeable benefit from;

the legislation. A reasonably foreseeable benefit includes detriment to a business competitor to the legislator or statewide elective officer, to a business competitor of a member of the immediate family of the legislator or officer, or to a business competitor of a business or entity with which the legislator or officer or child, step-child or spouse of the legislator or officer is associated.

(b) A legislator or statewide elective officer may introduce or cause to have introduced, request the introduction of, promote, or vote on legislation if the only pecuniary interest or reasonably foreseeable benefit that may accrue to the legislator or officer, child, adopted child, step-child or spouse of the legislator or officer, or business or entity with which a legislator or officer or a child, adopted child, step-child or spouse of a legislator or officer is associated is incidental to the legislator's or officer's, child's, adopted child's, step-child's, or spouse's or business or entity's position, or which accrues to the legislator or officer, child, adopted child, step-child or spouse, of the legislator or officer, or business or entity as a member of a profession, occupation, or large class, whichever is applicable, to no significantly greater extent than the pecuniary interest or potential benefit could reasonably be foreseen to accrue to all other members of the profession, occupation, or large class.

(c) Nothing in this subsection shall allow a legislator or a member of the immediate family of a legislator, a statewide elective officer, or a business or entity with which the legislator or statewide elective officer is associated to contract with a governmental entity except as provided in Subsection (b) of Section 10 of this chapter.

(a) A public member shall not participate in the discussion on, vote on, influence, or attempt to influence an official action of the governmental entity the public member serves on if the public member or a member of the immediate family of the public member or a business or entity with which the public member or a member of the immediate family of the public member is associated, has:

(1) a pecuniary interest in; or

(2) a reasonably foreseeable benefit from;

the matter under consideration by the governmental entity of which the public member is a member. A reasonably foreseeable benefit includes detriment to a business competitor of the public member, to a business competitor of a member of the immediate family of a public member or to a business competitor of a business or entity with which the public member or a member of the immediate family of the public member is associated. The public member's abstention must be recorded in the governmental entity's minutes.

(b) A public member may participate in the discussion on, vote on, or influence or attempt to influence an official action if the only pecuniary interest or reasonably foreseeable benefit that may accrue to the public member or a member of the immediate family of a public member or business or entity with which the public member or member of the immediate family of the public member is associated is incidental to the public member's, immediate family member's or business or entity's position, or which accrues to the public member, immediate family member or business or entity as a member of a profession, occupation, or large class, whichever is applicable, to no significantly greater extent than the pecuniary interest or potential benefit could reasonably be foreseen to accrue to all other members of the profession, occupation, or large class.

(c) Nothing in this section shall allow a public member or a member of the immediate family of the public member or a business or entity with which the public member or a member of the immediate family of the public member is associated to contract with the governmental entity over which the public member has jurisdiction.

Standard 257 20-1-9 - Restraints on Solicitation or Acceptance of Anything of Value - Disclosure
(a) Influence of official act, fraud or official duty. No state officer and no state employee shall, directly or indirectly, ask, demand, exact, solicit, seek, accept, assign, receive, or agree to
receive anything of value for the state officer or employee or for any other person or entity, in return for being:

(1) influenced in the performance of an official act;
(2) influenced to commit, aid in committing, collude in, or allow fraud, or make an opportunity for the commission of fraud on a governmental entity; or
(3) induced to perform or fail to perform an act in violation of the state officer's or state employee's official duty.

(b) Soliciting individually or on behalf of a regulatory governmental entity prohibited. No state officer and no state employee shall, directly or indirectly, ask, demand, exact, solicit, seek, accept, assign, receive or agree to receive anything of value individually or for or on behalf of a governmental entity from a business entity, its employees, officers or board members, or a person who has greater than a ten percent (10%) interest in such entity if the rates, charges, prices or fees charged by the business entity are subject to regulation by the governmental entity which the officer or employee serves. This provision does not apply to a campaign contribution properly received and reported, which is exempt from the definition of anything of value in Section 2 of Chapter 1 of this title, or to anything of value accepted on behalf of the state of Oklahoma pursuant to Subsection (e) of this section.

(c) Calendar year limits on things of value.

(1) Elective officers. No elective officer, or an immediate family member of an elective officer shall, directly or indirectly, ask, demand, exact, solicit, seek, accept, assign, receive, or agree to receive things of value in a calendar year which, in the aggregate, are valued at more than three hundred dollars ($300); and
(2) Other state officers and state employees. Except for an elective officer, no state officer, state employee or an immediate family member of such state officer or state employee shall, directly or indirectly, ask, demand, exact, solicit, seek, accept, assign, receive or agree to receive things of value in a calendar year which, in the aggregate, are valued at more than one hundred dollars ($100):

from a person who the state officer or state employee knows or should know:

(A) is a lobbyist or lobbyist principal, provided that the following shall not be subject to this subsection:

(i) things of value received as a result of or arising out of employment by, or doing business with, a lobbyist or lobbyist principal; and
(ii) things of value received from any director, stockholder, partner, agent, affiliate, member, employee or officer of a lobbyist principal if the donor is excepted in subparagraph (D) of Paragraph (2) from the definition of "anything of value" in Section 2 of Chapter 1 of this title, or if there exists between the recipient and the donor a close personal relationship of long standing in which the mutual exchange of gifts on special occasions, such as holidays or anniversaries, has become customary;

(B) is seeking to do business or doing business with the governmental entity of which the state officer's or state employee's office or employment is a part; or

(C) has an economic interest in actions or matters before or affecting the governmental entity of which the state officer's or state employee's office or employment is a part.

A thing or things of value given by a lobbyist; the lobbyist principal or lobbyist principals by whom the lobbyist is employed or retained; or a stockholder, partner, agent, affiliate, member, employee or officer of the lobbyist principal or lobbyist principals by whom the lobbyist is employed or retained are aggregated for purposes of the disclosure threshold and calendar year limits, regardless of how the thing or things of value are funded. If more than one lobbyist is retained or employed by a lobbyist principal, the disclosure and calendar year limits of the first lobbyist to register on behalf of the lobbyist principal for a calendar year are aggregated with each additional lobbyist employed or retained by the same lobbyist principal.

(d) Prohibition versus limit--Exception. Nothing in Subsection (c) shall allow a state officer or state employee to accept anything of value in violation of Subsection (a) of this section. Subsection (c) shall not apply to public members when things of value are received but are not
given as a result of the public member's status as a public member.

(e) Exceptions for state officers and employees of judicial branch and corporations. Nothing in this section shall allow:

1. a judicial officer, juror, referee, arbitrator or umpire to accept anything of value from a corporation or any other person, knowing that person to be a party in interest or the attorney or counsel of a party in interest to any action or proceeding then pending or about to be brought before him or her pursuant to Section 386 of Title 21 of the Oklahoma Statutes; or
2. a corporation to influence elections or official duty by contributions of money or anything of value pursuant to Section 40 of Article IX of the Oklahoma Constitution.

(f) Exceptions for forms of compensation, gifts to state, and officers/directors of organizations. Nothing in this section shall prohibit the acceptance or require the disclosure of:

1. compensation, bonuses, dividends, interest payments, employee benefits, expense reimbursements or other forms of compensation or earnings on investments;
2. anything of value which is accepted by the Governor on behalf of the state of Oklahoma or a governmental entity pursuant to Section 381 et seq. of Title 60 of the Oklahoma Statutes. In order to be deemed accepted, the Governor must be notified in writing of any gift received by a governmental entity, or person on behalf of a governmental entity, within ten (10) days of receipt of the gift. Notice of acceptance must be received from the Governor within the next thirty (30) days. Upon lack of a response from the Governor within thirty (30) days of receipt of notice, the gift is deemed rejected and must be returned to the donor; or
3. the solicitation or acceptance of anything of value for or from either:
   (A) a charitable organization or an organization described in Section 501 (c) of Title 26 of the United States Code, 26 U.S.C., Section 501 (c), as it currently exists or as it may be amended; or
   (B) a tax-exempt professional organization established by state statute or rules passed by the Oklahoma Supreme Court,
   by a member, state officer or state employee, who is a member, officer or director of the organization, when receipt of anything of value results from the member, state officer or state employee attending a function, meeting or seminar on behalf of, or as a representative of, the organization.

(g) No state officer or state employee shall directly or indirectly borrow money from a lobbyist, or an immediate family member of a lobbyist, or an entity controlled by or employing a lobbyist. This subsection shall not apply to:

1. a loan of money made by a commercial lending institution, in the regular course of business, on the same terms ordinarily available to members of the public, and which is not secured or guaranteed by a lobbyist or lobbyist principal or any other person on behalf of a lobbyist or lobbyist principal; or
2. a loan from a father, stepfather, father-in-law, mother, stepmother, mother-in-law, sister, step sister, brother, step brother, child, step child, adopted child or their spouses.

(h) Except for the compensation an elective officer is entitled to by law for the performance of official duties, no elective officer shall solicit or accept cash, check or cash equivalent compensation for an article, appearance or speech, or for participation at an event, unless the article, appearance or participation is made as part of the normal course of business in the member’s private occupation.


(a) Prohibition on contracting with state - Exceptions.

1. State officers and state employees. No state officer or state employee, except a public member, shall sell, offer to sell or cause to be sold, rent or lease either as an individual or through any business enterprise in which he holds a substantial financial interest, goods, services, buildings or property to the governmental entity with which the officer or employee is associated or to any business entity licensed or regulated by the governmental entity which the officer or employee serves. This section shall not apply to
students who are engaged in bona fide work-study programs at institutions of higher education within the Oklahoma State System of Higher Education.

(2) Limited exceptions. Paragraph (1) of this subsection shall not apply to
(A) a state officer if real property is acquired from the state officer by condemnation proceedings; or
(B) a state employee if real property is acquired from the state employee either by condemnation proceedings or the price to be paid for such property is approved in writing by the appointing authority of the agency acquiring such property and by the Governor.

(b) Contracting with current or former legislators and statewide elective officers-Exceptions. No legislator or statewide elective officer shall sell or cause to be sold, rent or lease either as an individual or through any business enterprise in which he holds a substantial financial interest, goods, services, buildings or property to any governmental entity. No state officer or state employee, acting in his or her official capacity, shall enter into any contract in which the state officer or state employee knows that a person who is then or has been a legislator within the previous year, or a member of such person's immediate family, has a substantial financial interest. The provisions of this subsection shall not apply to a contract of employment with an immediate family member of a legislator, together with any renewal, promotion or lateral transfer of such employment contract to another governmental entity, which is:
(1) in existence on July 1, 1994;
(2) in existence prior to the legislator's term of office;
(3) in existence prior to marriage to the legislator; or
(4) with a student employed on a part-time basis, which shall be seventy-five percent (75%) of a normal forty-hour work week or thirty (30) hours per week, or less, and who is regularly enrolled, as defined in Paragraph 11 of Section 840.8 of Title 74 of the Oklahoma Statutes, in an institution of higher education comprising the Oklahoma State System of Higher Education.

No legislator or statewide elective officer shall attempt to influence or perform an official function requiring the exercise of discretion relating to a contract with any governmental entity if a member of the legislator's or statewide elective officer's immediate family has a substantial financial interest in such contract.

(c) Exceptions. Subsections (a) and (b), except as prohibited by law, shall not apply to:
(1) contracts with state employees for goods or services valued at less than five thousand dollars ($5,000);
(2) contracts with state employees entered into after public notice by the governmental entity and compliance with competitive bidding procedures; and
(3) employment contracts entered into with former legislators.

(d) Exceptions for care of DHS clients only.
(1) Care of children in need of treatment. Employees of the Department of Human Services are authorized to contract with qualified former state employees, or the spouses of state employees, or other relatives of state employees, for the purpose of providing direct care or treatment services to clients of the Department who are mentally retarded or have other developmental disabilities or are delinquent, children in need of supervision, or in need of treatment, or deprived. Provided, however, that rates of payment and other terms and conditions of contracts entered into pursuant to this section shall be established by the Commission for Human Services and shall be no more favorable than contracts for such services with persons who were not employed by the Department of Human Services nor related to an individual employed by the Department of Human Services.
(2) Limitations on return to state employment. A state employee terminating state employment to provide direct care or treatment services to clients of the Department of Human Services who are mentally retarded or have developmental disabilities, are delinquent, children in need of supervision, or in need of treatment, or deprived may not return to state employment for a period of one hundred eighty (180) days after date of termination from contracts with the Department of Human Services for direct care or treatment services to clients of the Department of Human Services who are mentally retarded or have developmental disabilities or are delinquent, children in need of
supervision, or in need of treatment, or deprived.

(3) Exceptions for contracting with employees of OU Health Sciences Center. Notwithstanding Subsection (a) of this section, employees of the Department of Human Services are authorized to employ or contract with personnel of the University of Oklahoma Health Sciences Center, directly or indirectly, to obtain professional services for the Oklahoma Medical Center or clients of other programs administered by the Department of Human Services.

(4) Exceptions for Foster care, respite care, or services to children. Notwithstanding Subsection (a) of this section, employees of the Department of Human Services are authorized to contract with qualified state employees, or the spouses of state employees, or other relatives of state employees, for the purpose of providing foster care, respite care, and attendant services to children in the custody of the Department.

**Standard 257:20-1-11. Actions Taken while Negotiating for Employment.**
A state officer or a state employee shall promptly disqualify prior to recommending or taking any official action in a matter affecting a person with whom the state officer or state employee is negotiating for employment.
TO: All Health Sciences Center Faculty and Staff, OKC and Tulsa
FROM: Joseph J. Ferretti, Senior Vice President and Provost
       Joseph L. Waner, Vice President for Research
DATE: November 11, 2004
SUBJECT: Service as Promotional Speaker for Private Industry

Over the past few months, the Office of Research Administration (ORA) has received several proposed contracts for University employees to serve as promotional speakers for private companies, most often pharmaceutical companies. Please keep in mind that University employees may serve as educational speakers - speakers who discuss products or services generally - but not as promotional speakers - speakers who promote a particular product or service.

University Regents’ policy prohibits the use of University resources, including employee time, and the University name for the promotion of goods or services. In addition, any use of the University’s name or symbols in advertising or promotional materials may be made only with special permission of the Vice President for Public Affairs. Employees who agree to or do promote goods or services in their professional capacity are in violation of University policy.

In addition, University employees are state employees and, as such, are governed by the State’s conflict of interest rules. Those rules do not permit the use of state funds to promote private industry, nor do they allow state employees to use their positions for private gain. Violations of state conflicts of interest rules can result in fines, payable by the individual, and/or jail time.

Given the University’s status as a public institution and the importance of presenting an image that is unbiased, professional, and respectful of its role as a steward of the resources entrusted to it, it is important that University employees not agree to provide any professional services that compromise, appear to compromise, or have the potential to compromise or appear to compromise their professional judgment, research results, or the like. Any action otherwise is a violation of University policy and state conflicts of interest rules.

Employees serving in educational speaking roles provide an important service to the community and their professions, and we encourage them to continue this service. University policy requires that contracts for employees to serve as educational speakers be routed through ORA for negotiation and authorized signature. Please contact ORA if you have questions regarding that process.
APPENDIX E

TIPS FOR AVOIDING CONFLICTS OF INTEREST

Employees should conduct their activities so as to avoid Conflicts of Interest and must respond appropriately when Conflicts arise. You are required to keep your Financial Interests separate from your Research and University obligations in order to protect your students, trainees, and others for whom you are responsible; preserve the integrity of your Research; and cause no harm to human research participants. In addition, relationships with Companies must not compromise academic freedom or delay or prohibit publication arising from your University activities.

These tips are meant to serve as a guide to Employees about issues that need to be considered when engaging in activities that may involve a Conflict.

HOW IS A CONFLICT OF INTEREST ASSESSED?

One way to assess the potential for a Conflict of Interest is to ask, “How would this look on the 6:00 news?” You should note that perceived bias can be just as damaging as actual bias. So, you should ask the following questions about the proposed activity:

A. Are basic academic values upheld? For example,
   1. Is an open academic environment maintained?
   2. Are there prohibitions on publications or dissemination of Research?
   3. Are University licensing practices addressed?
   4. Is the use of University resources and facilities appropriate?
   5. Are students used for private gain of the Company or their mentors, and are they free to choose and publish their Research?

B. What is the nature of the activity?
   1. Does it overlap with the University’s interests?
   2. Is the activity appropriate to the mission of the University?

C. Could personal Financial Interests have a direct and significant effect on the activity? For example,
   1. How much income or Equity is involved and what are the sources?
   2. Could the Financial Interests be a significant incentive for the individual with the Conflict?
   3. Could the Financial Interest pose a direct Conflict with the activity?
   4. Could the Financial Interest compromise the objectivity of the activity including its evaluation and presentation?

D. Could human research participants involved in the Research be harmed by the Conflict?

If you CONSULT for a Company

A. Your primary commitment is to the University and your consulting arrangement may not conflict with that obligation or conflict with any other University rules or regulations.

B. The scope of your consulting responsibilities needs to be very specific so that it does not interfere with University responsibilities or publications resulting from your academic work.

C. You must not provide the Company with early or exclusive access to results of your University Research, except in compliance with a separate sponsored research agreement with the Company and the University.
D. You must disclose this relationship with the Company in publications and public discussions of any of your Research that is sponsored by the Company or related to the Company.

If you serve on a BOARD OF DIRECTORS OR ADVISORY BOARD

A. You are normally permitted to sit on scientific or medical advisory boards, subject to prior approval; however, your primary commitment is to the University and your service must not conflict with that obligation or with any University rules or regulations.

B. You may serve on a Board, only through a formal agreement between the University and the Company.

C. The Company may not pay the University for your service on a Board with stock or stock options.

D. You must recuse yourself from Board decisions affecting University interests or resign your Board position.

E. You must not provide the Company with early or exclusive access to results of your Research, except in compliance with a separate sponsored research agreement between the Company and the University.

F. You must keep your Financial Interests arising from service on Boards separate from your Research and University obligations.

G. You must disclose this relationship in publications and public discussions of any of your Research that is sponsored by the Company or related to the Company.

If you perform a PROFESSIONAL ACTIVITY for a Company in which you have a FINANCIAL INTEREST

A. You must report the value of the interest on the Expanded Conflicts of Interests Disclosure Form.

B. The more significant the interest is financially, or the more likely the Research may benefit the Company (and thus your interest—particularly in the case of stock options), the greater the risk of the appearance of biased Research or Research results.

C. You must disclose this Financial Interest in publications and public discussions of any of your Research that is sponsored by the Company or related to the Company.

D. Financial Interests can raise the issue of such incentives compromising objectivity, particularly where human research participants are involved.

If the University has a LICENSING AGREEMENT with a Company for technology you developed

A. All discoveries and inventions, whether patentable or unpatentable, and including any and all patents (domestic and foreign) based thereon and applications for such patents, which are made or conceived by any member of the faculty, staff, or student body of the University, either in the course and/or scope of employment for the University or substantially through the use of facilities or funds provided by or through the University shall be owned by and be the property of the Board of Regents except as otherwise provided in the University Intellectual Property Policy.
B. You may have a sponsored research agreement through the University with the Company in which you have a Financial Interest upon approval of the Appropriate Vice President.

C. You may have a consulting agreement through the University with the Company in which you have a Financial Interest upon approval of the Appropriate Vice President.

D. Be aware that if you have federal funding for Research related to the licensed technology, the federal government retains rights to the results of the Research and inventions or discoveries arising from such Research.

If you give TALKS for a Company

A. You cannot be a spokesperson for a Company or its product(s)

B. You cannot promote, endorse, or market any Company or its product(s).

C. You must ensure there is an agreement between the University and Company, signed by a University official, prior to the talk or speaking engagement.

If you receive GIFTS from a Company

A. Gifts are often used as incentives to engender loyalty or support to a Company or its products and as a means of securing some commercial advantage. They may include such things as clinical trial enrollment incentives, equipment or reagents and supplies, travel, or unrestricted Research support.

B. Corporate gifts for educational activities should not create a venue for access to Research results, an opportunity for promoting a Company's product or products, or provide the Company with preferential treatment.

C. Unrestricted gifts for Research support are donations and, as such, the Company receives no intellectual property rights or access to Research results. Such gifts should not be accepted when specific Research activities are targeted for the gift money by the donor.

D. Employees should be aware of limits on accepting gifts imposed by the State Ethics Rules.
APPENDIX F

QUESTIONS AND ANSWERS

F.1. What is the purpose of the disclosure requirements under this policy?

Disclosure is required whenever there is a Conflict of Interest so that a management strategy can be developed to assure that the Conflict does not interfere with the Employee's performance of his/her University responsibilities. Disclosure can be beneficial to both the University and the Employee in order to protect them against unfounded claims of impropriety. Disclosure does not necessarily mean that the Employee may not engage in the disclosed activity.

F.2. I am a faculty member who has received a grant to support my research. A member of my household recently completed his Ph.D. and I would like to hire him as a post-doctoral fellow on my grant. Can I do this?

Because of the personal relationship and inasmuch as the person is a member of your household it would not be appropriate for you to hire, supervise or evaluate this person. See the University’s Nepotism Policy for additional guidance.

F.3. I am a faculty member with a Spin-Off Company and want to hire a graduate student I am advising to do some Research for this Company. Is this ok?

This represents a Conflict of Interest which probably may be managed if the Research does not overlap with the student’s academic program. It is important that both you and the student understand that engaging (or even a decision to not engage) in this activity can in no way influence the student's academic relationship with the advisor and the University. In order to insure this, any arrangement should be disclosed and reviewed periodically for a continuing relationship.

F.4. I am a faculty member and wish to test some samples for a Company for which I am also consulting. How can I do this?

Since you wish to have two professional relationships with the Company, this represents a Conflict of Interest which may be manageable through contracts with the University (fee-for service and consulting). You may submit a proposal for the testing services through the Office of Research Administration (ORA) and use the appropriate forms to disclose your relationship to the Company. If there are no intellectual property issues, the primary issue is deciding on a fair value for the services to be performed.

F.5. I am a faculty member and the technology that I developed was licensed to a Spin-Off Company. Can I be the Principal Investigator for an SBIR NIH grant application the Company is submitting?

This represents a Conflict of Interest that may be managed and must be disclosed prior to submission of the grant application. The PI of an SBIR grant must be employed by the “small business concern” for a minimum of 51% FTE. Therefore, the PI must obtain the approval of his/her Unit Head to commit this level of effort to the Company.

F.6. I am a faculty member working with a Company that will submit a "Small Business Innovative Research" (SBIR) project to the federal government for which the Company would subcontract the experimental work to the University. How can this be done?
If you will serve as the University’s Principal Investigator on the subcontract, the University will work with the Company on the subcontract after the SBIR is awarded to the Company. Additionally, an intellectual property agreement will likely need to be executed between the Company and the University, unless there is already one in place.

F.7. I am a faculty member with a Spin-Off Company that is submitting an STTR NIH grant application. Can I be the Principal Investigator of the grant?

This represents a Conflict of Interest that may be managed and must be disclosed prior to the submission of the grant application. The PI of an STTR grant must commit a minimum of 10% effort to the grant. Therefore, the PI must obtain the approval of his/her Unit Head to commit this level of effort to the grant.

F.8. I am a faculty member who, with my spouse and children, owns ten percent interest in a small biotechnology Company. The combined value of the stock we hold in this Company is $25,000. I am writing a research proposal which I plan to submit to the National Institutes of Health to support a project that is related to the business interests of the Company. Can I do this?

You may be able to do so. To comply with University policy and National Institutes of Health rules, you will need to disclose your involvement in the Company by disclosing your involvement in the Company in the completing the appropriate Conflicts of Interest Disclosure forms at the time you are submitting your proposal. Your involvement in the Company and project will be reviewed at that time. If you receive funding from NIH, agency rules and University policy may require further review and restrictions or conditions may be imposed to manage, reduce, or eliminate the possibility that your research project could be influenced by your business interests. The NIH rule requires that the University certify that such issues have been resolved before you start spending any funds for your research project.

F.7. I have a consulting arrangement with Pharmaceutical Company X which markets drugs related to my medical specialty. I do not have an active research program and do not receive any research funding from Company X or any of its competitors. Is this a Conflict of Interest?

It could be, especially if you prescribe Company X’s products to your patients, evaluate the results and report those results to Company X.

F.8. I am a participant in a national protocol developed by Pharmaceutical Company Q. They provide the medication in a blinded protocol and patients are selected at random for the medication. I collect the designated patient data and forward it to the Company and they reimburse me for each completed patient. This includes a physician’s fee which I receive through my PPP. Do I have a Conflict of Interest?

If you administer the medication blinded and to random patients and forward the patient data to the Company, there is no Conflict. However if the study is not blinded and you select the patients, gather the patient data and forward the information to the Company, there could be a Conflict in that a bias could be used in selecting the patients, especially if you provide any evaluation of the patient data.

F.9. I have a business arrangement (e.g., a consulting or executive position) with a biotech Company and they pay me to review research data and provide them with my evaluation. They do not support any of my research. I do have support from other sources for my research which is related to the area of the biotech. Is this a Conflict?
Yes, this is a potential Conflict of Interest as it may bias your research evaluations or otherwise affect your research results.

F.10. My spouse is a member of the Board of Directors of a Company which competes with a Company for which I do research. Is there a Conflict in this situation?

Yes, this situation represents a potential Conflict of Interest. Due to relationships within Families, there could exist the potential of a bias in the research you conduct.

F.11. I am doing research funded by a pharmaceutical Company in which I have not purchased stock. My father-in-law recently died and left in his estate to my wife stock in this Company. Am I in violation of the Conflict of Interest policy?

If the value of the stock is at least $10,000 there is a Conflict of Interest which must be reported. It is for circumstances such as these that an annual evaluation is required by NSF and NIH as Conflicts may not be present at the beginning of funded research but may surface sometime during the research period.

F.12. I am currently employed as an accountant in one of the college offices. A vendor from whom the college frequently orders supplies has asked whether I would be interested in working for him either in the evening or on weekends. I have no responsibility for placing orders. Can I do this?

You probably will be able to do this so long as it does not interfere with your University responsibilities and you do not use University Resources. Discuss this with your immediate supervisor.

F.13. I am a member of the University's professional staff. One of my hobbies is photography, and I have started a part-time business taking wedding pictures on weekends. Do I need to disclose this activity to my supervisor?

Since your outside business is neither related to nor does it interfere with your University responsibilities, it is not necessary for you to disclose this activity.

F.14. I am the manager of an office on campus. We are getting ready to replace our office copy machine. My brother-in-law owns a business machine store in town, and he has offered to sell us a new copy machine at his cost. Can I buy the machine from him?

The purchase of a new copier for your office would come under University purchasing policy and the Purchasing Department will obtain competitive bids before a purchase can be made. You would have to remove yourself from the bidding process. If your brother-in-law's store submits the low bid, they could be awarded the contract. Since you would not be involved in review and acceptance of the bids, this process would not present a Conflict of Interest for you.

F.15. I am a faculty member who receives a royalty payment for a diagnostic test. May I test a competitive technology?

This represents a Conflict which probably can be managed. You must disclose this relationship pursuant to this policy.
APPENDIX G

FORMS

The forms included here are those initially approved under this policy and may be updated from time to time. Always check the Office of Research Administration website for the most current version of the forms (http://w3.ouhsc.edu/ORA). If the most current version of the form is not submitted to the appropriate office, it will be not be accepted.
CONFLICTS OF INTEREST DISCLOSURE FORM

COI policy: [http://www.ouhsc.edu/Provost/FacultyHandbook/PDF/FacHandbookAppenE.pdf](http://www.ouhsc.edu/Provost/FacultyHandbook/PDF/FacHandbookAppenE.pdf)

For the purposes of this form the term “Covered Individual” has the following meaning: The Principal Investigator and any other person who has or shares responsibility for the design, conduct, or reporting of the research or service and the spouse/domestic partner and the children of the Principal Investigator and any other person who has such a responsibility.

PART I

Section A

Does any Covered Individual:

1. Hold an Equity Interest (e.g., stocks, options, ownership) in:
   - Sponsor/Company
   - Subcontractor

2. Receive compensation/anything of value for other than this activity from:
   - Sponsor/Company
   - Subcontractor

3. Hold a position, paid or unpaid, with:
   - Sponsor/Company
   - Subcontractor

Section B

Yes No

Do you have or plan to have during the term of this activity a relationship with the Sponsor/Company in addition to this activity?

Does this activity present an apparent, actual, or potential Conflict of Interest as described in the University’s Conflict of Interest Policy and/or the policies required by the Sponsor/Company?

Does any Covered Individual have a Financial Interest (i) that would reasonably appear to be affected by the Research or (ii) in entities whose financial interests would reasonably appear to be affected by the Research?

If you checked ANY boxes in Section A and/or checked Yes in Section B, continue to Part II.

If you did not check ANY boxes in Section A and checked No in Section B, sign and date below (do not complete Part II).

I agree to report any new Financial Interests required to be disclosed under the Conflicts of Interest Policy.

Principal Investigator/Employee Signature Date

PART II

Yes No

Does any Covered Individual have an Equity Interest in the Sponsor/Company that when aggregated:

- exceeds $10,000 in value as determined through reference to public prices or other reasonable measures of fair market value, or
- represents more than a five percent ownership interest?

Does any Covered Individual have an Equity Interest in the Sponsor/Company from which dividends of $1000 or more were derived during the preceding calendar year?

Does/Will any Covered Individual receive salary, royalties, or other payments from the Sponsor/Company that, when aggregated over the next twelve months, are reasonably expected to exceed $10,000 in addition to the funding for this activity?

Does any Covered Individual serve as a Director, Scientific Officer, or Operating Officer for or hold a Board position with the Sponsor/Company?

Does any Covered Individual receive annual income in excess of 25% of Institutional Base Salary from the Sponsor/Company for professional or consulting activities?

If you answered NO to ALL of the questions in Part II, sign and date below.

If you answered YES to ANY questions in Part II that are applicable to the Principal Investigator, his/her spouse/domestic partner or his/her children, the Principal Investigator must complete and submit the Expanded Conflicts of Interest Disclosure Form to the Office of Research Administration with his/her Routing Form.

If you answered YES on behalf of an OUHSC employee responsible for the design, conduct or reporting of the research or service, that employee must complete the Expanded Conflicts of Interest Disclosure Form to be submitted to the Office of Research Administration with your Routing Form.

I agree to update this disclosure during the period of the award on an annual basis and to report any new Financial Interests required to be disclosed under the University’s Conflicts of Interest Policy.

Principal Investigator/Employee Signature Date
EXPANDED CONFLICTS OF INTERESTS DISCLOSURE FORM

UNIVERSITY OF OKLAHOMA HEALTH SCIENCES CENTER

This form must be completed if the Principal Investigator/Employee answered YES to any questions on Part II of the Conflicts of Interest Disclosure Form or as otherwise required by the Conflicts of Interest Policy.
See: http://www.ouhsc.edu/Provost/FacultyHandbook/PDF/FacHandbookAppenE.pdf

PERSONAL IDENTIFICATION

Name________________________________ Title___________________________________
College ______________________________ Department/Section_____________________
E-mail_______________________________ Phone__________________________________

TYPE(S) OF DISCLOSURE (CHECK ALL THAT APPLY)

☐ New sponsored activity (research, training, or public service)
☐ New professional service (PPP) activity (consulting, speaking, training, etc.)
☐ Additional activity/relationship with a Sponsor/Company
☐ New Conflict of Interest relating to a previously disclosed activity
  Date of previous disclosure:___________________________________________
☐ Other:________________________________________________________________

SPONSOR/COMPANY INFORMATION (if applicable)

1. Type:☐Federal       ☐State        ☐Industry        ☐Non-Profit       ☐n/a
2. Sponsor/Company is: ☐Privately Held       ☐Publicly Traded       ☐Government☐Other
3. Name and address of Sponsor/Company:
   ______________________________________________________________________
   ______________________________________________________________________
   ______________________________________________________________________

ACTIVITY/RELATIONSHIP INFORMATION

1. Type of activity/relationship:
   ☐ Consulting       ☐ Gift        ☐ Operating Officer       ☐ Speaker
   ☐ Board Member     ☐ Grant       ☐ Research             ☐ Training
   ☐ Director         ☐ MTA         ☐ Scientific Officer     ☐Other________

2. Title of this activity/relationship, if applicable:
   ______________________________________________________________________

3. Description of this activity/relationship:
   ______________________________________________________________________
   ______________________________________________________________________
   ______________________________________________________________________
4. Are students and/or post-doctoral fellows involved in the activity/relationship? □ Yes □ No

If yes, describe the role they will play and any possible limitations on their ability to publish and/or progress in their program. The signature of the Dean of the Graduate College must be obtained if students and/or post-doctoral fellows are involved.

_____________________________________________________________________________________
_____________________________________________________________________________________
_____________________________________________________________________________________

5. Consideration to Principal Investigator/Employee (check all that apply):
□ Honorarium/Consulting Fees □ Salary □ Royalties
□ Stock (including options as payment) □ Dividends □ Goods or services
□ Gifts □ Other (specify)________________________

6. Consideration to spouse/domestic partner, and/or child (check all that apply):
□ Honorarium/Consulting Fees □ Salary □ Royalties
□ Stock (including options as payment) □ Dividends □ Goods or services
□ Gifts □ Other (specify)________________________

7. Indicate any other activity/relationship you have with this Sponsor/Company.
□ Consulting □ Gift □ Operating Officer □ Speaker
□ Board Member □ Grant □ Research □ Training
□ Director □ MTA □ Scientific Officer □ Other_______

a. Describe the other activity/relationship and/or position identified above:
_____________________________________________________________________________________
_____________________________________________________________________________________
_____________________________________________________________________________________
_____________________________________________________________________________________

b. Consideration to Principal Investigator/Employee for the other activity/relationship (check all that apply):
□ Honorarium/Consulting Fee □ Salary □ Royalties
□ Stock (including options as payment) □ Dividends □ Goods or services
□ Gifts □ Other (specify)________________________

c. Consideration to spouse/domestic partner, and/or dependent child (check all that apply):
□ Honorarium/Consulting Fee □ Salary □ Royalties
□ Stock (including options as payment) □ Dividends □ Goods or services
□ Gifts □ Other (specify)________________________

INTELLECTUAL PROPERTY

□ Yes □ No

□ Have you submitted an Invention Disclosure form to the Intellectual Property Management
Office/Office of Technology Development relating to this disclosed activity?

☐ ☐ Has the University licensed intellectual property derived from any of your work at the University to the Sponsor/Company or to any entity involved in this disclosed activity?

☐ ☐ Does/Will the Sponsor/Company or any other entity involved in this disclosed activity have any rights to intellectual property produced, discovered, or created, in whole or in part, by you?

HUMAN RESEARCH PARTICIPANTS

Yes ☐ No ☑ Are human research participants involved in this research project?

If Yes, attach the complete University of Oklahoma HRPP Conflict of Interest Disclosure Form to Institutional Review Board form, the HRPP determination letter, your protocol, and the IRB-approved informed consent form.

TO COMPLETE THIS DISCLOSURE, GO TO SIGNATURE PAGE 4. OBTAIN ALL REQUIRED SIGNATURES PRIOR TO FORWARDING COMPLETED DISCLOSURE TO THE VICE PRESIDENT FOR RESEARCH.
REQUIRED SIGNATURES

Principal Investigator/Employee
Signature:_______________________________________________ Date:____________________

Department Chair
Name:__________________________________________________________________________
Comments:___________________________________________________________________________
___________________________________________________________________________
________________________________________________________________________________
☐ Approved as disclosed
☐ Approved with attached Management Plan
☐ Activity/relationship not approved
Signature:_______________________________________________ Date:____________________

College Dean
Name:__________________________________________________________________________
Comments:___________________________________________________________________________
___________________________________________________________________________
________________________________________________________________________________
☐ Approved as disclosed
☐ Approved with attached Management Plan
☐ Activity/relationship not approved
Signature:_______________________________________________ Date:____________________

Dean of the Graduate College Signature (required if students/post docs involved):
Name:__________________________________________________________________________
Comments:___________________________________________________________________________
___________________________________________________________________________
☐ Approved as disclosed
☐ Approved with attached Management Plan
☐ Activity/relationship not approved
Signature:_______________________________________________ Date:____________________

Vice President for Research
Name:__________________________________________________________________________
Comments:___________________________________________________________________________
___________________________________________________________________________
☐ Approved as disclosed
☐ Approved with attached Management Plan
☐ Activity/relationship not approved
Signature:_______________________________________________ Date:____________________
APPENDIX H

OTHER UNIVERSITY POLICIES
WHICH MAY RELATE TO CONFLICTS OF INTERESTS

Conflicts of Interest may take various forms but exist when there is contradiction between the private interests and professional obligations of a University employee. In addition to being addressed directly in this policy, such Conflicts are addressed in other University policies which govern conduct of employees' professional activities. A listing of such policies follows. This list is not presented as comprehensive of all references to Conflicts that may occur in the University environment. A University employee should consult specific University policies as presented in the Faculty Handbook, Staff Handbook, and Administrative Policies for guidance and information regarding specific situations which may relate to Conflicts of Interest.

1. General Purchasing Policies
2. Academic Freedom and Responsibility
3. Sabbatical Leave
4. Ethics in Research
5. Intellectual Property Policy
6. Professional Practice Plan
7. Nepotism
8. Use of State Vehicles for Private Purposes
9. Off-Campus Use of University Property
10. Conducting Private Business from State-Owned Facility
### Original Didactic Courses

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### Proposed Didactic Courses

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### Proposed Clinical Courses

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### Original Clinical Courses

| Year 3 | Fall | | |
|--------|------|---|
| PA 7980 | PA Preceptorship | 4 | |
| PA 7134 | Professional Seminar II | 2 | |
| **Total** | 6 | | |

### Proposed Clinical Courses

| Year 3 | Fall | | |
|--------|------|---|
| PAT 7980 | PA Preceptorship | 4 | |
| PAT 7123 | Master Capstone Project | 3 | |
| **Total** | 7 | | |

**Total Clinical Credit Hours**  62  56
**Overall Total Credit Hours**  136  131
CUMULATIVE TOTAL PROFESSIONAL FEES FOR WORK COMPLETED BY ON-CALLS THROUGH THE SECOND QUARTER OF FISCAL YEAR 2009-2010

For the Norman Campus:

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<th>Firm Name</th>
<th>Total Fees</th>
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<tr>
<td>Frankfurt-Short-Bruza Associates, P.C.</td>
<td>$ 2,609</td>
</tr>
<tr>
<td>Garver, LLC</td>
<td>16,314</td>
</tr>
<tr>
<td>Kirkpatrick Forest Curtis PC</td>
<td>25,524</td>
</tr>
<tr>
<td>Miles Associates, Inc.</td>
<td>10,000</td>
</tr>
<tr>
<td>The McKinney Partnership Architects</td>
<td>1,113</td>
</tr>
<tr>
<td><strong>Total, Norman Campus</strong></td>
<td><strong>55,560</strong></td>
</tr>
</tbody>
</table>

For the Health Sciences Center, Oklahoma City:

<table>
<thead>
<tr>
<th>Firm Name</th>
<th>Total Fees</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hornbeek Blatt Architects, P.C.</td>
<td>33,585</td>
</tr>
<tr>
<td><strong>Total, Health Sciences Center, Oklahoma City</strong></td>
<td><strong>33,585</strong></td>
</tr>
</tbody>
</table>

For the Schusterman Center, Tulsa:

<table>
<thead>
<tr>
<th>Firm Name</th>
<th>Total Fees</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cyntergy LLC</td>
<td>6,420</td>
</tr>
<tr>
<td><strong>Total, Schusterman Center, Tulsa</strong></td>
<td><strong>6,420</strong></td>
</tr>
</tbody>
</table>

| **Total, All Campuses** | **$95,565** |
QUARTERLY REPORT OF PURCHASES – ALL  
October 1, 2009 through December 31, 2009

<table>
<thead>
<tr>
<th>Item</th>
<th>Description</th>
<th>Campus-Department</th>
<th>Vendor</th>
<th>Award Amount</th>
<th>Explanation/Justification</th>
</tr>
</thead>
</table>
| 1.   | PURCHASE OBLIGATIONS FROM $50,000 TO $250,000  
Norman Campus | 1 Service | Department of Athletics | Environmental Specialized Solutions, Inc. | 70,560 | Power wash stadium. |
<p>| 2   | Furniture | College of Engineering | Copelins Office Center Inc. | 110,436 | Furniture for Devon Energy Hall. |
| 3   | Furniture | College of Engineering | Copelins Office Center, Inc. | 52,416 | Furniture for Rawl Engineering Practice Facility. |
| 4   | Service | Bursar’s Office | Educational Computer Systems, Inc. | 110,000 | Collections management. |
| 5   | Service | University Bands | Red Carpet Charters, Inc. | 80,000 | Bus charters. |
| 6   | Service | Information Technology | Blackboard Connect | 106,652 | Emergency communications to the University community. |
| 7   | Equipment | Physical Plant | Five Star Equipment and Renting Co. Ltd. | 121,637 | Diesel boom lift. |
| 8   | Furniture | College of Architecture | Scott Rice, Inc. | 157,527 | Furniture. |
| 9   | Service | Fred Jones Jr. Museum of Art | Sooner Security of Norman, Inc. | 185,000 | Security personnel. |
| 10  | Furniture | College of Engineering | Cultural Surroundings | 53,169 | Furniture. |
| 11  | Service | Cleveland Area Rapid Transit | Syncromatics Corp. | 129,740 | GPS system. |
| 12  | Service | Physical Plant | K&amp;M Dirt Services, LLC. | 91,940 | Building demolition. |
| 13  | Service | KGOU Radio | Cameron Hutton | 60,000 | Underwriter. |</p>
<table>
<thead>
<tr>
<th>#</th>
<th>Category</th>
<th>Department/Project</th>
<th>Vendor/Manufacturer</th>
<th>Amount</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>14</td>
<td>Equipment</td>
<td>Aerospace and Mechanical Engineering</td>
<td>AGS 3D Inc.</td>
<td>52,620</td>
<td>Scanner.</td>
</tr>
<tr>
<td>15</td>
<td>Service</td>
<td>Human Resources Department</td>
<td>Magellan Behavioral Health, Inc.</td>
<td>53,400</td>
<td>Employee assistance program services.</td>
</tr>
<tr>
<td>16</td>
<td>Equipment</td>
<td>Physical Plant</td>
<td>Trane Co.</td>
<td>55,438</td>
<td>Chillers.</td>
</tr>
<tr>
<td>17</td>
<td>Service</td>
<td>Physical Plant</td>
<td>SBS Window Cleaning, Inc.</td>
<td>125,000</td>
<td>Window cleaning.</td>
</tr>
<tr>
<td>18</td>
<td>Equipment</td>
<td>Chemical Biological and Materials Engineering</td>
<td>Agilent Technologies</td>
<td>107,066</td>
<td>Microscope.</td>
</tr>
<tr>
<td>19</td>
<td>Equipment</td>
<td>Sam Noble Oklahoma Museum of Natural History</td>
<td>Pro Presenters, LLC.</td>
<td>67,461</td>
<td>Audio / visual equipment.</td>
</tr>
<tr>
<td>20</td>
<td>Equipment</td>
<td>Physical Plant</td>
<td>Anderson &amp; House, Inc.</td>
<td>201,200</td>
<td>Metal building.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Health Sciences Center Campus</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21</td>
<td>Service</td>
<td>OU Physicians Patient Accounts</td>
<td>Caremedic Systems, Inc.</td>
<td>53,565</td>
<td>Subscription.</td>
</tr>
<tr>
<td>22</td>
<td>Service</td>
<td>Information Technology</td>
<td>Presidio Networked Solutions, Inc.</td>
<td>193,054</td>
<td>Maintenance.</td>
</tr>
<tr>
<td>23</td>
<td>Lease</td>
<td>OU Physicians Edmond Multispecialty Clinic</td>
<td>MTV Real Estate LLC.</td>
<td>64,999</td>
<td>Clinic space lease.</td>
</tr>
<tr>
<td>24</td>
<td>Equipment</td>
<td>University Health Club</td>
<td>Beckett Brothers Floor Company</td>
<td>91,430</td>
<td>Flooring.</td>
</tr>
<tr>
<td>25</td>
<td>Service</td>
<td>College of Medicine</td>
<td>Alexander Wollman and Stark</td>
<td>56,507</td>
<td>Faculty chair search.</td>
</tr>
</tbody>
</table>

II. SOLE SOURCE PROCUREMENTS FROM $50,000 TO $250,000

Competition Not Applicable

Norman Campus

<table>
<thead>
<tr>
<th>#</th>
<th>Category</th>
<th>Department/Project</th>
<th>Vendor/Manufacturer</th>
<th>Amount</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>26</td>
<td>Equipment</td>
<td>Department of Chemistry and</td>
<td>TGK Scientific, Ltd.</td>
<td>63,440</td>
<td>Spectrophotometer.</td>
</tr>
<tr>
<td>27</td>
<td>Equipment</td>
<td>Physical Plant</td>
<td>City of Norman</td>
<td>57,200</td>
<td>Lighted crosswalk warning systems.</td>
</tr>
<tr>
<td>28</td>
<td>Service</td>
<td>Budget Office</td>
<td>Alexander and M. Kenzie Consultants</td>
<td>75,000</td>
<td>Advocacy services.</td>
</tr>
<tr>
<td>29</td>
<td>Service</td>
<td>College of Continuing Education Marketing &amp; Communication</td>
<td>Lamar Companies</td>
<td>118,500</td>
<td>Advertising.</td>
</tr>
<tr>
<td>30</td>
<td>Equipment</td>
<td>Mewbourne School of Petroleum and Geological Engineering</td>
<td>FEI Company</td>
<td>79,128</td>
<td>Imaging System.</td>
</tr>
<tr>
<td>31</td>
<td>Equipment</td>
<td>Mewbourne School of Petroleum and Geological Engineering</td>
<td>FEI Company</td>
<td>63,201</td>
<td>Microscope upgrade.</td>
</tr>
<tr>
<td>32</td>
<td>Software</td>
<td>Information Technology</td>
<td>Assetworks</td>
<td>60,000</td>
<td>Space management.</td>
</tr>
<tr>
<td>33</td>
<td>Equipment</td>
<td>School of Computer Science</td>
<td>Sensomotoric Instruments</td>
<td>59,900</td>
<td>Eye scanner.</td>
</tr>
<tr>
<td>34</td>
<td>Service</td>
<td>University Libraries</td>
<td>Proquest, LLC.</td>
<td>63,520</td>
<td>Online journal access.</td>
</tr>
<tr>
<td>35</td>
<td>Service</td>
<td>University Libraries</td>
<td>Opinion Archives</td>
<td>78,000</td>
<td>Digital archives access.</td>
</tr>
<tr>
<td>36</td>
<td>Equipment</td>
<td>Jimmie Austin Golf Course</td>
<td>GPS Industries, LLC.</td>
<td>60,829</td>
<td>GPS systems.</td>
</tr>
<tr>
<td>37</td>
<td>Service</td>
<td>Department of Athletics</td>
<td>Varsity Spirit Corporation</td>
<td>66,760</td>
<td>Camp fees.</td>
</tr>
<tr>
<td>38</td>
<td>Service</td>
<td>Sam Noble Oklahoma Museum of Natural History</td>
<td>Chase Studio Inc.</td>
<td>168,920</td>
<td>Exhibit fabrication.</td>
</tr>
<tr>
<td>39</td>
<td>Equipment</td>
<td>Department of Athletics</td>
<td>Daktronics Inc.</td>
<td>249,337</td>
<td>Softball scoreboard.</td>
</tr>
<tr>
<td>40</td>
<td>Equipment</td>
<td>VP’s Office – Stephenson Research and Technology Center</td>
<td>Roche Diagnostics Corporation</td>
<td>100,000</td>
<td>Microarray scanner.</td>
</tr>
<tr>
<td>No.</td>
<td>Type</td>
<td>Description</td>
<td>Supplier</td>
<td>Quantity</td>
<td>Description</td>
</tr>
<tr>
<td>-----</td>
<td>----------</td>
<td>--------------------------------------------------</td>
<td>-----------------------------------------------</td>
<td>----------</td>
<td>--------------------------------------------</td>
</tr>
<tr>
<td>41</td>
<td>Supply</td>
<td>Mewbourne School of Petroleum and Geological Engineering</td>
<td>Temco, Division of Core Lab LP</td>
<td>52,938</td>
<td>Core holder.</td>
</tr>
<tr>
<td>42</td>
<td>Equipment</td>
<td>School of Geology and Geophysics</td>
<td>Agilent Technologies</td>
<td>66,911</td>
<td>Mass spectrometer system.</td>
</tr>
<tr>
<td>43</td>
<td>Supply</td>
<td>VP’s Office – Stephenson Research and Technology Center</td>
<td>Roche Diagnostics Corporation</td>
<td>60,000</td>
<td>Micro arrays.</td>
</tr>
<tr>
<td>44</td>
<td>Equipment</td>
<td>Chemical Biological and Materials Engineering</td>
<td>Setaram, Inc.</td>
<td>133,102</td>
<td>Calorimeter system (measures heat released from materials when burned)</td>
</tr>
<tr>
<td>45</td>
<td>Service</td>
<td>University Libraries</td>
<td>Taylor and Francis, Inc.</td>
<td>135,000</td>
<td>Online subscription.</td>
</tr>
<tr>
<td>46</td>
<td>Service</td>
<td>University Libraries</td>
<td>Walter De Gruyter, Inc.</td>
<td>57,225</td>
<td>Online subscription.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Health Sciences Center</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>47</td>
<td>Equipment</td>
<td>Comparative Medicine</td>
<td>Tecniplast USA, Inc.</td>
<td>170,698</td>
<td>Enclosures and racks.</td>
</tr>
<tr>
<td>48</td>
<td>Equipment</td>
<td>Department of Geriatric Medicine</td>
<td>Millipore Corporation</td>
<td>93,450</td>
<td>Laser system.</td>
</tr>
<tr>
<td>49</td>
<td>Equipment</td>
<td>Department of Endocrinology</td>
<td>Bioptigen, Inc.</td>
<td>160,860</td>
<td>Optical coherence tomography. Fluorescence stereomicroscope</td>
</tr>
<tr>
<td>50</td>
<td>Equipment</td>
<td>Department of Cell Biology</td>
<td>Meyer Instruments, Inc.</td>
<td>51,774</td>
<td></td>
</tr>
<tr>
<td>51</td>
<td>Software</td>
<td>Information Technology</td>
<td>TouchNet Information Systems, Inc.</td>
<td>69,100</td>
<td>Software.</td>
</tr>
<tr>
<td>52</td>
<td>Service</td>
<td>Human Resources</td>
<td>Frederick Bass and Company, Inc.</td>
<td>60,000</td>
<td>Employee benefits consulting.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Tulsa Campus</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>53</td>
<td>Equipment</td>
<td>Women’s Healthcare Clinic</td>
<td>Laborie Medical Technologies Corporation</td>
<td>73,980</td>
<td>Urodynamic therapy system.</td>
</tr>
<tr>
<td></td>
<td>Supply</td>
<td>Women’s Healthcare Clinic</td>
<td>Theracom, Inc.</td>
<td>216,000</td>
<td>Gyn-Ob equipment.</td>
</tr>
<tr>
<td>---</td>
<td>--------------</td>
<td>----------------------------</td>
<td>----------------</td>
<td>---------</td>
<td>-------------------</td>
</tr>
<tr>
<td>55</td>
<td>Equipment</td>
<td>Emergency Medicine</td>
<td>B-Line Medical</td>
<td>163,484</td>
<td>Simulation center.</td>
</tr>
</tbody>
</table>
EXECUTIVE SUMMARY

Highlights from the Quarterly Financial Analysis (QFA) for the six months ended December 31, 2009 are presented below for information only. For more detailed information, see the QFA report that was provided separately to the Regents prior to the March meeting.

ALL FUNDS, COMBINED

- Total available revenues of $842.9 million exceeded expenditures of $774.7 million resulting in a net increase of $68.2 million.

NORMAN CAMPUS

- Total available revenues of $463.4 million exceeded expenditures of $407.2 million, resulting in a net increase of $56.2 million.
- Education and General revenues of $254.4 million exceeded expenditures of $213.6 million, resulting in a net increase of $40.7 million.
- Auxiliary enterprise revenues of $98.3 million exceeded expenditures of $91.0 million, resulting in a net increase of $7.3 million.
- Service unit revenues of $49.0 million exceeded expenditures of $48.4 million, resulting in a net increase of $600,000.
- Regents’ Fund revenue of $20.5 million exceeded expenditures of $4.1 million, resulting in a net increase of $16.4 million.
- All Other revenues of $17.9 million trailed expenditures of $26.1 million, resulting in a net decrease of $8.2 million.

HEALTH SCIENCES CENTER

- Total available revenues of $379.5 million exceeded expenditures of $367.5 million, resulting in a net increase of $12.0 million.
- Education and General revenues of $104.2 million exceeded expenditures of $88.9 million, resulting in a net increase of $15.3 million.
- Auxiliary enterprise revenues of $5.3 million exceeded expenditures of $4.6 million, resulting in a net increase of $700,000.
- Service unit revenues of $19.5 million exceeded expenditures of $18.1 million, resulting in a net increase of $1.4 million.
- Professional Practice Plan (PPP) revenues of $164.9 million trailed expenditures of $172.5 million, resulting in a net decrease of $7.6 million.
- All Other revenues of $19.7 million exceeded expenditures of $15.8 million, resulting in a net increase of $3.9 million.
QUARTERLY FINANCIAL ANALYSIS
for the six months ended December 31, 2009

EXECUTIVE SUMMARY

(For more detailed information, see the Quarterly Financial Analysis (QFA) report that was provided separately.)

ALL FUNDS, COMBINED

Revenues and prior year carry forward of $842.9 million (55.1% of budget) exceeded expenditures of $774.7 million (50.6% of budget) resulting in a net increase of $68.2 million. [See page 1 of the QFA.]

ALL FUNDS, BY CAMPUS

Norman Campus

Revenues and prior year carry forward of $463.4 million (57.6% of budget) exceeded expenditures of $407.2 million (50.5% of budget) resulting in a net increase of $56.2 million. [See page 2 of the QFA.]
**Health Sciences Center**

Revenues of $379.5 million (52.3% of budget) exceeded expenditures of $367.5 million (50.6% of budget) resulting in a net increase of $12.0 million. [See page 9 of the QFA.]

**EDUCATIONAL & GENERAL**

**Norman Campus**

Revenues and prior year carry forward of $254.4 million (56.4% of budget) exceeded expenditures of $213.6 million (47.4% of budget) resulting in a net increase of $40.8 million. [See page 3 of the QFA.]
Health Sciences Center

Revenues of $104.2 million (57.1% of budget) exceeded expenditures of $88.9 million (48.7% of budget) resulting in a net increase of $15.3 million. [See page 10 of the QFA.]
AUXILIARY ENTERPRISES

Revenues and expenditures for major auxiliary enterprises (year-to-date revenues of $1.0 million or more) are detailed below. [See page 5 of the QFA.]

Norman

[Graph showing revenues and expenditures for various auxiliary enterprises in millions]

Health Sciences Center

[See page 12 of the QFA.]
SERVICE UNITS

Revenues and expenditures for major service units (year-to-date revenues of $1.0 million or more) are detailed below.

Norman  [See page 6 of the QFA.]

Health Sciences Center  [See page 13 of the QFA.]
Professional Practice Plan (PPP)

PPP revenues of $164.9 million (52.2% of current budget) trailed expenditures of $172.5 million (54.7% of budget) resulting in a net decrease of $7.6 million. [See page 14 of the QFA.]

Revenues

Expenditures

RESERVES

Discretionary reserves for the Norman Campus and the Health Sciences Center totaled $12.4 million and $28.4 million, respectively, at December 31, 2009. [See page 16 of the QFA.]

Norman Campus
Health Sciences Center

Millions

- Service Units: $13.3
- Unallocated: $6.7
- Auxiliary: $4.6
- Other: $2.9
- Grants & Contracts: $0.9
EXECUTIVE SUMMARY

Highlights from the Regents’ Fund Semi-Annual Financial Report for the six months ended December 31, 2009 are presented below for information only.

ALL FUNDS

• As of December 31, 2009, the Regents’ Fund consisted of 219 individual funds with a combined net market value of approximately $119.8 million, a $16.4 million (15.9%) increase from June 30, 2009.

CONSOLIDATED INVESTMENT FUND (CIF)

• Cash and investments held by the CIF at December 31, 2009, had a market value of approximately $73.9 million, an $8.9 million (13.8%) increase from June 30, 2009. Of the $73.9 million, $11,000 was held locally for working capital purposes, and $73.9 million was managed by Adams Hall Asset Management LLC, Investment Management Fiduciary.

• During the six months ended December 31, 2009, the CIF realized a total return of 16.2%, which trailed the blended benchmark of 17.0% by 80 basis points.

• During the year ended December 31, 2009, the CIF realized a total return of 23.6%, which exceeded the blended benchmark of 23.3% by 30 basis points.

SHORT-TERM INVESTMENT FUND (STIF)

• Cash and investments held by the STIF at December 31, 2009, had a market value of approximately $43.1 million, a $5.4 million (14.3%) increase from June 30, 2009.

• During the six months ended December 31, 2009, the STIF realized a total return of 1.3%, which exceeded the 91-day Treasury Bill rate of 0.1% by 120 basis points.

• During the year ended December 31, 2009, the STIF realized a total return of 3.0%, which exceeded the 91-day Treasury Bill rate of 0.2% by 280 basis points.

INTERMEDIATE-TERM INVESTMENT FUND (ITIF)

• Cash and investments held by the ITIF at December 31, 2009, had a market value of approximately $6.1 million, a $24,000 (0.4%) decrease from June 30, 2009.

• During the six months ended December 31, 2009, the ITIF realized a total return of -0.6%, which trailed the 91-day Treasury Bill rate of 0.5% by 110 basis points.

• During the year ended December 31, 2009, the ITIF realized a total return of 0.3%, which trailed the 2-Year Treasury note rate of 0.9% by 60 basis points.
As of December 31, 2009, the Regents’ Fund consisted of 219 individual funds. The funds, under the governance of The Board of Regents of The University of Oklahoma, are preserved through investment and spending strategies that provide a balance between reasonable current income and long-term growth. Future growth is needed to offset the impact of inflation and to maintain purchasing power for future generations.

### I. Policy Information

- Highlights of the “Statement of Investment Policy” are described below.

#### Target Asset Allocation

<table>
<thead>
<tr>
<th>Asset Class</th>
<th>Minimum %</th>
<th>Target %</th>
<th>Maximum %</th>
</tr>
</thead>
<tbody>
<tr>
<td>Global Equities</td>
<td>65%</td>
<td>70%</td>
<td>75%</td>
</tr>
<tr>
<td>Fixed Income</td>
<td>20%</td>
<td>25%</td>
<td>30%</td>
</tr>
<tr>
<td>Alternative Investments</td>
<td>0%</td>
<td>5%</td>
<td>10%</td>
</tr>
<tr>
<td>Cash Equivalents</td>
<td>0%</td>
<td>5%</td>
<td>10%</td>
</tr>
</tbody>
</table>

#### Performance Measurement and Objectives

The CIF is a long-term portfolio and should be judged with a long-term perspective. While short-term performance measures are meaningful with respect to due diligence and periodic monitoring of the fund, the performance of the CIF will be judged with the longest time horizon perspective in mind.

**Absolute Return Objective** - which shall be measured in real (i.e., net of inflation) rate-of-return terms and shall have the longest time horizon for measurement;

The Absolute Return Objective of the CIF is to seek an average total semi-annual return equal to CPI and other costs plus 5%.

**Relative Return Objective** - which shall be measured as time-weighted rates of return versus market index benchmarks; and,

**Comparative Return Objective** - which shall measure performance as compared to a universe of similar investment funds.

#### Rebalancing

It is the University’s general policy to rebalance to its target asset allocation on a uniform and timely basis. The method of rebalancing will be based on the “tolerance” rebalancing formula, which generally states that the portfolio will be rebalanced if the target asset allocation goes beyond the stated tolerance for any particular asset class. Management is required to direct the investment manager to rebalance the portfolio within 30 days following the end of the month during which the fund was first determined to be out of balance.
II. Market Value

The total net market value of the Regents’ Fund at December 31, 2009 was approximately $119.8 million, a $16.4 million (15.9%) increase from June 30, 2009.

III. Consolidated Investment Fund

- Asset Allocation

Cash and investments held by the CIF at December 31, 2009, had a market value of approximately $73.9 million, an $8.9 million (13.8%) increase from June 30, 2009. Of the $73.9 million, $11,000 was held locally for working capital purposes, and $73.9 million was managed by Adams Hall Asset Management LLC, Investment Management Fiduciary. The asset allocation of the CIF’s cash and investments managed by Adams Hall Asset Management LLC, Investment Management Fiduciary, is summarized below.
• **CIF Performance**

  o As illustrated below, the total return on the CIF for the six months ended December 31, 2009 of 16.2% trailed the blended benchmark of 17.0% by 80 basis points. For the year ended December 31, 2009, the total return on the CIF of 23.6% exceeded the blended benchmark of 23.3% by 30 basis points.

  ![Total CIF diagram]

  (1) The blended benchmark is a composite of indices represented by the Russell 3000, the Salomon Bros. 91-day Treasury Bill, the Barclays Capital Intermediate Government Bond Index, and the MSCI EAFE Equity Index.

  o The Total Equity return for the six months ended December 31, 2009 of 22.6% exceeded the blended benchmark of 21.4% by 120 basis points. For the year ended December 31, 2009 the Total Equity return of 32.8% exceeded the blended benchmark of 25.0% by 780 basis points.

  ![CIF Equity Funds diagram]
The US Equity return for the six months ended December 31, 2009 of 21.4% trailed the Russell 3000 Index of 23.2% by 180 basis points. For the year ended December 31, 2009, the US Equity return of 30.4% exceeded the Russell 3000 Index of 28.3% by 210 basis points.

The International Equity return for the six months ended December 31, 2009 of 25.6% exceeded the MSCI Benchmark of 22.2% by 340 basis points. For the year ended December 31, 2009, the International Equity return of 46.1% exceeded the MSCI Benchmark of 32.5% by 1360 basis points.
The Fixed Income return for the six months ended December 31, 2009 of 2.9% exceeded the Barclays Capital Intermediate Government Bond Index of 1.2% by 170 basis points. For the year ended December 31, 2009, the Fixed Income return of 3.3% exceeded the Barclays Capital Intermediate Government Bond Index of -0.3% by 360 basis points.
• **Historical Performance (1, 3, 5, and 10 Years)**

  - In accordance with the Regents’ Fund “Statement of Investment Policy,” returns for one, three, five and ten years are presented below.

  - Adams Hall Asset Management LLC has served as the Regents’ Fund Investment Management Fiduciary since July 1, 2000. During Adams Hall tenure the CIF has returned 2.5%, which compares unfavorably to the blended benchmark of 2.8%.

  - During the ten years ended December 31, 2009, the net assets of the CIF increased by approximately $33.9 million, which represents an annualized increase of 6.4%.
• **2009 NACUBO Endowment Study Comparison**

  - A comparison of the CIF to the 2009 NACUBO Endowment Study is presented below. Returns are reported as of June 30, 2009.

### 2009 NACUBO Endowment Study Comparison

<table>
<thead>
<tr>
<th>Year Ended</th>
<th>Total CIF Return</th>
<th>Peer Group Average (1)</th>
<th>Average of All Participating Institutions</th>
<th>CPI</th>
</tr>
</thead>
<tbody>
<tr>
<td>06/30/09</td>
<td>-1.3%</td>
<td>-3.8% -2.7% -2.5%</td>
<td>-18.6% -18.7%</td>
<td></td>
</tr>
<tr>
<td>06/30/09</td>
<td>2.3%</td>
<td>1.5% 2.7% 2.7% 2.4%</td>
<td>1.6% 3.7% 4.0% 2.5%</td>
<td></td>
</tr>
<tr>
<td>06/30/09</td>
<td>2.3%</td>
<td>1.5% 2.7% 2.7% 2.4%</td>
<td>1.6% 3.7% 4.0% 2.5%</td>
<td></td>
</tr>
<tr>
<td>06/30/09</td>
<td>2.3%</td>
<td>1.5% 2.7% 2.7% 2.4%</td>
<td>1.6% 3.7% 4.0% 2.5%</td>
<td></td>
</tr>
<tr>
<td>06/30/09</td>
<td>2.3%</td>
<td>1.5% 2.7% 2.7% 2.4%</td>
<td>1.6% 3.7% 4.0% 2.5%</td>
<td></td>
</tr>
</tbody>
</table>

(1) Peer Group includes institutions with a reported market value of >$50 Million to <$100 Million.

- According to the June 30, 2009 NACUBO Endowment Study, the University’s Endowment totaled $847.9 million and was ranked 68th out of the 863 reporting entities, compared to a 2008 ranking of 63rd.

- The CIF asset allocation is more conservatively weighted than its peer institutions. For example, its peer group reported allocations to alternative strategies of 22.0%. This category includes private equity (11.0%), marketable alternative strategies (59.0%), venture capital (2.0%), private equity real estate (13.0%), energy and natural resources (10.0%), and distressed debt (5.0%).
IV. Short Term Investment Fund Performance

- The market value of the STIF at December 31, 2009 was approximately $43.1 million, a $5.4 million (14.3%) increase from June 30, 2009.

- As indicated below, the total return on the STIF for the six months ended December 31, 2009 of 1.3% exceeded the 91-day Treasury Bill rate of 0.1% by 120 basis points. For the year ended December 31, 2009, the total return on the STIF of 3.0% exceeded the 91-day Treasury Bill rate of 0.2% by 280 basis points.

- Returns for one, three, five and ten years are presented below. During the ten years ended December 31, 2009, the net assets of the STIF increased by approximately $38.5 million, which represents an annualized increase of 24.2%.
V. Intermediate Term Investment Fund Performance

As indicated below, the total return on the ITIF for the six months ended December 31, 2009 of -0.6% trailed the 2-Year Treasury Note rate of 0.5% by 110 basis points. For the year ended December 31, 2009, the total return on the ITIF of 0.3% trailed the 2-Year Treasury note rate of 0.9% by 60 basis points.

![Total ITIF Graph](image-url)
VI. Revenues and Expenditures

During the year ended December 31, 2009, recognized revenues of $20.5 million exceeded expenditures of $4.1 million resulting in a net increase to market value of approximately $16.4 million. This increase is primarily attributable to investment earnings and appreciation on investments.

### Revenues

<table>
<thead>
<tr>
<th></th>
<th>2010</th>
<th>2009</th>
</tr>
</thead>
<tbody>
<tr>
<td>Investment Income</td>
<td>$10,531,125</td>
<td>$(17,796,662)</td>
</tr>
<tr>
<td>Cash Gifts</td>
<td>9,279,871</td>
<td>12,033,163</td>
</tr>
<tr>
<td>Interest</td>
<td>583,271</td>
<td>968,456</td>
</tr>
<tr>
<td>Oil and Gas Royalties</td>
<td>63,103</td>
<td>296,548</td>
</tr>
<tr>
<td>Other Income</td>
<td>45,092</td>
<td>47,457</td>
</tr>
<tr>
<td>Total Revenues</td>
<td>$20,502,462</td>
<td>$(4,451,038)</td>
</tr>
</tbody>
</table>

### Expenditures

<table>
<thead>
<tr>
<th></th>
<th>2010</th>
<th>2009</th>
</tr>
</thead>
<tbody>
<tr>
<td>Athletic Department Support</td>
<td>1,691,420</td>
<td>1,732,356</td>
</tr>
<tr>
<td>Departmental Support</td>
<td>983,366</td>
<td>1,150,658</td>
</tr>
<tr>
<td>Academic Enhancement Allocation</td>
<td>814,329</td>
<td>796,651</td>
</tr>
<tr>
<td>Scholarships</td>
<td>458,817</td>
<td>803,228</td>
</tr>
<tr>
<td>Investment Fees</td>
<td>82,580</td>
<td>78,030</td>
</tr>
<tr>
<td>Operating Support</td>
<td>63,497</td>
<td>63,581</td>
</tr>
<tr>
<td>Other Expenditures</td>
<td>37,916</td>
<td>126,231</td>
</tr>
<tr>
<td>Total Expenditures</td>
<td>4,131,924</td>
<td>4,750,736</td>
</tr>
<tr>
<td>Net Change in Market Value</td>
<td>$16,370,538</td>
<td>$(9,201,774)</td>
</tr>
</tbody>
</table>
VII. Cash Gifts and Contributions

The following cash gifts and contributions were received during the six months ended December 31, 2009.

- Athletic Seating Priorities Program $ 8,611,266
- A gift from the Estate of Ruth L. Lee to establish the John W. Lee College of Pharmacy Scholarship Fund 196,787
- A gift from the Oklahoma Energy Resources Board (OERB) for the benefit of the OERB Scholarship Fund for the Mewbourne School of Petroleum and Geological Engineering 113,065
- A gift from the OU Medical Center for the benefit of the HSC General Support Fund 112,500
- A gift from the Stanley White Foundation Trust for the benefit of the Stanley E. White Directorship of the Entrepreneurship Center 50,000
- A gift from the PGA to for the benefit of the Play Golf America University Fund 45,000
- A gift from the Dodson Foundation, Inc. to establish the Rath Dodson Scholarship Fund 39,000
- A gift from the Oklahoma Energy Resources Board (OERB) for the benefit of the OERB Scholarship Fund for Geology and Geophysics 23,000
- A gift from the Oklahoma Energy Resources Board (OERB) for the benefit of the OERB Scholarship Fund for Energy Management 15,000
- A gift from the Estate of Nelle Fuller for the benefit of the Nelle Fuller Endowment for Sooner Heritage Scholarships 12,500
- A gift from Tulsair Beechcraft, Inc. for the benefit of the Center for the Creation of Economic Wealth 12,500
- A gift from Plains Marketing for the benefit of Career Services 10,000
- Various gifts under $10,000 39,253

TOTAL GIFTS $ 9,279,871
Non-Substantive Program Change  
Approved by Academic Programs Council, February 3, 2010  

Change in Program Requirements  

COLLEGE OF ARTS AND SCIENCES  

English, Master of Arts (RPC 069, MC 1501M/M375)  

Deletion of Non-Thesis Option area of concentration, and change in course requirements. Eliminate defined specializations (“Fields”) from Literary & Cultural Studies concentration. Total credit hours for degree does not change.  

Reason for request:  

This area of concentration was erroneously added to the English department degree inventory in 2003. The Department intended to add a non-thesis exam as an alternative to the thesis for students to complete the Master of Arts in English, Composition/Rhetoric/Literacy Studies area of concentration. The Master of Arts in English, Literary Studies area of concentration already contains a non-thesis exam alternative in addition to the thesis for completing the degree. Due to inaccuracies on the program modification request form submitted, the request was implemented incorrectly through the creation of a wholly new area of concentration, Non-Thesis option, which serves no purpose, has never enrolled any students and hence should be deleted. Elimination of “Fields” will allow greater flexibility in graduate course offerings, thereby permitting faculty to respond more quickly to new scholarly developments and students to complete their degrees more efficiently in all research/teaching areas.  

Ethics and Religion, B.A. (RPC 078, MC 1510B/B820)  

Course requirement changes to Religious Studies option. Add RELS 3663 to Other Traditions group of courses; add RELS 2143, RELS 3163 and RELS 3553 to Religion, Literature and the Arts group; add RELS 3623 and RELS 3673 to Religion and History group; add RELS 3403 to Religion and Philosophy group; and remove RELS 3403 from Electives group. Total credit hours for the degree does not change.  

Reason for request:  

The courses being added have been taught successfully in special topics numbers and are now being assigned permanent numbers to become part of the permanent curriculum. In addition, Religious Studies is adding a crosslisting with CAS 3403 for use in the RELS major. These courses will provide students additional options to complete the major and the degree in a timely fashion, and to enhance the offerings of the Religious Studies Program for the wider campus community.  

Information Studies, B.A. in Information Studies (RPC 343, MC 1601A/B560 and 1601B/B561)  

Course requirement changes. Add the statement that Information Studies Core courses must be completed within a maximum of two attempts per course; replace LIS 4003 with LIS 4603; move Economics requirements to Major Support Requirements; replace specific course requirements in Information and Enterprise, Interpersonal Communication, Organizational Communication, Leadership, and Information in Society with the requirement that students select courses from the approved lists available from the School of Library and Information Studies; add an Information Technology requirement for which students select a course from an approved list; move the Technical Writing requirement from Major Support Requirements to
Major Requirements; and add P SC 3123, PSY 2003 and SOC 3123 to Statistics group. Total credit hours for the degree does not change.

Reason for request:

These program changes address issues of focusing students on planning for graduation, creating flexible course choices instead of a fixed set, whereby the School can add new options for students as soon as they become available, and meeting the needs of the discipline by adding the Information Technology category and moving the Technical Writing category.

Native American Studies, Master of Arts (RPC 351, MC 2212M/M740)

Program requirement change. Add choice of NAS 5803 or 3 hours of LAW 5610; add choice of NAS 5973 or 2 hours of LAW 6320 and LAW 6311; add the option of substituting other LAW courses with demonstrated connection to Native American Law for the two additional courses about Native American Subject Matter. Total credit hours for the degree does not change.

Reason for request:

These changes reflect the request for dual degree in Native American Studies Master of Arts and Juris Doctor. The objective is to offer students in the College of Law who are interested in Native American issues the opportunity to combine the three-year JD program and the Master of Arts in Native American Studies. It will provide Law students with a basic knowledge of American Indian cultures as a means of strengthening their understanding of legal impacts on those communities. The thesis requirement will also contribute to the Law student’s critical examination of the academic aspects relating to applying legal thought to contemporary or historic social issues among American Indian communities. In this manner, the joint degree will contribute a more detailed social facet to the perspectives of a law school graduate who is interested in American Indian law.

Sociology, Bachelor of Arts (RPC 212, MC 2208A/B845 and 2209A/B260)

Course requirement changes. General option: move Capstone course and SOC 4363 from Substantive Area Courses to Sociology Core; add SOC 3603, 3713, 3813, 3823 and 3843 to choices in Substantive Area Courses section; change Elective Courses section to one additional sociology class which can be taken from courses listed in the Substantive Area Courses section; add SOC 4363 and Capstone to courses which must be completed with a grade of C or higher; add SOC 3603, 3813, 3823 and 3843 to group of courses from which any two must be completed before the Capstone course; add statement that no more than six hours of SOC 3900 Special Topics classes will count toward the degree; and add statement that the General Education MATH requirement must be completed before taking SOC 3123 or 3133. Criminology option: Add SOC 3953 and SOC 3963 to Criminology Substantive Area Courses section; change Elective section to one additional course NOT listed in Criminology Substantive Area Courses section; add the statement that no more than six hours of SOC 3900 Special Topics classes will count toward the degree. Total credit hours for the degree does not change.

Reason for request:

These changes will strengthen Sociology core curriculum; simplify the degree checksheets; ensure limitations regarding the number of Special Topics courses and the Math requirement are effectively communicated; and update the degree checksheets to correspond with current course offerings.
COLLEGE OF ATMOSPHERIC AND GEOGRAPHIC SCIENCE

Meteorology, B.S. in Meteorology (RPC 165 MC 1913C/B685)

Program requirement changes. Mathematics sequence requirements can also be satisfied with MATH 1914, MATH 2924 and MATH 2934.

Reason for request:

This change will allow students another option to complete the required 12-hour Calculus sequence.

PRICE COLLEGE OF BUSINESS

Accounting, Bachelor of Business Administration (RPC 003, MC 0502A/B001)

Course requirement change. Add B AD 2091 and reduce free elective hours from six hours to five hours. Total credit hours for degree does not change.

Reason for request:

The addition of this course will introduce Price College students to professional development, an essential part of their business education. It will provide a bridge between their academic achievements and their ability to have a professional career.

Accounting, BBA (Accounting)/Master of Accountancy (RPC 265, MC 0502Q/A001 on checksheet, F001 in degree inventory)

Course requirement change. Add B AD 2091 and reduce free elective hours from seven hours to six hours; change ACCT 5013 and ACCT 5313 to ACCT 5202 and ACCT 5212; replace ECON 5033 with three hours of graduate electives; reduce the number of hours that will count toward undergraduate GPAs as well as graduate GPAs from 12 hours to 5 hours. Total credit hours for degree does not change.

Reason for request:

The addition of B AD 2091 will introduce Price College students to professional development, an essential part of their business education. It will provide a bridge between their academic achievements and their ability to have a professional career. ECON 5033 will no longer be offered. An examination of the content specifications for the CPA exam suggests the broadening of the background expected of CPAs with Economics, Finance, Supply Chain Management and Management Information Systems, all especially important for entering professions. This is evidenced by the new, general Business and Economic Concepts section for the exam. The change will give students that chance to choose courses from these areas based on intended career path.

Business Administration, PhD (RPC 026, MC 0506R/D140)

Course and program requirement changes. Require four of the five rather than all five of the background business fields to be satisfied to provide all PhD students with sufficient breadth in the multiple fields of business; replace the “concentration” requirement with a “specialization” comprised of 12-15 hours approved by the Graduate Liaison and Advisor. Remaining elective
hours needed to reach the 9 hours required for the program will be set by the student’s advisory committee; designate that all required courses must be completed prior to taking the general exams. Total credit hours for degree does not change.

Reason for request:

Given the coursework that the PhD students are required to take in their specialization, those students entering the PhD without an MBA often find it difficult to schedule all the required MBA courses to meet the required background in the five business fields. Some flexibility is needed, and that sufficient breadth is achieved by background coursework in four of the five fields of business. Changing the “concentration” requirement to a “specialization” is more in line with the correct terminology as defined by the Graduate College. Requiring all required courses prior to taking the general exams will encourage students to progress to their dissertation phases of their program and in turn should improve their time to completion of the degree.

Economics, BBA (RPC 277 MC 0517A/B295)

Course requirement change. Add B AD 2091, reduce elective hours by one hour. Total credit hours for the degree does not change.

Reason for request:

The addition of this course will introduce Price College students to professional development, an essential part of their business education, and will provide a bridge between their academic achievements and their ability to have a professional career.

Finance, BBA (RPC 081 MC 0504A/B435)

Course requirement change. Add B AD 2091, reduce elective hours by one hour. Total credit hours for the degree does not change.

Reason for request:

The addition of this course will introduce Price College students to professional development, an essential part of their business education, and will provide a bridge between their academic achievements and their ability to have a professional career.

Management, BBA (RPC 168 MC 0506H/B658, 0506F/B360, 0506G/B380, 0515A/B520)

Course requirement change. Add B AD 2091, reduce elective hours by one hour. Total credit hours for the degree does not change.

Reason for request:

The addition of this course will introduce Price College students to professional development, an essential part of their business education, and will provide a bridge between their academic achievements and their ability to have a professional career.

Management Information Systems, BBA (RPC 262 MC 0506D/B660)

Course requirement change. Add B AD 2091, reduce elective hours by one hour. Total credit hours for the degree does not change.
**Reason for request:**

The addition of this course will introduce Price College students to professional development, an essential part of their business education, and will provide a bridge between their academic achievements and their ability to have a professional career.

**Marketing, BBA (RPC 152 MC 0509A/B665)**

Course requirement change. Add B AD 2091, reduce elective hours by one hour. Total credit hours for the degree does not change.

**Reason for request:**

The addition of this course will introduce Price College students to professional development, an essential part of their business education, and will provide a bridge between their academic achievements and their ability to have a professional career.

**Supply Chain Management, BBA (RPC 152 MC 0509C/B857)**

Course requirement change. Add B AD 2091, reduce elective hours by one hour. Total credit hours for the degree does not change.

**Reason for request:**

The addition of this course will introduce Price College students to professional development, an essential part of their business education, and will provide a bridge between their academic achievements and their ability to have a professional career.

**JEANNINE RAINBOLT COLLEGE OF EDUCATION**

**Early Childhood Education, B.S. in Education (RPC 046, MC 0823A/B285)**

Course requirement changes. Program area modifications and updates to General Education course requirements. Core Area I: Add COMM 2613 as alternative to COMM 1113; replace MATH 1473 with any 1000-level Math course from Core Area I. Core Area III: allow any Core Area III Anthropology course for ANTH 1113; allow any Core Area III Sociology course for SOC 1113; add PSY 1113. Core Area IV: replace ENGL 2413 with any 2000-level English course from Core Area IV Artistic Forms, with note that if Artistic Form requirement is already met, then any 2000-level course from Core Area IV; allow any Core Area IV Western Culture Philosophy course for PHIL 1013. Total credit hours for degree does not change.

**Reason for request:**

Program area modifications and updates to General Education course requirements listed on degree sheets will provide greater flexibility in General Education course selection, which will aid in student enrollment and advisement.

**Elementary Education, B.S. in Education (RPC 062, MC 0802A/B355)**

Course requirement changes. Program area modifications and updates to General Education course requirements. Core Area I: allow COMM 2613 as alternative to COMM 1113; allow any 1000-level Math course from Core Area I for MATH 1473 and 1503. Core Area III: allow any Core Area III Anthropology course for ANTH 1113; allow any Core Area III Sociology course
for SOC 1113. Cored Area IV: replace ENGL 2413 with any 2000-level English course from Core Area IV Artistic Form, with note that if Artistic Form requirement is already met, then any 2000-level course from Core Area IV. Total credit hours for degree does not change.

Reason for request:

Program area modifications and updates to General Education course requirements listed on degree sheets will provide greater flexibility in General Education course selection, which will aid in student enrollment and advisement.

Foreign Language Education, B.S. in Education (RPC 083, MC 0844A/B440)

Course requirement change. Program area modifications and updates to General Education and major area course requirements. Core Area I: allow COMM 2613 as alternative to COMM 1113; allow any 1000-level Core Area I Math course for 1473 and 1503; Core Area III: allow any Core Area III course for ANTH 1113; allow any Core Area III Sociology course for SOC 1113. Core Area IV: replace A HI 1113, ENGL 2113, MUNM 1113 with any two courses in A HI, ENGL, MUNM, etc Core Area IV Artistic Form courses with no more than one course from each prefix. Major Area: add LING 4023. Total credit hours for the degree does not change.

Reason for request:

Program area modifications and updates to General Education course requirements listed on degree sheets will provide greater flexibility in General Education course selection, which will aid in student enrollment and advisement.

Language Arts Education, B.S. in Education (RPC 143, MC 0842A/B625)

Course requirement change. Program area modifications and updates to General Education course requirements. Core Area I: allow COMM 2613 as alternative to COMM 1113; allow any 1000-level Core Area I Math course for Math 1473. Core Area III: allow any Core Area III Anthropology course for ANTH 1113; allow any Core Area III Sociology course for SOC 1113. Core Area IV: allow any Core Area IV Western Culture Philosophy course for PHIL 1013. Total credit hours for the degree does not change.

Reason for request:

Program area modifications and updates to General Education course requirements listed on degree sheets will provide greater flexibility in General Education course selection, which will aid in student enrollment and advisement.

Mathematics Education, B.S. in Education (RPC 156, MC 0833A/B673)

Course requirement change. Program area modifications and updates to General Education course requirements. Core Area I: allow COMM 2613 as alternative to COMM 1113; allow any 1000-level Core Area I Math course for Math 1473. Core Area III: allow any Core Area III Anthropology course for ANTH 1113; allow any Core Area III Sociology course for SOC 1113. Core Area IV: replace A HI 1113, ENGL 2113, MUNM 1113 with any two courses in A HI, ENGL, MUNM, etc Core Area IV Artistic Form courses with no more than one course from each prefix; allow any Core Area IV Western Culture Philosophy course for PHIL 1013. Total credit hours for the degree does not change.
Reason for request:

Program area modifications and updates to General Education course requirements listed on degree sheets will provide greater flexibility in General Education course selection, which will aid in student enrollment and advisement.

Science Education, B.S. in Education (RPC 203, MC 0834A/B835)

Course requirement change. Program area modifications and updates to General Education course requirements. Core Area I: allow COMM 2613 as alternative to COMM 1113; allow any higher-level Core Area I Math course for Math 1523. Core Area II: allow ZOO 1005 as alternative to ZOO 1114 & 1121. Core Area III: allow any Core Area III Anthropology course for ANTH 1113; allow any Core Area III Sociology course for SOC 1113. Core Area IV: replace A HI 1113, ENGL 2113, MUNM 1113 with any two courses in A HI, ENGL, MUNM, etc. Core Area IV Artistic Form courses with no more than one course from each prefix; allow any Core Area IV Western Culture Philosophy course for PHIL 1013. Major Area: Require electives come from business, computer science, fine arts, library and information studies, or areas listed above. Total credit hours for the degree does not change.

Reason for request:

Program area modifications and updates to General Education course requirements listed on degree sheets will provide greater flexibility in General Education course selection, which will aid in student enrollment and advisement.

Social Studies Education, B.S. in Education (RPC 208, MC 0840A/B837)

Course requirement change. Program area modifications and updates to General Education course requirements. Core Area I: allow COMM 2613 as alternative to COMM 1113; allow any 1000-level Core Area I Math course for Math 1473. Core Area IV: replace A HI 1113, ENGL 2113, MUNM 1113 with any two courses in A HI, ENGL, MUNM, etc. Core Area IV Artistic Form courses with no more than one course from each prefix; allow any Core Area IV Western Culture Philosophy course for PHIL 1013. Major Area: replace P SC 2503 and three hours of P SC electives with six hours in advisor-approved electives from P SC 2103, 2223, 2503, 2603, 3020, 3033, 3053, 3143, 3163, 3213, 3323, 3403, 3413, 3433, 3443, 3523, 3533, 3723, 3970, 4020. Total credit hours for the degree does not change.

Reason for request:

Program area modifications and updates to General Education course requirements listed on degree sheets will provide greater flexibility in General Education course selection, which will aid in student enrollment and advisement.

Special Education, B.S. in Education (RPC 218, MC 0808A/B855)

Course requirement changes. Program area modifications and updates to General Education course requirements. Core Area I: allow COMM 2613 as alternative to COMM 1113; allow any 1000-level Core Area I Math course for Math 1473 and 1503. Core Area III: allow GEOG 2603 as alternative to GEOG 1103. Core Area IV: replace ENGL 2413 with any 2000-level English course from Core Area IV Artistic Forms, with note that if Artistic Form requirement is already met, then any 2000-level course from Core Area IV. Total credit hours for the degree does not change.
Reason for request:

Program area modifications and updates to General Education course requirements listed on degree sheets will provide greater flexibility in General Education course selection, which will aid in student enrollment and advisement.

WEITZENHOFFER FAMILY COLLEGE OF FINE ARTS

Dance, Master of Fine Arts in Dance (RPC 043, MC 1008M/M265)

Course requirement changes. Add the requirement of DANC 5743 or DANC 5753, and reduce graduate electives from six hours to three hours. Total credit hours for degree does not change.

Reason for request:

These changes are requested to ensure the MFA candidates achieve appropriate command and understanding of dance history.

Administrative/Internal Program Change
Approved by Academic Programs Council, February 3, 2010

Addition of Accelerated Dual Degree

SCHOOL OF INTERNATIONAL AND AREA STUDIES

International Studies/Global Affairs, B.A. in International & Area Studies/M.A. in International & Area Studies

Addition of accelerated dual degree program. A total of 146 hours are required, 108 hours at the undergraduate level, 48 of which must be upper-division, and 26 hours at the graduate level. Students must have a minimum 3.0 overall GPA for admission; must maintain a minimum 3.5 GPA in major requirements; are expected to apply to the BA/MA accelerated program no later than the first semester of their junior year; and are not expected to take the GRE as a requirement for admission.

Reason for request:

This proposal is the direct result of student feedback and demand regarding the opportunity to earn both degrees in an accelerated format. It will serve a growing number of students who spend five or more years earning their degrees and would prefer to combine their undergraduate and graduate training in their final years of study.

Addition of Area of Concentration

COLLEGE OF ARTS AND SCIENCES

Anthropology, PhD (RPC 010, MC 2202R/D025)

Addition of Level V area of concentration/track in Health and Human Biology, consisting of ANTH 5223, 5363, 6713, 6633, 5753, 6643, 42 hours of electives as approved by the Graduate Liaison and advisor, and 30 hours of Dissertation. The areas of concentration/tracks in the Anthropology Doctoral program are also being standardized in three tracks: Archaeology, Socio-Cultural/Linguistic Anthropology and Health and Human Biology.
Reason for request:
The impetus for the new track is based on several factors: the success of the Masters program in biological and medical anthropology; the addition of faculty members in the last three years; a significant increase in extramural funding and research opportunities associated with these hires; the establishment of a state of the art molecular DNA laboratory in the Stephenson Research and Technology Center; departmental Native North American focus becomes uniquely comprehensive from ethnology, archaeology, genetics, language, to health and human biology; the growing need for interdisciplinarily trained researchers in national and international health related institutions and organizations.

Classics, Bachelor of Arts (RPC 234, MC 1199A/B205)

Addition of Level V area of concentration/track in Classical Studies. The concentration in Classical Studies covers all aspects of ancient Greece and Rome as well as the continuing influence of the Classical tradition. Students must achieve a combined retention grade point average of 2.5 or better. The concentration requires 45 total hours, including at least 36 hours of courses in Classical Culture, 30 hours of which must be upper-division, 6 hours of courses in Greek or Latin languages at the intermediate level or above, and 3 hours of either CL C 4503 or LTRS 4503. Up to 9 hours may be in related subjects, including Greek, Latin, or Letters courses, with prior approval of the Department of Classics and Letters.

Reason for request:
The previous deletion of the concentration in Classical Culture left a certain number of students without an option, and this proposal for a concentration in Classical Studies seeks to rectify this situation. The goal for this new concentration is to provide students with a solid liberal arts education that will allow them to pursue an interest in Classical antiquity while also preparing them for life after graduation from the University of Oklahoma.

Addition of a Minor

COLLEGE OF ARTS AND SCIENCES

Social Justice, minor

Addition of minor. The Social Justice minor involves a core of required and pre-approved elective courses, as well as the implementation of service-learning activities and internships in the U.S. or abroad. A total of 15 credit hours are required, 6 hours Core courses, 3 hours internship, and 6 hours upper-division electives.

Reason for request:
The purpose of the Social Justice minor is to offer undergraduate students the opportunity to develop an additional field of knowledge and the capabilities that blend the theory and practice of social justice. Courses offered through the social justice minor will introduce students to the complexities and critical analysis of structural inequalities and injustices. The courses will utilize multidisciplinary and feminist approaches that recognize the struggles for liberation and the importance of social movements, advocacy, and activism locally and globally.
Deletion of a Minor

COLLEGE OF ARTS AND SCIENCES

Anthropology, minors (2202C, 2202D, 2202E, 2202F, 2202G)


Reason for request:

These minors do not have checksheets, and no students have enrolled in them for at least ten years. Simplifying the Anthropology minor requirements will still allow the students to have the same course options as before. This change will also introduce some needed flexibility so that the faculty will always be able to offer a variety of courses to meet student needs.

Women’s Studies, minor (2220A)

Deletion of minors in 2220D Gender Studies, 2220B Women’s Health and 2220C Women of Color, change name of minor in Women’s Studies to minor in Women’s and Gender Studies, and modify the structure and courses for the minor.

Reason for request:

Reorganization of the minor allows students to choose an interest area. The name change makes the minor consistent with the department and degree program name. The deletion of existing minors in Gender Studies, Women’s Health and Women of Color simplifies the minor offerings as the modifications to the Women’s and Gender Studies minor will allow students to customize a minor focused on any of those areas, along with many others.

Change in Minor Requirements

COLLEGE OF ARTS AND SCIENCES

Enterprise Studies, minor (4901E)

Change in minor requirements. Replace B AD 2110, Topics in Business for Nonmajors, with B AD 2113, Introduction to Business I.

Reason for request:

The Price College of Business has created B AD 2113 specifically for nonmajors.

International Enterprise Studies, minor (4901F)

Change in minor requirements. Replace B AD 2110, Topics in Business for Nonmajors, with B AD 2113, Introduction to Business I.

Reason for request:

The Price College of Business has created B AD 2113 specifically for nonmajors.
Italian, minor (1104A)

Change in minor requirements. Add ITAL 3553 and ITAL 3663 and MLLL 3313 to the choice of courses for the minor.

Reason for request:
These additional courses will provide greater breadth and depth to the Italian minor course offerings, and will give students who wish to pursue the minor more flexibility in determining their course of study.

Religious Studies Program, minor (1510B)

Change in minor requirements. Replace HON 2973 with RELS 2403 in list of introductory course options.

Reason for request:
HON 2973 is no longer offered. The addition of RELS 2403 expands the options available to the students.

Sociology, minor (2208A)

Change in minor requirements. Remove SOC 4653 and add SOC 3543, 3603, 3683, 3753, 3813 and 3843 to the list of Sociology courses which students can choose for the minor.

Reason for request:
These changes correspond with current class offerings.

Change in College Requirements

COLLEGE OF ATMOSPHERIC AND GEOGRAPHIC SCIENCES

Atmospheric and Geographic Sciences

Elimination of College requirement. Remove ENGL 3153 as requirement for students pursuing bachelor of sciences degrees in the College of Atmospheric and Geographic Science.

Reason for request:
This course is being eliminated as a college requirement due to a disjuncture in what the College wished the course to provide the B.S. students, and what the course actually provided.

Change in Course Requirements

COLLEGE OF ARTS AND SCIENCES

Social Science and Organizational Studies, A&S Planned Program Bachelor of Arts (RPC 001, MC 4901B/B785 P608)

Course requirement changes. Add nine classes to the choices in the Organizational Studies section; add one class to the Communications section; and add two classes to the Mathematics section. Total credit hours for the degree does not change.
**Reason for request:**

These changes are requested to increase the number of organizational, communication and mathematics class options that will fulfill the major requirements.

**Academic Programs Council**  
**Approved Course Changes – February 3, 2009**

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Weitzenhoffer Family College of Fine Arts

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COURSE DELETION

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NEW COURSES

School of International and Area Studies

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College of Arts and Sciences

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TOTAL SPONSORED PROGRAMS EXPENDITURES TO DATE

SPONSORED PROGRAMS EXPENDITURES TO DATE BY AREA

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<td>$ 12,945,238</td>
<td>$ 2,142,383</td>
<td>-14.35%</td>
</tr>
<tr>
<td>CCE</td>
<td>$ 32,278,747</td>
<td>-34.93%</td>
<td>$ 49,602,469</td>
<td>$ 3,865,419</td>
<td>-18.29%</td>
</tr>
<tr>
<td>OTHER</td>
<td>$ 15,755,842</td>
<td>1.06%</td>
<td>$ 15,591,029</td>
<td>$ 2,502,148</td>
<td>36.47%</td>
</tr>
<tr>
<td>TOTAL</td>
<td>$ 150,664,321</td>
<td>-8.07%</td>
<td>$ 163,888,803</td>
<td>$ 19,394,837</td>
<td>-6.84%</td>
</tr>
</tbody>
</table>

EXPENDITURES

EXPENDITURES

EXPENDITURES
NORMAN CAMPUS

TOTAL SPONSORED PROGRAMS EXPENDITURES TO DATE

SPONSORED PROGRAMS EXPENDITURES TO DATE BY AREA

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>%CHANGE</td>
<td></td>
<td></td>
</tr>
<tr>
<td>RESEARCH</td>
<td>$ 47,938,008</td>
<td>10.98%</td>
<td>$ 5,388,232</td>
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<tr>
<td>INSTRUCTION</td>
<td>$ -</td>
<td>-</td>
<td>$ -</td>
</tr>
<tr>
<td>CCE</td>
<td>$ 32,278,747</td>
<td>-34.93%</td>
<td>$ 3,865,419</td>
</tr>
<tr>
<td>OTHER</td>
<td>$ 1,433,297</td>
<td>-28.74%</td>
<td>$ 558,181</td>
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<td>TOTAL</td>
<td>$ 81,650,052</td>
<td>-13.88%</td>
<td>$ 9,811,832</td>
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NORMAN CAMPUS
HEALTH SCIENCES CENTER

TOTAL SPONSORED PROGRAMS EXPENDITURES TO DATE

<table>
<thead>
<tr>
<th>YEAR</th>
<th>$ IN MILLIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td>2006</td>
<td>$60.18</td>
</tr>
<tr>
<td>2007</td>
<td>$58.77</td>
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<td>2008</td>
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<tr>
<td>2009</td>
<td>$69.08</td>
</tr>
<tr>
<td>2010</td>
<td>$69.01</td>
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SPONSORED PROGRAMS EXPENDITURES TO DATE BY AREA

<table>
<thead>
<tr>
<th>YEAR</th>
<th>$ IN MILLIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td>2006</td>
<td>$42,182,746</td>
</tr>
<tr>
<td>2007</td>
<td>$42,555,134</td>
</tr>
<tr>
<td>2008</td>
<td>$43,007,280</td>
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<tr>
<td>2009</td>
<td>$43,590,625</td>
</tr>
<tr>
<td>2010</td>
<td>$44,174,071</td>
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</table>

<table>
<thead>
<tr>
<th>FY 2010 YEAR</th>
<th>FY 2009 YEAR</th>
<th>2010 MONTH January</th>
<th>2009 January</th>
</tr>
</thead>
<tbody>
<tr>
<td>RESEARCH</td>
<td>$42,182,746</td>
<td>$42,555,134</td>
<td>$5,496,655</td>
</tr>
<tr>
<td>INSTRUCTION</td>
<td>$12,508,978</td>
<td>$12,945,238</td>
<td>$2,142,383</td>
</tr>
<tr>
<td>OTHER</td>
<td>$14,322,545</td>
<td>$13,579,547</td>
<td>$1,943,967</td>
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<tr>
<td>TOTAL</td>
<td>$69,014,269</td>
<td>$69,079,919</td>
<td>$9,583,005</td>
</tr>
</tbody>
</table>

HEALTH SCIENCES CENTER

EXPENDITURES
The table below shows the sponsored programs awards to date by area for Norman Campus. The data is broken down by year (FY 2009 and FY 2010) and by month (January 2010). The columns include the area (Research, Instruction, CCE, Other), the awards for each year and month, the percentage change, and the total awards for FY 2009.

### Sponsored Programs Awards to Date by Area

<table>
<thead>
<tr>
<th>Area</th>
<th>FY 2010 Year</th>
<th>% Change</th>
<th>FY 2009 Year</th>
<th>% Change</th>
<th>2010 January</th>
<th>% Change</th>
<th>2009 January</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research</td>
<td>$71,756,738</td>
<td>39.37%</td>
<td>$51,487,884</td>
<td></td>
<td>$4,008,147</td>
<td>-34.19%</td>
<td>$6,090,389</td>
</tr>
<tr>
<td>Instruction</td>
<td>$901,485</td>
<td>23.18%</td>
<td>$731,823</td>
<td></td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>CCE</td>
<td>$53,238,496</td>
<td>6.56%</td>
<td>$49,962,596</td>
<td></td>
<td>$2,868,864</td>
<td>-68.12%</td>
<td>$8,997,679</td>
</tr>
<tr>
<td>Total</td>
<td>$125,896,719</td>
<td>23.21%</td>
<td>$102,182,303</td>
<td></td>
<td>$6,877,011</td>
<td>-54.42%</td>
<td>$15,088,068</td>
</tr>
</tbody>
</table>

**Norman Campus**
<table>
<thead>
<tr>
<th>AWD #</th>
<th>AGENCY</th>
<th>TITLE</th>
<th>VALUE</th>
<th>PERIOD</th>
<th>PI(S)</th>
</tr>
</thead>
<tbody>
<tr>
<td>100179</td>
<td>USAMRMC</td>
<td>Progression of Bone Changes after Lower Limb Amputation</td>
<td>$364,123</td>
<td>36 Mons.</td>
<td>Bemben, D. - HES; Bemben, M. - HES; Sherk, V. - HES</td>
</tr>
<tr>
<td>100189</td>
<td>USDHS-NIH</td>
<td>Chemically Diverse Antimicrobials from Silent Natural Product Encoding Pathways</td>
<td>$337,000</td>
<td>12 Mons.</td>
<td>Cichewicz, R. - Chemistry &amp; Biochemistry</td>
</tr>
<tr>
<td>115202800</td>
<td>OK-DHS</td>
<td>CFSE Bridge Project FY10</td>
<td>$321,362</td>
<td>12 Mons.</td>
<td>Funston, B. - CCE CSCPM</td>
</tr>
<tr>
<td>115202600</td>
<td>OK-DHS</td>
<td>CARE FY10</td>
<td>$4,750,869</td>
<td>12 Mons.</td>
<td>Funston, B. - CCE CSCPM</td>
</tr>
<tr>
<td>115201800</td>
<td>OK-DHS</td>
<td>OSIS Policy FY10</td>
<td>$911,581</td>
<td>12 Mons.</td>
<td>Funston, B. - CCE CSCPM</td>
</tr>
<tr>
<td>100178</td>
<td>USDOD-AMRA</td>
<td>Optimization and Enhancement of Clinical Interface and Clinical Operational Characteristics of the ANAM TBI Assessment System</td>
<td>$298,218</td>
<td>13 Mons.</td>
<td>Gilliland, K. - Psychology; Schlegel, R. - Industrial Engineering</td>
</tr>
<tr>
<td>115402400</td>
<td>NP-EDC</td>
<td>SW Regional Expert Team - SWRET 2010</td>
<td>$1,191,176</td>
<td>12 Mons.</td>
<td>Goble-Clark, CCE-CSCWPC</td>
</tr>
<tr>
<td>100201</td>
<td>Battelle PNNL</td>
<td>Data Quality Manager</td>
<td>$684,000</td>
<td>12 Mons.</td>
<td>Peppier, R. - CIMMS; Monroe, J. - CIMMS; Kehoe, K. - CIMMS</td>
</tr>
<tr>
<td>115402300</td>
<td>ST-NM</td>
<td>New Mexico Prevention Training Services</td>
<td>$280,000</td>
<td>12 Mons.</td>
<td>Weise, E. - CCE CSSWPC</td>
</tr>
</tbody>
</table>

**TOTAL** $9,138,329
HEALTH SCIENCES CENTER

TOTAL SPONSORED PROGRAMS AWARDS TO DATE

<table>
<thead>
<tr>
<th>YEAR</th>
<th>$ IN MILLIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td>2006</td>
<td>$72.86</td>
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<tr>
<td>2007</td>
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<td>2008</td>
<td>$79.87</td>
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<tr>
<td>2009</td>
<td>$67.22</td>
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<tr>
<td>2010</td>
<td>$72.78</td>
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SPONSORED PROGRAMS AWARDS TO DATE BY AREA

<table>
<thead>
<tr>
<th>YEAR</th>
<th>$ IN MILLIONS</th>
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</thead>
<tbody>
<tr>
<td>2006</td>
<td>$0.00</td>
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<tr>
<td>2007</td>
<td>$20.00</td>
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<tr>
<td>2008</td>
<td>$40.00</td>
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<tr>
<td>2009</td>
<td>$60.00</td>
</tr>
<tr>
<td>2010</td>
<td>$80.00</td>
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<table>
<thead>
<tr>
<th>FY 2010 YEAR</th>
<th>%CHANGE</th>
<th>FY 2009 YEAR</th>
<th>%CHANGE</th>
<th>2010 MONTH</th>
<th>%CHANGE</th>
<th>2009 January</th>
</tr>
</thead>
<tbody>
<tr>
<td>RESEARCH</td>
<td>$49,041,295</td>
<td>16.65%</td>
<td>$42,041,912</td>
<td>$2,795,373</td>
<td>-29.56%</td>
<td>$3,968,186</td>
</tr>
<tr>
<td>INSTRUCTION</td>
<td>$7,292,731</td>
<td>-1.05%</td>
<td>$7,369,848</td>
<td>$659,670</td>
<td>154.10%</td>
<td>$259,615</td>
</tr>
<tr>
<td>OTHER</td>
<td>$16,450,564</td>
<td>-7.60%</td>
<td>$17,803,987</td>
<td>$976,961</td>
<td>3353.75%</td>
<td>$28,287</td>
</tr>
<tr>
<td>TOTAL</td>
<td>$72,784,590</td>
<td>8.29%</td>
<td>$67,215,747</td>
<td>$4,432,004</td>
<td>4.13%</td>
<td>$4,256,088</td>
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HEALTH SCIENCES CENTER

AWARDS

AWARDS

AWARDS
### HEALTH SCIENCES CENTER
### REPORT OF CONTRACTS AWARDED (OVER $100K)
### December 2009

<table>
<thead>
<tr>
<th>AWARD NO.</th>
<th>AGENCY</th>
<th>TITLE</th>
<th>VALUE</th>
<th>PERIOD</th>
<th>PI(S)</th>
</tr>
</thead>
<tbody>
<tr>
<td>C1049903</td>
<td>Administration on Children, Youth and Families</td>
<td>New Directions</td>
<td>$274 K</td>
<td>12 mos.</td>
<td>Dr. S. R. Schmidt Pediatrics Center on Child Abuse and Neglect</td>
</tr>
<tr>
<td>C1145905</td>
<td>National Eye Institute</td>
<td>Second Messengers in the Retina</td>
<td>$330 K</td>
<td>12 mos.</td>
<td>Dr. R. E. Anderson Dept of Ophthalmology</td>
</tr>
<tr>
<td>C1152907</td>
<td>National Eye Institute</td>
<td>Lipid Metabolism in the Retina</td>
<td>$485 K</td>
<td>12 mos.</td>
<td>Dr. R. E. Anderson Dept of Ophthalmology</td>
</tr>
<tr>
<td>C3032906</td>
<td>National Institute of Allergy and Infectious Diseases</td>
<td>Molecular/Immune Analysis:Pathology Anthrax</td>
<td>$314 K</td>
<td>12 mos.</td>
<td>Dr. J. P. Metcalf Medicine Pulmonary</td>
</tr>
<tr>
<td>C3038005</td>
<td>Bureau of Health Professions</td>
<td>Academic Administrative Units in Primary Care</td>
<td>$259 K</td>
<td>12 mos.</td>
<td>Dr. C. E. Henley CMT Dept of Family and Preventive Medicine</td>
</tr>
<tr>
<td>C3061901</td>
<td>National Institute of Allergy and Infectious Diseases</td>
<td>Edema Toxin Suppression of Immune Responses</td>
<td>$283 K</td>
<td>12 mos.</td>
<td>Dr. J. D. Ballard Dept of Microbiology and Immunology</td>
</tr>
<tr>
<td>C3063001</td>
<td>National Eye Institute</td>
<td>Caveolin-1: Maintenance of Blood-Retinal Barrier</td>
<td>$373 K</td>
<td>12 mos.</td>
<td>Dr. M. H. Elliott Dept of Ophthalmology</td>
</tr>
<tr>
<td>C8054201</td>
<td>Amgen Inc.</td>
<td>Panitumumab:Metastatic/Recurrent Head/Neck Cancer</td>
<td>$365 K</td>
<td>40 mos.</td>
<td>Dr. B. Ghabach Medicine Hematology/Oncology</td>
</tr>
<tr>
<td>C8251501</td>
<td>Abbott Laboratories</td>
<td>A10-Year Registry of Humira:Adult Pts w/Chronic Ps</td>
<td>$270 K</td>
<td>12 mos.</td>
<td>Dr. R. L. Cornelison Dept of Dermatology</td>
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</table>

**Totals 9** $2,953 K
HEALTH SCIENCES CENTER
REPORT OF CONTRACTS AWARDED (OVER $100K)

January 2010

<table>
<thead>
<tr>
<th>AWARD NO.</th>
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<th>TITLE</th>
<th>VALUE</th>
<th>PERIOD</th>
<th>PI(S)</th>
</tr>
</thead>
<tbody>
<tr>
<td>C1063201</td>
<td>National Eye Institute</td>
<td>Immunopathogenesis of Adenovirus Keratitis</td>
<td>$271 K</td>
<td>24 mos.</td>
<td>Dr. D. W. Dyer Dept of Microbiology and Immunology</td>
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<tr>
<td>C3027302</td>
<td>National Institute of Neurological Disorders and Stroke</td>
<td>Properties &amp; Determinants GAA Repeat Instability</td>
<td>$279 K</td>
<td>12 mos.</td>
<td>Dr. S. Bidichandani Dept of Biochemistry and Molecular Biology</td>
</tr>
<tr>
<td>C3050403</td>
<td>National Center for Injury Prevention and Control</td>
<td>Diffusion of Evidence-Based Child Maltreatment</td>
<td>$450 K</td>
<td>12 mos.</td>
<td>Dr. M. J. Chaffin Pediatrics Developmental-Behavioral Pediatrics</td>
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<tr>
<td>C3053301</td>
<td>National Eye Institute</td>
<td>Compacted DNA Nanoparticles for Ocular Therapy</td>
<td>$330 K</td>
<td>12 mos.</td>
<td>Dr. M. I. Naash Dept of Cell Biology</td>
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<tr>
<td>C3056901</td>
<td>United States Department of Education</td>
<td>Technology/Media Svcs:Individuls w/Disabilities</td>
<td>$300 K</td>
<td>24 mos.</td>
<td>Ms. M. A. Jones Dept of Rehabilitation Sciences</td>
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<tr>
<td>C5009910</td>
<td>Presbyterian Health Foundation</td>
<td>MD/PhD Fellowship Program</td>
<td>$250 K</td>
<td>12 mos.</td>
<td>Dr. J. J. Tomasek Graduate College Office of the Dean</td>
</tr>
<tr>
<td>Totals</td>
<td>7</td>
<td></td>
<td>$2,398 K</td>
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</tr>
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</table>
I. Statement of General Policy

The University of Oklahoma is responsible for handling public funds in a manner that ensures that all University activities reflect its mission of providing the best possible educational experience through excellence in teaching, research, creative activity and service to the state and society. As the University's collaboration and interaction with the private sector increases, so does the potential for institutional conflicts of interest. These conflicts involve situations in which the integrity of research, the University's educational mission, and/or the University's reputation may be compromised, or may appear to be compromised, by the University's financial interests.

Institutional conflicts of interest can occur whenever the external financial interests or business relationships of the University or of one of its officials are such that their actions could affect, or could reasonably appear to affect, the conduct, review or oversight of the University's research.

It is the policy of the University of Oklahoma that all institutional conflicts of interest, whether real or perceived, must be fully disclosed. The reported conflict must be properly identified and either managed or eliminated prior to initiating any contract, sponsored project, dedicated gift or transaction that might appear to be influenced by the conflict. This policy can be implemented by using a three-step approach:

1. Disclose always
2. Assess the potential for institutional conflicts of interest
3. Manage the conflict in most cases, and prohibit the activity when necessary to preserve the University's mission or protect the public's interests

This policy has been developed to protect the integrity of the research and development process at the University of Oklahoma. It is not intended to supersede or modify other Conflict of Interest policies, including those found in the Norman Campus Faculty Handbook (Sections 3.6, 3.83, 4.20.2, and 5.10) and those found in OU Health Sciences Center's Faculty Handbook Appendix E.

II. Disclosing and Identifying Institutional Conflicts of Interest

What to disclose

All institutional conflicts of interest, whether perceived, potential, or actual must be disclosed. Institutional conflicts of interest may occur when the institution, any of its senior management or regents, or a college, department, school, or other sub-unit, has an external relationship with, or financial interest in, a company that itself has an interest in a University research project.

Information to disclose

- Financial interests of all Board of Regents members and executive officers
- Single corporate or private donations exceeding $1 million or cumulative gifts of $5 million
• Changes in University equity or royalty holdings
• New University-held licenses

Who must disclose

• Individual disclosures of financial interests should be filed by:
  o Executive officers
  o Members of the Board of Regents
• Other disclosures are event-based, and include:
  o New licenses and royalty or equity holdings, which should be disclosed by the Office of Technology Development
  o Business contracts for goods or services in which the University generates revenue in excess of $1 million, which should be disclosed by the college or department generating such revenue
  o All single donations to the University exceeding $1 million or cumulative donations exceeding $5 million in value, which should be disclosed by the Office of University Development

How to disclose

Annual disclosures of Significant Financial Interests (as defined in the University's Individual Conflict of Interest policies) must be filed by executive officers, vice presidents and members of the Board of Regents. Disclosure forms required of those individuals by the state ethics commission should also be submitted to the respective campus Provost by June 18th of each year. The Provost for each campus is responsible for collecting and maintaining records of such disclosures.

Event-based disclosures such as corporate donations exceeding $1 million in value, contracts by which the University generates in excess of $1 million in revenue and new licenses or equity holdings should be reported by the appropriate Dean or Vice President in sufficient detail to the appropriate Provost. Such disclosures should be made within a reasonable time after their occurrence, but in no event later than sixty (60) days following the acceptance of funds or change in equity or royalty status. The Provost for each campus is responsible for maintaining records of such disclosures.

Identifying Institutional Conflicts of Interest

Institutional conflicts of interest may be identified by cross referencing potential research engagements against the conflict of interest information disclosed. The Vice Presidents for Research on each University campus are responsible for cross referencing University research opportunities against the institutional conflict of interest information maintained by their respective Provost. If a potential institutional conflict of interest is identified, the Vice President(s) for Research shall notify the appropriate Provost, who shall evaluate and act upon the potential conflict in accordance with this policy.

All other employees shall promptly disclose any perceived, potential, or actual institutional conflict of interest of which he or she becomes aware to his/her unit head(s) (chair, center director and/or dean) or supervisor in writing. The unit head(s) will notify the Provost, who will evaluate and act upon the potential conflict in accordance with this policy.

III. Managing Institutional Conflicts of Interest

While there should be a presumption against allowing research to proceed when an institutional conflict of interest exists, such a presumption can be overcome if a management plan is developed that can appropriately address the conflict. The following steps are recommended for developing a management plan, and are described in greater detail below:
• Factual inquiry performed by Provost
• Factual report and recommendation to either eliminate or manage the conflict made by Provost to an ad hoc committee
• Ad hoc committee review of Provost's report and recommendation
• Ad hoc committee's adoption of a management plan that allows for complete elimination of the conflict, or acceptable management of it
• Implementation of the management plan

Upon receipt of a notice of a potential institutional conflict of interest, the Provost shall oversee an initial inquiry as to the facts of the situation. The inquiry shall include information regarding related individual conflicts of interest, if any. A report containing these facts as well as a recommendation to either eliminate or manage the institutional conflict of interest shall then be made to an ad hoc committee.

The ad hoc committee should be comprised of two executive officers of the University of Oklahoma appointed to serve by the President, a representative from the Office of Legal Counsel, from each campus office of the University Senior Vice President and Provost, and two members from outside organizations appointed to serve by the Chair of the Board of Regents. The ad hoc committee shall be called to meet by either the Norman Campus or Health Sciences Center Provost as needed.

Upon receipt of the factual report and recommendation from a Provost, the committee shall consider the recommended plan to either eliminate or manage the institutional conflict of interest with the following considerations in mind:

• The welfare of any human participants involved in the research
• The integrity of any research process involved
• The effect of the institutional conflict of interest on the University's reputation
• The effect of the institutional conflict of interest on the individual researcher's reputation

Additional considerations may be provided for the ad hoc committee's perusal, such as those articulated in Appendix B for management plans involving intellectual property. The ad hoc committee shall review all considerations when developing the management plan.

The committee's adopted plan should provide sufficient detail to allow for complete elimination of the conflict, or acceptable management of it. Such a management plan may include, but is not limited to, potential actions set forth in the University's Individual Conflicts of Interest Policies.

Plans for elimination of the conflict should be provided to the Provost for implementation. If the Provost disagrees with the ad hoc committee's recommendations, the Provost may, after consultation with the President, appeal the committee decision to a subcommittee of the Board of Regents for the University, which shall be specifically formed for this purpose.

The subcommittee of the Board of Regents may review the written materials associated with the potential institutional conflict of interest and make any investigation that it deems necessary. After considering all the facts and circumstances presented, the subcommittee may take any action that it believes necessary to preserve the integrity of the University, including an outright prohibition of the proposed research.

Plans for management of the conflict should be implemented in the following manner:
After development of a final, acceptable management plan and commencement of the activity,
the appropriate individuals with oversight responsibility will regularly report, in writing, to the appropriate Provost on the effectiveness of the plan. The frequency of such reports shall be determined by the ad hoc committee, but generally shall be made at least annually.

If, during the conduct of the activity, the appropriate Provost determines that the conflict has not been properly managed or has become unmanageable, he/she will then determine if the activity should cease, require further modification, or require employee divestment of the conflict. If outside agencies require notification of conflict resolution and management, they will be notified in accordance with their requirements.

IV. Additional considerations

Representing the University as a whole

Any individual representing the University as a whole in any activity shall make reasonable efforts to avoid institutional conflicts of interest. This includes identifying, acknowledging, and mitigating any institutional conflicts of interest that may arise when representing the University's interests.

Institutional conflict of interest database

The respective Office of the Provost should compile all event-based disclosures into a searchable database. This database should be made available to the respective campus office(s) of research administration, the College of Continuing Education and other offices at the discretion of the respective Senior Vice President and Provost. The database should be referenced and used when considering any new sponsored research agreement.

V. Sanctions

Disclosure of institutional conflicts of interest-apparent, potential, and actual- is required for all persons who engage in activities that may involve such conflicts. Among other acts or omissions, failure to fully disclose conflicting relationships and/or interests or to follow a prescribed management plan may be a serious breach of this policy and may be itself considered research or ethical misconduct.

Persons who violate this policy shall be subject to applicable University policies relating to research or professional misconduct by faculty and staff. With due regard to inadvertent violations, University sanctions may include, without restriction: reprimand, restitution, loss of pay, suspension or dismissal.

Furthermore, persons who violate this policy also may be subject to civil and criminal penalties for violations of state or federal law, e.g., civil penalties for willful violations of state laws may reach $50,000.00 or more per violation. Allegations against an employee for breach of this policy should be reported in a confidential writing to the appropriate Provost and, if against a staff employee, the appropriate executive officer.

VI. Appendix A

For all management plans involving intellectual property developed at the University, the following considerations, if applicable to the situation, should be addressed by the ad hoc committee:

- Any research agreement with a company may not contain any restrictions on publication, including student theses or dissertations, other than those sanctioned by the Faculty Handbook;
• All individuals working on a research project should be provided prior written notice that the research is being sponsored by a company in which a faculty member has an ownership interest, fiduciary relationship, and/or serves as a scientific officer or is on the board;
• Faculty members should provide the Provost and any other appropriate committee or University office a written description of the proposed research and a written assurance of his /her compliance with the restrictions set forth above;
• Faculty members should provide the Provost and any other appropriate committee or University office written reports on the progress of the research, listing related peer-reviewed publications and grants at least annually;
• Faculty members shall follow all management measures required under the management plan and as deemed appropriate by the Provost.
## THE UNIVERSITY OF OKLAHOMA
### STUDENT ACTIVITY FEE REVENUE PROJECTION

<table>
<thead>
<tr>
<th></th>
<th>FY05</th>
<th>FY06</th>
<th>FY07</th>
<th>FY08</th>
<th>FY09</th>
<th>BUDGETED</th>
<th>PROJECTED</th>
<th>FY11</th>
<th>PROJECTED</th>
</tr>
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<tbody>
<tr>
<td><strong>Student Credit Hours (1):</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Summer</td>
<td>31,540</td>
<td>32,380</td>
<td>30,401</td>
<td>28,348</td>
<td>26,427</td>
<td>26,163</td>
<td>26,346</td>
<td>26,083</td>
<td>(7)</td>
</tr>
<tr>
<td>Fall</td>
<td>300,306</td>
<td>294,627</td>
<td>283,969</td>
<td>285,105</td>
<td>283,787</td>
<td>280,073</td>
<td>284,670</td>
<td>281,823</td>
<td>(7)</td>
</tr>
<tr>
<td>Spring</td>
<td>279,365</td>
<td>270,676</td>
<td>259,458</td>
<td>260,275</td>
<td>261,387</td>
<td>256,306</td>
<td>260,724</td>
<td>258,117</td>
<td>(7)</td>
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<tr>
<td>Total</td>
<td>611,211</td>
<td>597,683</td>
<td>573,828</td>
<td>573,728</td>
<td>571,601</td>
<td>562,542</td>
<td>571,740</td>
<td>566,023</td>
<td>(7)</td>
</tr>
<tr>
<td><strong>Rate Per Student Credit Hour</strong></td>
<td>$5.15</td>
<td>$5.95</td>
<td>$5.95</td>
<td>$5.95</td>
<td>$5.95</td>
<td>$5.95</td>
<td>$5.95</td>
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</tr>
<tr>
<td><strong>Total Activity Fee Charged</strong></td>
<td>$3,147,737</td>
<td>$3,530,310</td>
<td>$3,414,277</td>
<td>$3,413,682</td>
<td>$3,401,026</td>
<td>$3,347,125</td>
<td>$3,401,853</td>
<td>$3,367,837</td>
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<tr>
<td>LESS: Fee Waivers</td>
<td>(34,479)</td>
<td>(41,541)</td>
<td>(50,109)</td>
<td>(50,027)</td>
<td>(50,563)</td>
<td>(51,107)</td>
<td>(43,533)</td>
<td>(4)</td>
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<tr>
<td>Other Misc Adjustments</td>
<td>(52,439)</td>
<td>(77,482)</td>
<td>(110,624)</td>
<td>(98,174)</td>
<td>(34,453)</td>
<td>(85,973)</td>
<td>(75,086)</td>
<td>(5)</td>
<td></td>
</tr>
<tr>
<td>Net Charges to Accts. Receivable</td>
<td>3,060,819</td>
<td>3,411,287</td>
<td>3,253,544</td>
<td>3,265,481</td>
<td>3,316,010</td>
<td>3,210,045</td>
<td>3,283,234</td>
<td>3,249,218</td>
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</tr>
<tr>
<td><strong>PLUS: Accts Rec outstanding at start of fiscal year net of allowance for bad debts</strong></td>
<td>157,257</td>
<td>168,613</td>
<td>198,309</td>
<td>191,636</td>
<td>198,650</td>
<td>198,650</td>
<td>285,085</td>
<td>285,085</td>
<td></td>
</tr>
<tr>
<td>LESS: Accts Rec outstanding at end of fiscal year net of allowance for bad debts</td>
<td>(168,613)</td>
<td>(198,309)</td>
<td>(191,636)</td>
<td>(198,650)</td>
<td>(285,085)</td>
<td>(210,397)</td>
<td>(324,935)</td>
<td>(6)</td>
<td></td>
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<tr>
<td>Net Student Activity Fee Revenue Available for Expenditure</td>
<td>$3,049,463</td>
<td>$3,381,591</td>
<td>$3,260,217</td>
<td>$3,258,467</td>
<td>$3,229,575</td>
<td>$3,198,298</td>
<td>$3,243,384</td>
<td>$3,209,368</td>
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<tr>
<td><strong>Actual to Budget Variance</strong></td>
<td>$2,799</td>
<td>$317,070</td>
<td>$(189,456)</td>
<td>$(33,834)</td>
<td>$44,503</td>
<td>$45,086</td>
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<tr>
<td>Net Charges as a % of Total Charges</td>
<td>97.2%</td>
<td>96.6%</td>
<td>95.3%</td>
<td>95.7%</td>
<td>97.5%</td>
<td>95.9%</td>
<td>96.5%</td>
<td>96.5%</td>
<td></td>
</tr>
<tr>
<td>Net Revenues as a % of Total Charges</td>
<td>96.9%</td>
<td>95.8%</td>
<td>95.5%</td>
<td>95.5%</td>
<td>95.0%</td>
<td>95.6%</td>
<td>95.3%</td>
<td>95.3%</td>
<td></td>
</tr>
</tbody>
</table>

(1) Student credit hours (SCH) include Main Campus, CCE and Law Center.
(2) Actual as reported by Institutional Research and Reporting.
(3) Assumes SCH will be the same as the historical relationship of Fall to Spring SCH. Third week SCH per Institutional Research not yet available.
(4) Assumes fee waivers will be the same as the historical relationship of December to June waiver expense.
(5) Assumes misc. adjustments will be the same as the historical relationship of adjustments to total charges.
(6) Assumes end of year A/R outstanding will be the same as the historical relationship of year-end A/R balance to beginning A/R balance.
(7) Assumes FY10 SCH are 99% of FY10 projected SCH.

02/05/10
DISTINGUISHED PROFESSORSHIPS

George Lynn Cross

Gary Anderson, Professor, Department of History, College of Arts and Science

Professor Gary Anderson is an extraordinarily productive scholar who is one of the leading interpreters of the history of Native Americans. He first gained the attention of scholars with the appearance in 1984 of his book, Kinsmen of Another Kind: Dakota-white Relations in the Upper Mississippi Valley, 1650-1862. Informed by the work of anthropologists, this book stressed the role of tribal “kinship networks” and how such networks shaped early “exchange” between Native Americans and Europeans. Anderson followed Kinsmen with two more books in the 1980s. Little Crow: Spokesman for the Sioux (1986) and Through Dakota Eyes: Narrative Accounts of the Minnesota Indian War of 1862 (1987) both became academic best-sellers with sales exceeding 10,000 copies for each. These books built on the insights of Kinsman and emphasized the importance of kin networks to tribal societies. In the late 1980s, Bruce Borland, then History Editor for Harper/Collins Publishers, asked Anderson to do a biography of Sitting Bull, the most important Indian in American history. With this book, Anderson revealed his talent to write for a more popular audience. The book, Sitting Bull and the Paradox of Lakota Nationhood, appeared in 1996 as part of the Library of American Biography Series. It became an immediate best seller for Harper/Collins, and has gone through three editions.

By the late 1990s, Professor Anderson turned his attention to the Southwest, attention that resulted in the publication of two prize winning books, The Indian Southwest, 1580-1830: Ethnogenesis and Reinvention and The Conquest of Texas: Ethnic Cleansing in the Promised Lane, 1820-1875. Both are archivally rich, detailed studies that have changed the way we look at the history of this region. The Indian Southwest (1999) argued that in the face of European conquest, drought, and especially disease, Indians from Louisiana to northern Chihuahua remained independent actors who stopped Spanish expansion. In 2005 Anderson published a companion volume titled The Conquest of Texas: Ethnic Cleansing in the Promised Land, 1820-1875, the culmination of his research on the Southwest. This massive study (over 300,000 words) aggressively revises the history of Texas and its relations with Karankawa, Caddo, Wichita, Apache, Cherokee, and Comanche Indians, especially the mythology involving the role of the Texas Rangers in that history. Here, Anderson adopts the modern term, “Ethnic Cleansing,” to explain what happened in the region. Stanford professor Richard White, perhaps the leading scholar in the field of Western History, wrote of this book: “Because of its archival research and the sweep of concerns, this book has no parallel in the literature....[T]his really amounts to an elucidation of a largely ignored history.” Despite the book’s biting criticism of the forefathers of Texas—Austin, Houston, and Lamar--and its indictment of the Texas Rangers, the book has won several prizes and was a finalist for a Pulitzer Prize. This latter distinction certainly builds the case that he is one of the nation’s leading scholars of Native Americans and the American West. Having just finished a short biography of Will Rogers, he is now turning his considerable talents to a massive study of the Plains Wars, a work that will only enhance his already stellar reputation.

In the same vein, Anderson has recently finished a new biography that will appear in spring 2010 under the title Will Rogers and “His” America. The Rogers biography breaks new ground and focuses on the humorist’s contribution to the political history of the nation between 1920 and 1935. Anderson argues that Rogers was the ultimate salesman for the New Deal, preparing the American people for the change as early as 1926. Rogers’ attack on Republican conservative government led to his coining of the phrase “trickle-down” economics, used for the first time in his column in September 1931. He called for higher taxes on the rich, government action to solve problems with droughts, hurricanes, and other human suffering, and the end to gun-boat diplomacy.
William Megginson, Professor, Division of Finance, Michael F. Price College of Business

Bill Megginson is Professor and Rainbolt Chair in Finance at University of Oklahoma’s Michael F. Price College of Business. He earned a B.S. degree in chemistry from Mississippi College in 1976, and after working five years as a petroleum/environmental chemist, earned an MBA from Louisiana State University in 1982, and a PhD in Finance from Florida State University in 1986. Prior to joining OU, he was Assistant and Associate Professor in University of Georgia’s Terry College of Business. He has been a Visiting Professor at Duke University, Vanderbilt University, University of Zurich, University of Amsterdam, Bocconi University, and, was the Fulbright Toqueville Distinguished Chair in American Studies and Visiting Professor at the Université-Paris Dauphine. He has also served as Executive Director of the Privatization Barometer, as a voting member of the Italian Ministry of Economics and Finance’s Global Advisory Committee on Privatization from 2002 to 2007, and as a privatization consultant for the New York Stock Exchange, the OECD, the IMF, the World Federation of Exchanges, and the World Bank.

Professor Megginson's research interest has focused in recent years on the privatization of state-owned enterprises, especially privatizations executed through public share offerings. He has published refereed articles in several top academic journals, including the Journal of Economic Literature, the Journal of Finance, the Journal of Financial Economics, the Journal of Financial and Quantitative Analysis, and Foreign Policy. His co-authored study documenting significant performance improvements in recently privatized companies received one of two Smith Breeden Distinguished Paper Awards for outstanding research published in the Journal of Finance. He is author or co-author of nine textbooks. His articles have been downloaded over 30,000 times from the Social Sciences Research Network, and his books and articles have been cited over 6,000 times (according to Google Scholar). His co-authored privatization survey article, published in the Journal of Economic Literature is the eighth most widely cited finance article published since 2000, and the most widely cited article published in 2001.

Judith A. James, Professor, Department of Medicine, College of Medicine

Judith A. James, M.D., Ph.D., Professor of Medicine, joined the faculty at the Health Sciences Center in 1999. Since 2008, Dr. James she has served as department chair of clinical immunology at the Oklahoma Medical Research Foundation. Highly regarded nationally and internationally, Dr. James’ research has focused predominantly on the pathogenesis of systemic lupus erythematosus. Her scientific contributions have significantly advanced the understanding of the pathogenesis of the disease. Moreover, her work on the role that the Epstein Barr virus plays in lupus ranks among most important research in the field. Dr. James has maintained continuous funding for her research laboratory with multiple grants from the NIH and manages a yearly research and training budget of over $3.6 million. She also leads an Autoimmunity Center of Excellence, a P30 Rheumatic Disease Research Core, and is PI on a COBRE grant to build immunology in an academic setting in Oklahoma. As a teacher, Dr. James has made significant contributions to continuing medical education and community informational lectures. As a mentor, she has had a steady stream of undergraduates, medical students, graduate students, and postdoctoral fellows who study and rotate through her lab. Additionally, she has helped mentor other young scientists as a member of their supervisory committees. Dr. James’ publication list is long and impressive with many seminal reports in the highest scientific journals. Her service contributions are impressive having served in numerous national leadership roles. She has been a chartered NIH study section member and a member of an Arthritis Foundation study section and has held important leadership positions in the American College of Rheumatology and the Lupus Foundation of America.
David Ross Boyd

Douglas D. Gaffin, Professor, Department of Zoology, College of Arts and Science

Douglas D. Gaffin earned a B.S. at the University of California, Berkeley, and a Ph.D. at Oregon State University before joining the faculty of the Department of Zoology in 1995. Since then, he has earned high praise for his teaching ability and has influenced the educational experiences of over 10,000 students in his large Introductory Zoology course. He is recognized nationally as an innovative teacher and student advocate. He is the author of many research articles in the field of neurosensory biology as well as in educational innovation. He is a co-author of Life, a widely-praised general biology textbook. Awards include the Irene Rothbaum Award for the most outstanding Assistant Professor in the College of Arts and Sciences, the Outstanding Faculty Member Award from the OU Panhellenic Association, the Most Inspiring Professor Award at the Scholar Athlete Banquet, a Presidential Professorship, the OU Regents’ Award for Superior Teaching, and the Outstanding First-Year-Advocate Award from the National Resource Center for the First-Year Experience and Students in Transition, University of South Carolina. He was promoted to Professor in 2009 and since 2003 has served as Dean of University College.

Barbara R. Neas, Professor, Department of Biostatistics and Epidemiology, College of Public Health

Barbara R. Neas, Ph.D., Professor of Biostatistics and Epidemiology, joined the faculty at the Health Sciences Center in 1988. She has been essential to the teaching mission of her department and college, serving on curriculum committees, and actively developing new courses or modifying existing courses. She was a member of the committee that developed the Bachelors and Masters of Sciences joint degree program with the Department of Mathematics in Norman. Her activities also include the development and implementation of the statistical consulting lab, BDMC/SEPRT, which was founded in 2001. She is an outstanding teacher and mentor who is committed to her students, to teaching, and training for their future. Many of her former students are now faculty. As a result of her extensive and outstanding contributions, Dr. Neas was awarded the Outstanding Faculty Award for Teaching and Mentoring in 2004; the Kerr-McGee Presidential Professorship in 2000; and the Regents’ Award for Superior Teaching in 1995. Recently, she was honored with the Department of Biostatistics and Epidemiology’s “Outstanding Faculty Award in Research/Scholarly Achievement Award.”

Joy Nelson, Professor, School of Music, Weitzenhoffer Family College of Fine Arts

Joy Nelson, director of Kodaly programs at The University of Oklahoma is recognized for her lively teaching ideas and fast paced workshops. A winner of the Regents Award for Superior Teaching and the University of Oklahoma Students Outstanding Faculty Award, she has taught music in K-6 in California and Washington, and presented many workshops and seminars for the Music Educators National Conference, AOSA, and OAKE. She served as Chair of the 1992 National Kodaly Conference and is a past member of the OAKE and OKE Executive Boards.

Professor Nelson holds the Level III Certification in the Kodaly Approach. An active clinician and consultant, she teaches summer level courses throughout the Southwest and is co-author of the textbook The Teacher, The Child, and Music, published by Wadsworth.

Russell G. Postier, Professor, Department of Surgery, College of Medicine

Russell G. Postier, M.D., Professor and Chairman, Department of Surgery, and The John A. Schiling Chair in Surgery, joined the faculty at the Health Sciences Center in 1981. Throughout his career, he has excelled as a teacher and mentor. He received the Stanton L. Young Master Teacher Award in 2002. In addition, he has served on numerous committees that focus on the educational development of medical students, residents and scientists. He has served the
American Board of Surgery as vice chairman in 2007 and chairman in 2008 and 2009. This very prestigious national position is awarded to exemplary academic surgeons that show an outstanding commitment to teaching and academic leadership. Dr. Postier’s ability to foster an environment of professional academic development and the University is evident from his multiple publications in peer review journals as well as numerous research projects. He has secured over $9.5 million in research funding over the past several years. His research encompasses both basic science research and clinical research. His research in basic science has focused on the identification of precursors and markers which occur in the progressive stages of cancer in the pancreas, colon, and esophagus. In the clinical area, his focus is to search for improvements to treatments for a host of surgical diseases and problems including sepsis, soft-tissue and intra-abdominal infections, colorectal and pancreatic adenocarcinoma. Dr. Postier is truly a valuable asset to the campus, the Department of Surgery, and to the University as a whole.

Regents Professorship

David C. Kem, George Lynn Cross Research Professor, Department of Medicine, College of Medicine

David C. Kem, M.D., George Lynn Cross Research Professor, Department of Medicine, joined the faculty at the Health Sciences Center in 1974. He is co-founder and co-chair of the Committee for the A. Kurt Weiss Lectureship in Biomedical Ethics, a monthly grand rounds lecture for medical students. Dr. Kem is a longstanding member of the department and has been highly effective academic endocrinologist. He conducted influential work in the diagnosis of primary hyperaldosteronism, leading to the development of the saline infusion test and the captopril test. These tests are recognized as the “gold standard” in the diagnosis of this condition. His research has been multifaceted providing critical research findings not only in endocrinology but also in cardiology, hypertension and diabetes. He has received federal research grant throughout most of his career, in aggregate about 35 year of NIH or VA funding and has published over 70 peer-reviewed papers. Dr. Kem has not only been successful as a researcher he has contributed to the teaching mission of the University with involvement in all aspects of teaching, including the development of a fellowship program that produced 28 endocrinologists by 2001. He has an active interest in teaching ethical and moral issues on campus. He has served as a faculty advisor to several groups of students on campus and has co-developed an annual ethics lectureship with student input. He is always available to counsel and advise students, residents, and former fellows despite his heavy teaching responsibilities. Dr. Kem played a central role in the establishment of the Oklahoma Center for the Advancement of Science and Technology (OCAST) and received a patent award for his research of a potential inhibitor of cardiac tachyarrhythmias in 2008.

PRESIDENTIAL PROFESSORSHIPS

Norman Campus

Gaylord Presidential Professorship - Mohammed Atiquzzaman, Professor, School of Computer Science, College of Engineering

Dr. Atiquzzaman is the editor-in-chief of Journal of Networks and Computer Applications, co-editor of Computer Communications journal and serves on the editorial boards of IEEE Communications Magazine, International Journal on Wireless and Optical Communications, Real Time Imaging journal, Journal of Communication Systems, Communication Networks and Distributed Systems and Journal of Sensor Networks. He co-chaired the IEE High Performance Switching and Routing Symposium (2003) and the SPIE Quality of Service of Next Generation Data Networks conferences (2001, 2002, 2003). He was the panel’s co-chair of INFOCOM ’05 and is/has been in the program committee of many conferences such as INFOCOM, Globecom, ICCCN, Local Computer Networks, and serves on the review panels at the National Science
He received the NASA Group Achievement Award for “outstanding work to further NASA Glenn Research Center’s effort in the area of Advanced Communications/Air Traffic Management’s Fiber Optic Signal Distribution for Aeronautical Communications” project. He is the co-author of the book, “Performance of TCP/IP over ATM networks” and has over 200 refereed publications.

His current research interests are in areas of transport protocols, wireless and mobile networks, ad hoc networks, satellite networks, Quality of Service, and optical communications. His research has been funded by National Science Foundation, National Aeronautics and Space Administration and U.S. Air Force.

Mabrey Presidential Professorship - Hans Butzer, Associate Professor, Division of Architecture, College of Architecture

Hans E. Butzer, Associate Professor of Architecture and Urban Design, has been teaching at the University since 1999. Together with his wife/partner Torrey, Hans is the co-designer of the internationally acclaimed Oklahoma City National Memorial. His creative research, exercised through the architectural practice bgDESIGN_architecture_research_community, has sustained his leadership role is helping shape the urban landscape of central Oklahoma. Most recently, Hans co-led the national competition-winning team for the new Oklahoma City Sky Dance Bridge. His teaching, which focuses on urban design, ethics and sustainability, is juxtaposed with his creative research, where these challenge and inform one another daily. Hans’s students are the ultimate beneficiaries from this dialectic, involving Architecture in first-hand terms of theory and practice, and gain a unique and invaluable University experience.

Patricia Deinsenroth Presidential Professorship - Paula Conlon, Associate Professor, School of Music, Weitzenhoffer College of Fine Arts

Paula Conlon has been studying First Nations music and dance in Canada since the early 1980’s, when she wrote her Master’s thesis on the First Nations flute. In 1993, she earned a Ph.D. in Musicology/Ethnomusicology from the University of Montreal; her dissertation is a semiotic analysis of 300 Inuit drum-dance songs under the guidance of Jean-Jacques Nattiez. Since moving to Oklahoma, Conlon has worked with the Payne collection of Native American flutes, and has attended a large variety of Native American ceremonials and social dances. She is writing a biography of noted Comanche flutist/artist Doc Tate Nevaquaya, under contract with the University of Oklahoma Press. Professor Conlon teaches graduate and undergraduate world music, Native American music, and ethnomusicology classes.

President’s Associates Presidential Professorship - David Craig, Associate Professor, College of Journalism

David Craig is an associate professor and associate dean for academic affairs in the Gaylord College of Journalism and Mass Communication at the University of Oklahoma. He teaches journalism ethics, editing and graduate research courses. He is the author of The Ethics of the Story: Using Narrative Techniques Responsibly in Journalism (2006) and is completing a book about standards of excellence in online journalism. His research interests include the ethics in professions and values for ethical decision-making. Craig worked for nine years as a news copy editor at the Lexington, KY Herald-Leader. He earned a B.S. in journalism from Northwestern University, an M.A. in communication from Wheaton College and a Ph.D. in journalism from the University of Missouri-Columbia. He taught editing courses at Northwestern and Missouri. He has been a professor at the university of Oklahoma since 1996.
President’s Associates Presidential Professorship - Jill Irvine, Department of Women’s & Gender Studies, College of Arts and Science

Jill Irvine received her Ph.D. from Harvard University and is currently Associate Professor and Director of Women’s and Gender Studies at the University of Oklahoma. She is author of The Croat Question, Partisan Politics in the Formation of the Yugoslav Socialist State and co-editor of State-Society Relations in Yugoslavia 1945-1991. She has written a number of articles, book chapters and government reports about ethno-religious movements as well as gender and democratization in the Balkans. She is currently working on a co-edited volume entitled Gender and Democratization in Societies at War to be published by Pennsylvania State University Press. Her co-edited book entitled, Natalija: Life in the Balkan Powderkeg 1880-1956, was released by the Central European University Press in November 2008.

O’Brien Presidential Professorship - Steven J. Livesey, Professor, Department of History of Science, College of Arts and Science

Born and raised in California, Steven Livesey graduated from Los Angeles public schools, and went to Stanford University, where he graduated in 1974 with degrees in both mathematics and history. Following a year teaching in a juvenile probation camp, he did graduate work in history at UCLA where he earned his Ph.D. in 1983. Since then he has been a member of the OU Faculty. He is presently in his 14th years as Chair of the Department of History of Science.

Steven Livesey is an internationally recognized medievalist. His highly original work on scientific disciplines and methodologies in the Middle Ages illuminates the ferment of ideas that helped to prepare the ground for the Scientific Revolution of the 16th and 17th centuries. An exacting scholar he is also a patient and sympathetic teacher and mentor. He engages undergraduate students with his subject matter by having them confront judiciously-selected primary readings for class discussion and analytical essays. He has performed extraordinary services to his professional discipline and to the university.

Gaylord Presidential Professorship - Chuanbin Mao, Assistant Professor, Department of Chemistry and Biochemistry, College of Arts and Science

Dr. Chuanbin Mao earned his BS in Physical Chemistry at Northeastern University in Liaoning, China in 1992. His graduate work was done at Northeastern University, and his postdoctoral research was done at Tsinghua University in Beijing, China and the University of Texas at Austin. In 2005 Dr. Mao accepted an Assistant Professor position at the University of Oklahoma. Throughout his career he has received several awards including the Breast Cancer Concept Award from the Department of Defense, the NSF Career Award and the OCAST New Scientist Award, totaling more than $2.5 million.

Colleagues around the world have recognized Dr. Mao’s outstanding research accomplishments. Dr. Mao was an organizer for NANOcomposites International Conference in Hawaii. In addition, he has been invited to chair a conference for the World Congress of Virus and Infections in Busan, South Korea and co-chair the Annual International Congress of Antibodies Conference in Beijing, China. Dr. Mao’s excellence in research is also evident in his teaching and mentoring. He has taught Capstone courses, Advanced Nanotechnology and has taught Physical Chemistry.

Thomas Hester Presidential Professorship - Liesa L. Richter, Professor, College of Law

Professor Liesa Richter is a 1992 graduate of the University of Florida. She received her J.D. with high honors from the University of Florida College of Law in 1995. At Florida, Richter received the Irving Cypen Scholastic Achievement Award, graduated first in her law school class, and was selected as a member of the Order of the Coif. She served as Senior Notes and Comments Editor for the Florida Law Review and published her student note in that journal.
Professor Richter began her career in the Atlanta office of King & Spalding on the business litigation team, where she defended firm clients in securities fraud class actions, employment discrimination cases, and breast implant class actions. Richter then served as a law clerk to the Honorable Jack T. Camp, United States District Court Judge for the Northern District of Georgia. She completed a clerkship for the Honorable Frank Mays Hull, United States Circuit Judge for the United States Court of Appeals for the Eleventh Circuit before joining the faculty of the University of Oklahoma College of Law in 2001. Richter is a member of the Georgia Bar and the Bar of the United States Court of Appeals for the Eleventh Circuit.

Professor Richter teaches Torts I & II, as well as the required Evidence law course. Her research and scholarship focuses primarily in the areas of evidence law, business-related litigations and federal practice.

Nancy Hester Presidential Professorship - Ralf Schmidt, Associate Professor, Department of Mathematics, College of Arts and Science

Ralf Schmidt joined the faculty of the Department of Mathematics at the University of Oklahoma in Fall 2004. His teaching has covered all areas of our wide ranging curriculum from calculus classes attended by over 100 students at time to advanced level graduate courses which attract student in numbers far beyond the norms. Students are uniform in their praise of his teaching skills. They particularly mention his clarity of exposition and caring attitude. It is commonplace in all his evaluations to read that he is by far the best professor the students have ever encountered. His research area, representation theory, is absolutely central to modern mathematical research. He is clearly established as an international leader in this field and one who is having a fundamental impact in the direction of the research of others. His work has been supported by a number of National Science Foundation grants and his bibliography includes a large number of very substantial books and articles. As one of the evaluators points out, one of his ongoing manuscripts now numbers over 1,000 pages. His contributions to our service mission are no less impressive. He is the department’s web-master and has been responsible for a complete overhaul of our web pages. He has implemented an online application process for graduate students- this immediately led to a 30% increase in applications. He created a database to handle applications for faculty positions and another to handle the teaching assignments department wide. He was the only Assistant Professor to be elected to our Committee A.

Hudson/Torchmark Presidential Professorship - Mark Yeary, Associate Professor, School of Electrical and Computer Engineering, College of Engineering

Professor Mark B. Yeary received the B.S.E.E (honors), M.S.E.E., and Ph.D.E.E from Texas A&M University in 1992, 1994 and 1999, respectively. As a student at TAMU, he was a charter member and officer of the Engineering Scholars Program and a recipient of the Dean's Outstanding Student Award. In 1995, he was with IBM, Austin, TX, as a member of a microprocessor development team. As a graduate student, he served as a teaching and research assistant. He received the Outstanding Teaching Assistant award from the local IEEE Student Chapter two years in a row and was also nominated to be an NSF/FIE 1998 New Faculty Fellow. Following his graduation in 1999, he continued to be a member of the DSP group and a Lecturer in the Department of Electrical Engineering, TAMU. During this time, he continued his digital signal processing based research and worked collaboratively with a variety of companies, including IBM, Raytheon, Cisco, Texas Instruments, Lockheed-Martin, etc. Since the fall of 2002, he has been a faculty member in the Department of Electrical and Computer Engineering, University of Oklahoma, Norman and is currently a tenured Associate Professor. In addition, he has spent eight summers, 2002 through 2009, at Raytheon as a faculty research engineer working on issues of national security. His applied signal processing contributions are many, and include the design an all-digital system-on-a-chip scheme for a Ka band radar and various target tracking algorithm developments, including orthogonal waveform development.
Dr. Yeary is a licensed Professional Engineer (P.E.) and is member of the Tau Beta Pi and Eta Kappa Nu honor societies. He is a member of the AMS and is a CIMMS Fellow. He has served on numerous national and international review panels, and several are noted here. He was a Session Chairman of the 2001 IEEE International Symposium on Intelligent Signal Processing and Communication Systems. In 2001 he served on the steering committee of the 2001 Future Energy Challenge. He has also served as a Session Chairman at 2003 IEEE-IMTC and as a Technical Committee Member of the 2003 and 2004 IEEE-ICIP. He served as a Technical Committee Member for IMTC in 2006, 2007 and in 2008. He will serve as a technical co-chair for this meeting in May of 2010 in Austin, TX. In April of 2006, Dr. Yeary received the Outstanding Young Engineer Award from the Institute of Electrical and Electronics Engineers (IEEE). This award was given by the Instrumentation and Measurement Society of the IEEE. He also recently received OU’s Teaching Scholars Initiative Award in recognition of excellence in the scholarship of teaching, 2009. His research, teaching, and consulting interests are in the areas of digital signal processing as applied to radar signal processing, target & severe weather tracking, digital communications, image processing, adaptive filter design, and real-time systems.

Health Science Center

Presbyterian Health Foundation Presidential Professorship - Daniel J.J. Carr, Professor, Department of Ophthalmology, College of Medicine

Daniel J.J. Carr, Ph.D., Professor of Ophthalmology, joined the faculty at the Health Sciences Center in 1999. Dr. Carr has established a national and international reputation as an outstanding scientific investigator, educator, mentor, and scholar with a prolific publication record. He maintains a highly productive research program with an outstanding record in pathogenesis of herpes virus infections of the cornea. His research program has been well-funded since his arrival at OUHSC. As a teacher, he is widely viewed by his peers and his students as a critical thinker of great integrity who demands much of his students – but not more than he demands of himself. He has demonstrated his enthusiasm for and effectiveness in teaching medical and dental students as well as graduate students in the disciplines of microbiology and immunology. Dr. Carr is the consummate mentor who inspires his students by his actions as well as his words. He has also proven himself adept at flexing to the unique scheduling issues of ophthalmology residents to incorporate them into his laboratory. Consistently requested by graduate students to serve on their dissertation committees, Dr. Carr has served on or currently serves on 20 graduate student PhD committees and has served as an outside mentor for two international graduate student PhD committees. He is currently mentoring two PhD students and has successfully mentored two post-doctoral fellows, both highly successful in their respective fields. Dr. Carr has an exemplary service record. He has or currently serves on several University and college committees; he is a grant reviewer for the Presbyterian Health Foundation, and he serves on numerous external review panels and editorial review boards.

Presbyterian Health Foundation Presidential Professorship - Madeleine W. Cunningham, George Lynn Cross Research Professor, Department of Microbiology and Immunology, College of Medicine

Madeleine W. Cunningham, Ph.D., George Lynn Cross Research Professor, Department of Microbiology and Immunology, joined the faculty at the Health Sciences Center in 1981. She is a committed researcher with an impressive reputation in the field of autoimmunity. She has made notable advancements in her research endeavors which center on molecular mimicry, autoimmunity and infection in the study of inflammatory heart diseases related to streptococci and viruses. Dr. Cunningham has continuously received funding from numerous agencies including a prestigious NIH Merit Award. She has over 100 peer-reviewed articles and book chapters as author or co-author and 168 seminars and presentations nationally and internationally. She has demonstrated her dedication to the teaching mission of her college and is noted to be an excellent teacher in the professional and graduate programs and an outstanding
mentor for many research scientists. Dr. Cunningham is director and PI of the OUHSC/OMRF NIH sponsored Immunology Training Program and coordinator of the Immunology Journal Club. She has been the faculty coordinator for immunology students for the past ten years. She provides lectures and is a regular contributor to the medical and dental microbiology and immunology courses and is coordinator of the Topics of Infectious Diseases course. In addition, she has chaired the committees of 11 doctoral students, 12 post doctoral students, and three research assistants over the last 20 years. Dr. Cunningham has an excellent record of service activities at the University and national levels. She is an MD/PhD interviewer for the Admissions Committee and serves on the HSC Medical Student Promotions Committee. She is a respected peer reviewer for a diverse number of expert study panels, is on the editorial board of two prestigious journals in her field, and serves as a reviewer for a long and distinguished list of journals.

**Edith Kinney Gaylord Presidential Professorship - Mark Lee Wolraich, Professor, Department of Pediatrics, College of Medicine**

Mark Lee Wolraich, M.D., Professor of Pediatrics, joined the faculty at the Health Sciences Center in 2001. He holds the CMRI/Shaun Walters Chair in Developmental and Behavioral Pediatrics and serves as Director of the Child Study Center. Dr. Wolraich’s exemplary record of creative scholarship and innovation within his subspecialty of developmental-behavioral pediatrics and within the larger specialty of pediatrics has substantially improved the clinical practice of pediatrics. His exceptional contributions to research, scholarship, education, and community collaboration has had a great impact on educators and parents. In addition, his vision, commitment, hard work, and leadership have made a substantial difference to the entire field of children’s health. Internationally and nationally recognized leader within his field of developmental-behavioral pediatrics and within pediatrics in general, Dr. Wolraich’s primary research interest is in the area of Attention-Deficit/Hyperactivity Disorders (ADHD). He has played a key leadership role in scrutinizing and then improving how ADHD and other neurobehavioral conditions are diagnosed and treated among physicians. Dr. Wolraich has made substantial contributions to education and training. Since arriving at the University of Oklahoma Health Sciences Center, he has provided leadership for interdisciplinary education and community outreach around the issue of developmental disabilities. He is the Director of the Leadership Education in Neurodevelopmental Disabilities (LEND) program that is supported in part by the Maternal Child Health Bureau of Health Resources and Services Administration of the US Public Health Services. This program educates professional students from at least 11 different disciplines that affect children with disabilities, their families, and their communities. He is undoubtedly an inspiration for the trainees in this program given his impressive level of accomplishment. Dr. Wolraich has been appropriately recognized by his professional peers within and beyond pediatrics for his impressive expertise and outstanding contributions. He was elected as President of the Society for Developmental and Behavioral Pediatrics. He was selected to serve on the very first sub-board for Developmental and Behavioral Pediatrics of the American Board of Pediatrics and he has been a reviewer at the Eunice Kennedy Shriver National Institute of Child Health and Human Development. Dr. Wolraich currently serves on the Board of Scientific Counselors for the Centers for Disease Control.

**REGENTS’ FACULTY AWARDS**

**Regents Award for Superior Teaching**

Sanjay I. Bidichandani, Associate Professor, Department of Biochemistry and Molecular Biology, College of Medicine

Sanjay I. Bidichandani, Ph.D., Associate Professor of Biochemistry and Molecular Biology, joined the faculty at the Health Sciences Center in 2000. He serves as the Assistant Dean for Preclinical Curriculum in the College of Medicine, and holds adjunct faculty appointment in the Department of Pediatrics. He also holds adjunct faculty appointment in Genetic Counseling at
the University of Arkansas Medical School. In addition, he serves as director of the “Med-Into-Grad” Program for the Graduate College. Dr. Bidichandani’s research centers on neurological diseases such as Friedrich’s Ataxia that are caused by abnormal expansions of trinucleotide repeats in the human genome. By characterizing the molecular mechanism of the expansions, it may be possible to design rational therapeutic strategies for this otherwise fatal inherited disease. His research is funded by the National Institutes of Health and has also been supported by the Muscular Dystrophy Association and the American Heart Association. Dr. Bidichandani’s main academic interest is in teaching. He currently directs the Biochemistry course for first year medical students and from next year will direct Molecular and Cellular Systems course that will be the lynchpin of the new medical curriculum. Dr. Bidichandani is particularly interested in developing innovative learning systems for both medical and graduate students. He extends this into local and national outreach activities, appearing with Jerry Lewis on the 2008 Muscular Dystrophy Telethon.

Traci Carte, Professor, Division of Management and Information Systems, Michael F. Price College of Business

Traci Carte’s primary research focus is on leveraging technology to support diverse teams. Her research has been published in such journals as Information Systems Research, MIS Quarterly, Journal of the AIS, Group Decision and Negotiation, Decision Support Systems, Database Advances and numerous national and international conference proceedings. She won a best paper award at MIS Quarterly in 2002 and a doctoral student award for excellence in research at the University of Georgia. She currently serves on the editorial board of the Journal of AIS and previously served on the editorial board at MIS Quarterly. She recently spent her sabbatical as Senior Fulbright Fellow in Sri Lanka studying culture and virtual teams and teaching at the Postgraduate Institute of Management.

In addition to her research achievements, Professor Carte has also been recognized for outstanding teaching. She won the Bruce Magoon Master Teacher award in 2006, the UOSA outstanding faculty award in 2001, and the Advancia award for MIS teaching excellence in 2000. She has also previously been named a Nichols Faculty fellow, a Price College Teaching Fellow, a Bruzy Westheimer Partner in Learning, and a Conoco-Phillips Partner in Learning. In addition, she was named an Alumni Teaching Award recipient in every semester she was eligible to participate. Prior to joining the OU faculty, she worked for several years as a data administrator and systems manager.

Kuang-Hua Chang, Professor, School of Aerospace and Mechanical Engineering, College of Engineering

Kuang-Hua Chang is a Williams Companies Foundation Presidential Professor for AME. His area of expertise is mechanical design and manufacturing. Since he joined OU in fall 1997, Professor Chang has been successful in developing and bringing the most advanced computer-aided design and manufacturing technology and hands-on rapid prototyping technologies at both undergraduate and graduate levels.

Professor Chang’s teaching focuses on educating confident and competent engineers who are capable of solving engineering problems. He stimulates and maintains student learning interests by relating the subjects to practical engineering problems, organizing the knowledge concisely in lecture notes, and bringing a positive can-do, no-nonsense attitude to the classroom. Scott Bowen (ME senior) wrote, “Another defining attribute of Dr. Chang is his ability to instill pride and responsibility into his students.....Dr. Chang always gives his honest opinion of how a class as a whole has done on an assignment and whether the class has lived up to his expectations.” Professor Chang classes have always been well received by students. Yew, Tze-Leong (MSME 2001) wrote, “Throughout my career as a student in AME, I have thoroughly enjoyed his class.
They were clear, dynamic, updated and showed real practical applications. However, these attributes are not the only factors that make his classes special. The most priceless feature of his classes was they were taught by someone who was interested in the welfare of the students as well as the course material.”

Mark Morvant, Associate Professor, Department of Chemistry and Biochemistry, College of Arts and Science

Mark Morvant, Associate Professor in the Department of Chemistry and Biochemistry at the University of Oklahoma, holds a B.S. in Chemistry and teaching certification from Tarleton State University as well as a PhD in Organic Chemistry from the University of Oklahoma. After years as a postdoctoral associate with Dr. Reynolds at the University of Florida, he started his career as a university professor at Texas A&M University-Corpus Christi where he secured tenure and promotion of the level of Associate Professor of Chemistry. He also served as Chemistry Program Coordinator for five of his eight years at the University. He joined the Chemistry Department at Georgia Southern University prior to returning to the University of Oklahoma (his alma mater) as an associate professor. Dr. Morvant’s research interests and passions are centered on student learning and chemical education.

At the University of Oklahoma, Dr. Morvant has continued to excel in the classroom and research and develop innovation to improve student success. His recent research has focused on the utilization of Process Oriented Guided Inquiry Learning (POGIL) in a large lecture class and determining the effectiveness in comparison to the straight lecture format. As a continued active participant in Action Centers on campus, Dr. Morvant enjoys helping students gain additional assistance in understanding course material outside the classroom. He has also used and explored various innovative teaching tools and pedagogies, such as podcasts, online wiki resource for Organic Chemistry, and inquiry-based laboratory activities. Making the greatest impact on student learning is Dr. Morvant’s main goal and passion.

Ken Stephenson, Professor, School of Music, Weitzenhoffer Family College of Fine Arts

Ken Stephenson is the Russell Professor of Music Theory, in his twenty-first year of teaching at the University of Oklahoma. Hired to redesign the undergraduate theory sequence, Professor Stephenson taught eight undergraduate classes every year for his first thirteen years, winning two campus teaching awards along the way. In the last eight years, his teaching duties have regularly included both undergraduate and graduate classes: among them, an annual course in the pedagogy of music theory. For twenty-one years, his training of graduate students in teaching has also included oversight of several graduate teaching assistants in music theory; five times an assistant of his has won a campus-wide award for teaching, including an OU record streak of four consecutive years, 1996-99.

Appointed in 2004 as Chief Reader for the AP Music Theory program, Professor Stephenson has worked with ETS and College Board in recent years to give several workshops around the country on theory teaching: locations include San Jose, CA; Phoenix, AZ; Boston, MA; and Dallas, TX. He has been invited to attend the annual Society for Music Theory conference in Montreal this October to participate in a five-person panel critiquing sample teaching performances by selected graduate students.

Grady C. Wray, Associate Professor, Department of Modern Languages, Literatures, and Linguistics, College of Arts and Science

Grady Wray is one of those professors who cannot remember when his desire and drive to become a teacher was not strong. As a child he did not know what subject he would teach, but he knew he wanted to have the experience of walking into the classroom and teaching. As he examined the different areas in which he excelled as a student, the study of languages always intrigued him, and the ability to communicate effectively in another language, a skill he had
always admired, became an important goal. As he started accomplishing his goal of fluency in Spanish, he discovered that Spanish was the language of extraordinary literature too, which opened up another area of interest he could share in the classroom. He has always believed that because we are able to learn one language, we should be able to learn others. And although he knows there are times in which one’s brain is better prepared to learn another language, the desire and the ability to do so should always be fostered. As a teacher of Spanish language and Latin-American literature Wray makes every effort to stimulate students to continue to improve their language and literary skills.

Wray has had the chance to walk into classrooms at many different levels during his career, and he still very much enjoys the first day of classes and the adrenaline rush that comes from getting to share what he has learned with others. While he feels very privileged to have a position that allows him to teach in his field of specialization, women writers of colonial Latin America, he also very much enjoys the basic grammar and conversation courses that he also teaches because he knows that these courses provide the building blocks upon which construct unforgettable experiences with travel, reading, self-expression and an overall sense of better understanding others and improving communication not only in another language, but also in their own. Wray have the best of several worlds. He teaches composition and grammar as well as conversational skills in Spanish, but that is only the beginning. Because of funding from the Program of Instructional Innovation he is now working on a project to better the learning experience in advanced conversation courses, which allows students more access to native speakers and themes. He is also able to work with students in senior-level literature classes who use the skills they have learned to start the lifelong process of reading great literature and traveling to places through literature where they have never been. At the graduate level he greatly enjoys teaching in his area of specialization and sharing the lives of some all-but-forgotten women whose voices continue to inspire. The dissertations he has directed also require a very special type of teaching where he guides and learns along with his students. He also has the opportunity as liaison to the College of Education to visit many classrooms in Oklahoma and help beginning teachers as they experience the joys and frustrations of teaching. Because of his experience with several different age groups and methodologies of teaching, he was asked to give the keynote address at the Oklahoma Foreign Language Teachers Association in the spring of 2008. Also, after he won the Longmire Prize for excellence in the research of teaching, he has served as a member of the Teaching Scholars Initiative at the University of Oklahoma. While Wray never admits to being very good at multi-tasking, the many tasks he has in his profession as a teacher give him great pleasure, and this pleasure is contagious and spreads not only to his students but from them to others as well.

**Regents Research and Creative Activity Award**

**Michael T. Ashby, Professor, Department of Chemistry and Biochemistry, College of Arts and Science**

As an undergraduate student, Dr. Ashby’s interest in science initially focused on biology. But eventually, craving a molecular level understanding, his turned his attention to chemistry. By the time he graduated from the University of Arizona with a Ph.D. in bioinorganic chemistry, biology would be left behind as he focused on fundamental chemical problems throughout his postgraduate education and during the first part of his independent research career as an Assistant Professor at the University of Oklahoma. Dr. Ashby focused on basic science during the first half of his career. Beginning with his first sabbatical at Stanford University in 1999, Dr. Ashby started to formulate a plan for the second half of his career. His intent was to return to biological problems, but this time to focus on those of a more applied, health-related nature. Thus, coming full circle, his post tenure work at the University of Oklahoma has increasingly focused on biological problems. Reflecting upon his early experience studying bioinorganic chemistry, Dr. Ashby noted that while the focus of the field since its inception had been on metalloproteins and metalloenzymes, especially those containing transition metals, the biological chemistry of the main group elements had been comparatively neglected. Nonetheless, important
advances in biological chemistry had been achieved during the first half of the 20th century by researchers investigating main group “Reactive Oxygen Species” (Nobel Prize to Warburg in 1931; On the Origin of Cancer Cells) and during the close of the 20th century by researchers studying main group “Reactive Nitrogen Species.” (Nobel Prize to Furchgott, Ignarro, and Murad in 1998, Nitric Oxide as a Signaling Molecule in the Cardiovascular System). Drawing upon his earlier experience with the biological chemistry of sulfur compounds, Dr. Ashby has essentially created the field of “Reactive Sulfur Species.” He received his first external research grant to study the subject in 2000 and his research group has published more than 30 papers on the subject since 2002. His research efforts were at first guided by a conventional paradigm for studying molecular diseases: develop small molecular models advance to protein models advance further to in vivo models and finally study the diseases themselves in the context of the preceding basic science. While initially satisfied by this approach, Dr. Ashby soon realized by extrapolation that his career would be concluded before the basic science could be advanced to the disease. Therefore, he has recently begun to “cut out the middleman” by applying the basic chemistry of Reactive Sulfur Species directly to biomedical problems. Since 2005, Dr. Ashby’s research has broadly focused on inflammatory diseases and more recently, specifically on diseases that are initiated by microbial biofilm infection of the mucosa, including the oral cavity and the cystic fibrosis lung. In preparation for his second sabbatical, Dr. Ashby took five courses (three lectures and two laboratories) in the areas of pathogenic microbiology, molecular biology, and immunology. His training continued during a sabbatical during the 07/08 academic year. In summer of 2007 he participated in three workshops at The Center for Biofilm Engineering (CBE) at Montana State University on the topics of biofilm quantification, image analysis, and microelectrode fabrication. From October 2007 to February 2008, he trained in the laboratory of a collaborator, Dr. Chris Sissons (University of Otago, New Zealand), where he learned to culture and characterize microbial biofilms. Dr. Ashby currently has NIH and OCAST funding for his biomedical work, as well as NSF funding for his ongoing basic science projects. He is most proud of a pending NIH proposal (Biomarkers for Myeloperoxidase Specific Oxidative Stress in the Human Gingival Sulcus) for a project that makes use of some of the basic knowledge about “Reactive Sulfur Species” that has been discovered in his laboratory.

Edgar O’Rear, Professor, School of Chemical, Biological and Materials Engineering, College of Engineering

Professor Edgar O’Rear joined the University of Oklahoma in 1981 after completing his PhD in chemical engineering at Rice University. He is currently the Francis W. Winn Professor of Chemical Engineering and the Director of the OU Bioengineering program – he has served as director of the program since its inception in 1999. While at OU he has led pioneering work in both biomedical engineering related to drug delivery for treatment of blood clots; and in the field of surfactant science and technology where he is known for his work on application of surfactants for modification of materials surface properties. He has published over 120 papers and his work has been cited more than 1600 times. He holds nine patents with another pending, and has been presented with an Oklahoma Inventor of the Year award in 2009 and (in Thailand) the Outstanding Research Award of the Thailand Research Fund. Both for his research accomplishments and for his service to the professional community (for example, as president of the International Society for Biorheology), one of his referees calls him “one of the most innovative, productive and well respected members of the bioengineering community.” For his work related to surfactant applications, another reviewer states that “his worldwide leading role is indisputable.” In both of these areas, his research has led to spin-off companies and/or technology licenses, and he has been PI or co-PI on grants totaling over $11 million. Lesser known internationally, but of great value to the University of Oklahoma, are Ed’s outstanding activities in teaching and university service. In addition to his role as director of the OU bioengineering program, Ed has served as associate dean for research in the College of Engineering; as a program director at the National Science Foundation; and on numerous faculty search, advisory, and award committees at the University. It is likely that many in the room have served with Ed on such committees, and know the care and attention to detail that he brings to these activities. As with his research, his contributions are of the highest quality.
Regents Award for Professional and University Service

C. Douglas Folger, Associate Professor, Department of Medicine, College of Medicine

C. Douglas Folger, M.D., Associate Professor of Medicine, joined the faculty at the Health Sciences Center in 1978. Dr. Folger serves as the Associate Dean for Clinical Affairs in the College of Medicine and as Chief Medical Officer of OU Physicians. He has been instrumental in the transition of the faculty practice from one of multiple departmental practices to a well functioning, multi-specialty group practice. Since his arrival, the OU Physicians practice has seen a 4 fold increase in annual revenue. He played a leadership role in the development, occupancy and functioning of the OU Physicians Professional Office Building. He has been responsible for the development of robust Quality Improvement, Credentialing and Risk Management group practice programs. Under his supervisory role, OU Physicians has become one of a very few medical group practices in Oklahoma to become NCQA accredited in credentialing. He serves on numerous OU Physicians Committees. He has had oversight of a 6 ½ year effort leading to the OU Physicians practice becoming the 2nd academic faculty practice in America to be fully accredited by the Accreditation Association for Ambulatory Health Care. He serves OU Medical Center as a member of the Board of Trustees, and as an Ad Hoc member of the Medical Executive Committee. He is a member of the OU Cancer Institute Steering Committee, a board member of the Academic Physicians Insurance Company (APIC), and chairman of the APIC Claims Committee. Dr. Folger has been a module facilitator for the Principles of Clinical Medicine course for first year Medical Students since 2000. He is presently the President of the American Diabetes Association, Western Oklahoma Council. His research, teaching, and consulting interests are in the areas of digital signal processing as applied to radar signal processing, target and severe weather tracking, digital communications, image processing, adaptive filter design, and real-time systems. Outstanding Young Engineer Award was given by the Instrumentation and Measurement Society of the Institute of Electrical and Electronics Engineers (IEEE). He also recently received OU’s Teaching Scholars Initiative Award in recognition of excellence in the scholarship of teaching, 2009.